



NAMWOLF

FINANCIAL SERVICES  
LITIGATION

PRACTICE AREA COMMITTEE

NAMWOLF

# ABOUT THE PAC

**OUR FINANCIAL SERVICES LITIGATION PRACTICE AREA COMMITTEE** is designed to meet the litigation needs of clients in the Financial Services industry.

**OUR PAC LAW FIRMS** provide significant litigation services in the areas of banking operations, broker dealer and FINRA disputes, consumer finance, credit and collection practices, trust and probate and the full range of financial services litigation defense.

**OUR FIRMS HAVE SOLID EXPERIENCE.** Our PAC firms have provided legal services to major financial services companies including Bank of America, Citibank, Prudential Financial Services, KeyBank, U.S. Bank, JPMorgan Chase Bank, Metropolitan Life Insurance Company, RBS Citizens, GE Capital, Wells Fargo Bank, PNC Bank and many others. These clients demand the highest quality legal services.

**A PROVEN TRACK RECORD OF POSITIVE RESULTS.** Several of our attorneys are also former in-house counsel and our firms are AV Peer Review Rated by Martindale-Hubbell.

**COMPETITIVE RATES AND ALTERNATIVE FEE ARRANGEMENTS.** PAC firms offer competitive billing rates and alternative fee opportunities. We will work with clients to arrive at a fee structure that works for everyone.

**GEOGRAPHIC DIVERSITY.** Our PAC's firms have offices and/or bar admissions throughout the country. PAC firms will also work with other NAMWOLF firms where needed in order to achieve coverage nationwide. Each PAC firm knows the legal climates of its region, and knows the judges, opposing counsel, experts and local counsel.

## CO-CHAIRS



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### About NAMWOLF

Founded in 2001, NAMWOLF is a nonprofit trade association comprised of minority and women owned law firms who have exhibited excellence in the legal profession throughout the United States. Many corporations and public entities committed to diversifying their outside law firm ranks have focused on the utilization of minority and women attorneys at majority firms. However, the most effective way for corporations and public entities to increase diversity in the legal profession is to increase their utilization of minority and women owned law firms. NAMWOLF's mission is to promote true diversity in the legal profession by fostering the development of long-lasting relationships between preeminent minority and women owned law firms and corporations and public entities.

### About NAMWOLF law firm membership

NAMWOLF Law Firm Members must complete an extensive application and screening process before they can be admitted for membership. Law firm candidates must have a significant corporate law practice and exhibit excellence in the quality of law practiced, as evidenced by corporate references, presence on outside counsel-approved lists and panels for national or regional corporations, and other awards and memberships. Law firms must be headquartered in the United States or Puerto Rico and have a minimum of three full-time attorneys.

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# PAC FIRM CONTACT INFORMATION

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# PAC FIRM CONTACT INFORMATION

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Valentine Austriaco & Bueschel <b>vablawfirm.com</b>	LYDIA BUESCHEL   <i>lbueschel@vablawfirm.com</i> ERICA BRYD   <i>ebryd@vablawfirm.com</i>	Chicago, IL	312.238.9063
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Wong Fleming <b>wongfleming.com</b>	LINDA WONG   <i>lwong@wongfleming.com</i> RAMINA DEHKHODA-STEELE <i>rdehkhoda@wongfleming.com</i>	Atlanta, GA Berlin, Germany Chihuahua, Mexico Hamburg, Germany Montreal, Quebec Munich, Germany New York, NY Philadelphia, PA Ponte Vedra Beach, FL Princeton, NJ San Diego, CA Seattle, WA Sugar Land, TX	609.951.9520
Wright, Finlay & Zak, LLP <b>wrightlegal.net</b>	ROBIN WRIGHT <i>rwright@wrightlegal.net</i>	Newport Beach, CA Las Vegas, NV Seattle, WA	714.477.5050



# PAC FIRMS BY LOCATION

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## Arizona

Wright, Finlay & Zak, LLP

## California

LimNexus LLP

The Stark Firm, PLLC

Wong Fleming

Wright, Finlay & Zak, LLP

## Colorado

León Cosgrove Jiménez, LLP

## Connecticut

Perez Morris

## Delaware

Orlans PC

Pinckney, Weidinger, Urban & Joyce

## Florida

d'Arcambal Quesada Malyk

Peters & Creed LLP

León Cosgrove Jiménez, LLP

The Stark Firm, PLLC

Wong Fleming

## Georgia

d'Arcambal Quesada Malyk

Peters & Creed LLP

Maxson Mago & Macaulay, LLP

The Stark Firm, PLLC

Wong Fleming

## Hawaii

Wright, Finlay & Zak, LLP

## Idaho

Goosmann Law Firm

Wright, Finlay & Zak, LLP

## Illinois

Boggs Avellino Lach & Boggs

Heavner Beyers & Mihlar

Johnson Blumberg & Associates

Maxson Mago & Macaulay, LLP

Valentine Austriaco & Bueschel

## Indiana

Johnson Blumberg & Associates

## Iowa

Goosmann Law Firm

Johnson Blumberg & Associates

## Maryland

Moore & Associates

Orlans PC

## Massachusetts

Orlans PC

Perez Morris

## Michigan

Johnson Blumberg &

Associates

Orlans PC

## Missouri

Boggs Avellino Lach & Boggs

## Montana

Wright, Finlay & Zak, LLP

## Nebraska

Goosmann Law Firm

## Nevada

Wright, Finlay & Zak, LLP





# PAC FIRMS BY LOCATION

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## New Jersey

d'Arcambal Quesada Malyk  
Peters & Creed LLP  
Maxson Mago & Macaulay, LLP  
Perez Morris  
Walsh Pizzi O'Reilly Falanga LLP  
Wong Fleming

## New Mexico

Wright, Finlay & Zak, LLP

## New York

d'Arcambal Quesada Malyk  
Peters & Creed LLP  
Drohan Lee LLP  
Maxson Mago & Macaulay, LLP  
Perez Morris  
The Stark Firm, PLLC  
Walsh Pizzi O'Reilly Falanga LLP  
Wong Fleming

## Ohio

Perez Morris

## Oregon

Wright, Finlay & Zak, LLP

## Pennsylvania

d'Arcambal Quesada Malyk  
Peters & Creed LLP  
Orlans PC  
Perez Morris  
The Stark Firm, PLLC  
Walsh Pizzi O'Reilly Falanga LLP  
Wong Fleming

## South Dakota

Goosmann Law Firm  
Wright, Finlay & Zak, LLP

## Texas

Hughes, Watters & Askanase, LLP  
León Cosgrove Jiménez, LLP  
Maxson Mago & Macaulay, LLP  
The Stark Firm, PLLC  
Wong Fleming

## Utah

Wright, Finlay & Zak, LLP

## Virginia

Orlans PC

## Washington

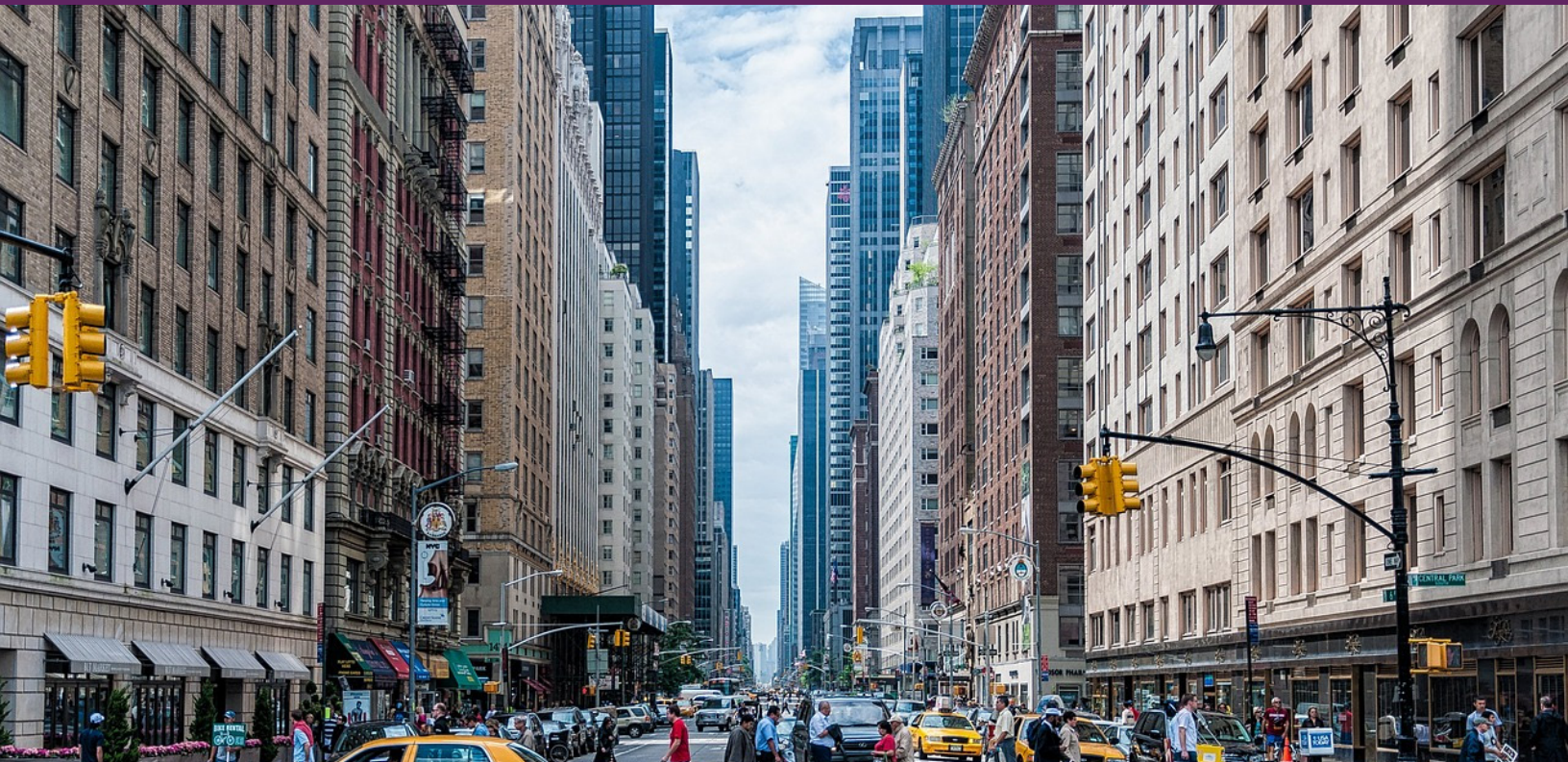
Stokes Lawrence, P.S.  
Wright, Finlay & Zak, LLP

## Washington D.C.

Maxson Mago & Macaulay, LLP  
The Stark Firm, PLLC  
Wong Fleming

## Wisconsin

Johnson Blumberg &  
Associates



# PRACTICE AREA CHART

	Bank Litigation (Including Lender Liability)	Bankruptcy & Restructuring	Broker/Dealer/Investment Litigation	Commercial Litigation	Consumer Finance, Credit and Collection Practices Litigation	Creditor's Rights	Cybersecurity & Privacy	Dealer Lending Transactions/Defaults	Debt Relief & Credit Repair Practices	Director and Officer Liability Investigation, Compliance & Litigation	Financial Crime and (Anti-) Money Laundering	Financial Institutions Internal Investigations & Compliance	Marketing & Advertising of Consumer Financial Products	Mortgage and Service Litigation (including Loan Workout)	Securities Class Action (SEC/FINRA)	Securities Litigation, Regulatory Investigations, Enforcement Proceedings	Trading Issues (Commodities, Derivatives, Funds, Securities)
Boggs Avellino Lach & Boggs	☆	☆		☆	☆	☆							☆				
d'Arcambal Quesada Malyk Peters & Creed LLP	☆		☆	☆	☆		☆	☆	☆			☆	☆				
Drohan Lee LLP			☆	☆				☆		☆		☆	☆		☆		☆
Goosmann Law Firm	☆	☆		☆	☆	☆	☆	☆	☆	☆	☆		☆				
Heavner Beyers & Mihlar	☆	☆		☆	☆	☆		☆			☆		☆				
Hughes, Watters & Askanase, LLP	☆	☆		☆	☆	☆		☆			☆		☆				
*Johnson Blumberg & Associates																	
León Cosgrove Jiménez, LLP	☆	☆	☆	☆	☆	☆	☆	☆	☆	☆	☆	☆	☆	☆	☆	☆	☆
LimNexus LLP	☆	☆		☆	☆					☆							
Maxson Mago & Macalay	☆	☆		☆		☆							☆				
Moore & Associates	☆		☆	☆	☆	☆		☆	☆		☆		☆	☆	☆	☆	☆
Orlans PC	☆	☆		☆	☆	☆		☆	☆		☆		☆				
Perez Morris	☆		☆	☆	☆	☆			☆	☆	☆		☆	☆	☆		
Pinckney, Weidinger, Urban, & Joyce	☆		☆	☆	☆				☆					☆	☆		
The Stark Firm, PLLC	☆	☆		☆	☆	☆		☆		☆			☆				
Stokes Lawrence, P.S.	☆	☆		☆	☆	☆							☆				
Valentine Austriaco & Bueschel	☆	☆		☆		☆			☆		☆		☆				
Walsh Pizzi O'Reilly Falanga LLP	☆	☆		☆	☆	☆	☆	☆	☆	☆			☆				
Wong Fleming	☆	☆	☆	☆	☆	☆		☆	☆	☆	☆	☆	☆				
Wright, Finlay & Zak, LLP	☆	☆	☆	☆	☆	☆							☆				

\*Please see firm profile page for practice areas if none marked.



NAMWOLF

## FINANCIAL SERVICES LITIGATION

PRACTICE AREA COMMITTEE

MEMBER FIRMS





BOGGS, AVELLINO, LACH & BOGGS  
[www.balblawyers.com](http://www.balblawyers.com)



*Practicing throughout Missouri and Illinois*

- |                              |                     |                              |
|------------------------------|---------------------|------------------------------|
| > Bank Litigation            | > Creditors' Rights | > Mediation                  |
| > Bankruptcy & Restructuring | > Evictions         | > Mortgage Litigation        |
| > Business Torts             | > Foreclosure       | > Receiverships              |
| > Commercial Litigation      | > Loan Workouts     | > Sale & Acquisition of Debt |

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BELLEVILLE OFFICE: 2900 Frank Scott Parkway W., Suite 988, Belleville, Illinois 62223, (888) 942-2310

LITIGATION STRONG. TRANSACTIONALLY SHARP.

# Client-Centered.

d'Arcambal Quesada Malyk Peters & Creed ("d'QM") is led by a team of attorneys practicing in New York, Florida, New Jersey, Georgia, Pennsylvania and Texas. d'QM counsels a wide variety of clients from start-ups to Fortune 500 corporations and large insurance and annuity companies, federal and state government agencies, and other financial institutions and businesses across many industry sectors. In addition to its complex commercial litigation and life, health, and disability practice, d'QM has a sophisticated transactional practice including structured finance, securitizations, M&A, real estate and commercial transactions.

As a regional firm, we have a deep bench with the flexibility to manage an influx of opportunities. Our years of extensive experience and ability to draw on attorneys from our regional offices, coupled with our nationwide network of local counsel, allow us to provide exceptional service for the varying needs of our clients throughout the country. Beyond our regional strength, our strategic presence in the key international gateways of New York City and Miami positions us to handle matters with domestic and cross-border implications. Whether advising on international transactions, managing multi-jurisdictional disputes, or working alongside foreign counsel, we offer clients the sophistication of a national platform with personal attention.

## AREAS OF PRACTICE:

- Bank Litigation (including Lender Liability)
- Broker Dealer/Investment Litigation
- Commercial Litigation
- Consumer Finance, Credit and Collection Practices Litigation
- Dealer Lending Transactions/Defaults
- Director and Officer Liability Investigation, Compliance & Litigation
- Mortgage Servicing Litigation

## CONTACTS:

### NEW YORK

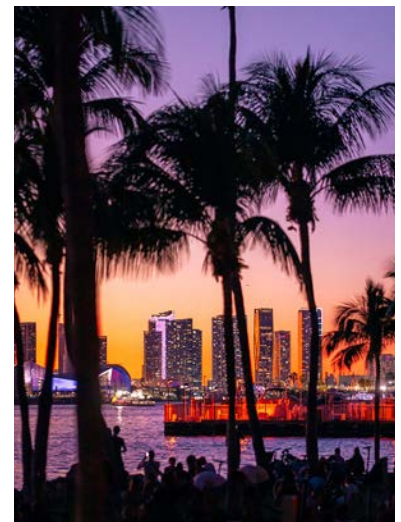
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d'Arcambal Quesada Malyk Peters & Creed LLP

NEW YORK | FLORIDA | NEW JERSEY | GEORGIA | PENNSYLVANIA | TEXAS

DL's Alternative Investments, Litigation and Regulatory Practice Groups comprise the firm's cornerstone offering to the financial services industry. We advise clients in the formation and operation compliance of banking, brokerage, insurance and financial market principal and intermediary businesses. DL also advises managers of investment vehicles, including hedge funds, funds of funds, commodity pools, and venture capital, private equity and real estate funds.

In addition to organizing and providing ongoing representation to hundreds of investment funds and managers, the firm also advises US and international brokers, dealers, electronic market platforms, and banks. We provide a full range of legal services to our financial industry clients, comprising advice on legal structuring and other formation issues (including master-feeder, side-by-side and umbrella fund structures), securities, commodities and derivatives regulation, business term alternatives, marketing arrangements, ISDA and other trading documentation, exchange listing, and international tax planning.

DL serves both buy and sell side clients in structuring and documentation of derivatives transactions, including OTC forex, swaps, futures, options, portfolio leverage facilities, collars, repurchase agreements and participation agreements. We represent dealers and end users in credit default swaps on portfolio and single name exposures, as well as total return swaps on equity securities, hedge funds, loans, asset-backed securities and other assets. We advise companies in hedging currency, commodity, credit and interest rate risk through swaps and other derivatives transactions. In the course of our representation we negotiate ISDA and other industry standard agreements for a broad array of market participants in a wide variety of settings, including private and public offerings of rated securities.

We regularly counsel clients on a multitude of regulatory requirements, including compliance policies and procedures; sales practices, suitability and "know your customer;" supervisory responsibilities and compliance procedures; short sales and stock lending; trading, order handling and trade reporting; insider trading prevention and information barriers; research, advertising and sales literature; online trading; net capital; soft dollars; books and records retention; credit transactions (Regulations T, U and X and SRO margin Rules), including portfolio margining; clearing and prime broker relationships; and CFTC registration requirements and counterparty-analogous requirements under state securities laws. In addition, we conduct audits and internal investigations to assist clients in assessing the adequacy of their regulatory controls.

DL also has significant experience and a record of success in resolving a variety of business, securities and commercial disputes, particularly with respect to complex investment transactions. Our attorneys practice in Federal and State trial and appellate courts and before various regulatory agencies, including FINRA, SEC, NFA and CFTC. Our attorneys also arbitrate before the American Arbitration Association's Commercial and International Dispute Resolution forums.

The Firm's contact person is Vivian Rivera-Drohan, 680 Fifth Avenue, New York, NY 10019, tel: (212) 710-0004, email: [vdrohan@dlkny.com](mailto:vdrohan@dlkny.com).





# Legal for People who Lead

## Financial Services Litigation

- Bank Litigation
- Bankruptcy & Restructuring
- Commercial Litigation
- Consumer Finance
- Creditor's Rights
- Dealer Lending Transactions & Defaults
- Financial Crime and (Anti-) Money Laundering
- Financial Institutions Internal Investigations
- Mortgage and Service Litigation

## Locations

- Omaha, Nebraska
- Sioux Falls, South Dakota
- Sioux City, Iowa
- Spirit Lake, Iowa

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- One Vision
- One Team
- One Goal

## HEAVNER, BEYERS & MIHLAR, LLC

A Women-Owned Law Firm



ILLINOIS + MISSOURI  
[www.hsbattys.com](http://www.hsbattys.com)



**HEAVNER, BEYERS & MIHLAR, LLC** is a full service law firm with 40 years' experience in representing the servicing industry in all aspects of creditors' rights litigation and default related services, offering state-wide coverage in Illinois and Missouri. We are a certified Women's Business Enterprise by the Women's Business Development Center – Chicago Certificate Committee, and are the largest such certified firm situated in Illinois.

We are at the forefront of all challenges and issues facing our clients and are focused on providing value driven solutions to meet those challenges. We are dedicated to cost-effective and efficient delivery of services compliant with regulatory requirements and client demands, and we are committed to creating effective alternative fee arrangements with clients based on the project involved, which we strongly believe to be mutually beneficial to our firm and our clients.

Our Co-Managing Members Julie Beyers and Faiq Mihar personally oversee all departments and services. Julie Beyers, [juliebeyers@hsbattys.com](mailto:juliebeyers@hsbattys.com). Faiq Mihar, [faiqmihlar@hsbattys.com](mailto:faiqmihlar@hsbattys.com).

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### ST. LOUIS OFFICE

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(314) 551-2122

## PRACTICE AREAS

- Mortgage Foreclosures
- Banking + Finance Litigation
- Default Legal Services
- Bankruptcy
- Auto Finance Collections
- Credit Card Collections
- Evictions
- Unsecured Debts + Replevins
- Appellate Practice
- Real Estate
- Title + REO Services
- Regulatory + Compliance

Representing Clients in the Servicing Industry for 40 Years



For over 40 years, Hughes, Watters & Askanase, LLP (**HWA**) has guided creditors, creditors' committees, bankruptcy trustees, and debtors, proactively and defensively, through the intricacies of consumer and business bankruptcy liquidations, reorganizations and cross border insolvency.

HWA attorneys protect unsecured creditors with specialized problems, such as franchisers, landlords, licensees and licensors. Our experts evaluate the security documentation of secured creditors, identify any issues and recommend solutions to avert challenges to lien validity and/or priority.

Our attorneys handle a wide variety of insolvency matters, in diverse venues, ranging from the relatively straightforward to the most complex, including:

- Asset Sales and Purchases
- Claims, Claim Objections, Plan Objections
- Challenges to Lien Validity/Priority
- Lien Enforcement on Collateral
- Litigation and Adversary Proceedings
- Preferences and Fraudulent Transfers
- Chapter 7 and Chapter 11 Trustee representation

Our solutions are pragmatic, economical and demonstrate a commitment to do whatever it takes to protect and preserve our clients' interests

## *HWA*

*Was one of the first creditor firms to participate in HACBA (Houston Association of Consumer Bankruptcy Attorneys), 1996- current, resulting in excellent and collegial relationships with Houston's debtor attorney bar.*

*Has the first woman appointed as a Chapter 7 Panel Trustee, Southern District of Texas, Houston & Galveston Divisions, 1984- current*

*Attorneys have 7 certifications in Business and/or Consumer Bankruptcy Law, Texas Board of Legal Specialization*



# JOHNSON, BLUMBERG & ASSOCIATES, LLC

## ATTORNEYS AT LAW

Johnson, Blumberg & Associates, LLC is a minority-owned law firm serving clients for approximately 15 years in the Midwestern states of Illinois, Wisconsin, Indiana, Michigan, Minnesota and Iowa. We represent lenders and servicers, note buyers, creditors and other security holders in federal, bankruptcy, and state courts.

### AREAS OF PRACTICE:

- **Default Litigation:** JB&A offer our clients cradle-to-the-grave service, including initiating the foreclosure suit, curing title issues, entry of the foreclosure judgment, scheduling of and bidding at sheriff's sale, recording the deed or certificate, evicting and gaining possession of the premises, if necessary, and handling the REO closing. We protect the interests of clients holding junior mortgages by filing answers, judgment affidavits, and petitions for surplus. Additionally, we handle all aspects of contested litigation associated with residential and commercial mortgage foreclosures, appeals, and defending our clients in suits for TILA, fraud, tort actions and other claims.
- **Bankruptcy:** The Bankruptcy Department files proofs of claim, motions for relief from automatic stay, motions to dismiss, attends Rule 341 meetings of creditors, handles contested cram-down litigation and complex Chapter 11, 12, and 13, presentation, defends preferential transfer actions, and defends and pursues adversary claims.
- **REO Closings:** The REO Department negotiates terms with the buyer, clear exceptions from title, comply with all municipal requirements, including water bills, zoning and inspection issues, prepare full closing documentation, attend the closing, review closed loan packages and ensure that proceeds are promptly received by the lender or investor.
- **Commercial Litigation:** The Commercial Litigation Department represents lenders and creditors in a wide range of commercial transactions and litigation in state, federal, and bankruptcy courts at both trial and appellate levels. We represent businesses in matters involving obtaining, protecting and enforcing security interests, defending against fraud and other tort actions, and prosecuting and defending contract disputes. We have extensive experience representing landlords and court-appointed receivers in foreclosure, litigation, eviction, loan workout, collection and bankruptcy matters.

### Representative Clients:

FDIC  
SETERUS  
PHH MORTGAGE  
WELLS FARGO  
FANNIE MAE  
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CONTACT:  
Kenneth J. Johnson  
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Wisconsin office  
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Suite 408  
Milwaukee, WI 53203

Michigan Office  
5955 W Main Street, Suite 18  
Kalamazoo, MI. 49009

Iowa Office  
309 Court Avenue, Suite 249  
Des Moines, IA. 50309

**About León Cosgrove**

León Cosgrove is a litigation boutique, drawing its talent from the ranks of top-tier law firms such as Morgan, Lewis & Bockius, Hunton & Williams, Skadden Arps, DLA Piper, Ropes & Gray, Winston & Strawn, Boies Schiller, and other Am Law 100 firms. Many of us are former federal law clerks, and all of us have substantial expertise in high-stakes litigation.

León Cosgrove's attorneys have experience in a wide range of commercial litigation matters and have represented Fortune 500 companies, including some of the largest financial institutions in the world. We are experts in various areas of the law, including, but not limited to:

**Bank and Mortgage Litigation** – Our attorneys have experience in lender liability actions, suits by loan participants, actions arising from letters of credit and other forms of commercial paper, commercial and residential foreclosure actions, and loan fraud matters. We have obtained judgments exceeding \$400 million on behalf of our clients in disputes involving financial services and products, and defended some of the world's largest financial institutions in over 200 jurisdictions across the United States.

**Bankruptcy** – We routinely represent financial institutions, vendors, landlords, secured and unsecured creditors, troubled companies, officers and directors, official and ad hoc committees of creditors, purchasers of distressed debt and assets, bankruptcy and liquidation trustees, and state and federal court-appointed receivers. Members of our firm regularly appear in bankruptcy and other courts, representing plaintiffs and defendants in actions involving preferential and fraudulent transfers, financial fraud, Ponzi schemes, breaches of fiduciary duty, creditors' rights, and insolvency related matters.

**Broker Dealer and Investment Litigation and Arbitration** – Our lawyers have handled hundreds of disputes, both customer-initiated and intra-industry, for some of the largest financial institutions in the United States. These cases typically involve allegations regarding suitability, misrepresentation and fraud, unauthorized trading, churning, product failures, failure to supervise, selling away, and variable annuity and mutual fund sales practices.

**Commercial Litigation** – León Cosgrove has been recognized as a leader in commercial litigation, reflecting its lawyers' significant experience in representing clients in all types of breach of contract, fraud, fraudulent conveyance, tortious interference, unfair competition, RICO, breach of fiduciary duty, negligence, and other commercial actions in state and federal courts throughout the country, as well as in arbitration proceedings.

**Super Lawyers®**



## FINANCIAL SERVICES LITIGATION

LimNexus is a business law firm providing efficient, personalized and solutions-based service to our clients. Our financial services litigation experience includes representing clients in state and federal courts throughout California and in arbitration proceedings concerning contract disputes, consumer credit, debt collection, deceptive practices, fraud and scams, statutory violations, government enforcement cases, and others.

LimNexus litigators and trial attorneys are problem-solvers. We work closely with our clients to identify their objectives and develop a tailored strategy to achieve those goals. We help our clients resolve disputes with customers, counterparties and others, and are mindful not of only litigation costs, but reputational risk and organizational impact. Frequently the strategy calls for positioning the matter to be resolved by dispositive motion or settlement, with a focus on efficiency and cost-effectiveness. However, if trial becomes necessary or appropriate, our seasoned trial attorneys make sure that each client's case is presented in an effective and compelling way to maximize the likelihood of a positive outcome for our clients.

### Practice Areas

- Bankruptcy & Restructuring
- Corporate
- Government Enforcement & White Collar
- Intellectual Property
- Labor & Employment
- Litigation, Arbitration & Trial
- Real Estate

### Locations

**Los Angeles, CA**  
707 Wilshire Blvd,  
46th Floor  
Los Angeles, CA 90017  
(213) 955-9500

**San Francisco, CA**  
50 California Street,  
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**NAMWOLF**



## NAMWOLF FINANCIAL SERVICES LITIGATION PAC

Benjamin D. Cooper is a recent addition to Moore & Associates and the FSL POC. He serves as *Of Counsel* and a senior litigator. He has significant experience in financial services litigation and regulation which he gained through different perspectives – as outside counsel for D&O liability insurance carriers, as a Registered Investment Adviser, as outside counsel for Merrill Lynch and other Wall Street banks, as in-house counsel, and principal/associated person for several large broker-dealer/RIA networks and as an attorney investigator with industry SRO FINRA Enforcement. Benjamin's draws on his diverse experience within the financial services industry to analyze complex and/or novel issues from multiple perspectives and identify optimal solutions.

Benjamin was part of team that successfully defended directors and officers in some of the largest bank failures in recent history. He also has substantial business experience having founded a Registered Investment Adviser and several real estate investment firms. Benjamin combined his business and legal experience becoming an integral part of Eversheds Sutherland's Broker-Dealer Litigation group which successfully defended hundreds of claims arising from the collapse of the dotcom bubble and where he received the firm's prestigious Pro Bono Award. Subsequently, Benjamin held the position of Associate General Counsel for AIG's broker-dealer division where he was responsible for nationwide litigation during and after the Great Financial Crisis. He also held the position of Chief Legal Officer and Corporate Compliance Officer at Securian Financial's broker-dealer subsidiary until the sale of the firm to private equity. Benjamin held a position as an integral member of FINRA's Enforcement Department where he investigated potential violations of securities laws including fraud, sales practice, insider trading, AML, Reg ATS, Reg NMS, Order Routing/Best Execution, 529 Plans, VA exchanges, Rule 5122 Member Private Offerings and Rule 4530 customer complaint filings.

Benjamin holds a Bachelor of Arts Degree in Political Science from the University of Michigan (*with Distinction*) and a Juris Doctor Degree from Northwestern University School of Law (*Cum Laude*). He is licensed to practice law in Georgia and Illinois.

### FIRM

Moore & Associates  
kteyamoore.com

### FIRM CONTACTS

Managing Partner: K. "Teya" Moore | [kkm@kteyamoore.com](mailto:kkm@kteyamoore.com)  
Senior Counsel: Benajmin D. Cooper | [bdc@kteyamore.com](mailto:bdc@kteyamore.com)

Orlans PC is one of the largest WBENC certified women owned law firms in America, representing 200 of the largest banks, servicers, finance companies, government agencies and Fortune 500 companies.

The firm concentrates its practice in secured and unsecured loan resolution including mortgage and auto defaults, credit card collections, bankruptcy, complex litigation, eviction, residential and commercial real estate transactions/REO sales, portfolio due diligence and regulatory compliance.

Orlans integrates sophisticated technology and Lean Six Sigma principles with robust quality assurance and control, defining a new standard of client service, cost containment and precision.

Our attorneys are client focused, forward thinking and innovative. Our attorneys work closely with our clients to manage risk and find legally compliant solutions for their most complex challenges. The Firm provides legal services in the jurisdictions of Delaware, District of Columbia, Florida, Maryland, Massachusetts, Michigan, New Hampshire, Rhode Island and Virginia.

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# FINANCIAL SERVICES LITIGATION

NILAN JOHNSON LEWIS (NJL) is an innovative, independent, women-owned law firm that is a first choice for organizations across the country seeking deep expertise to solve their complex business challenges and disputes. The firm's status as one of the largest women-owned law firms nationwide reflects NJL's longstanding commitment to fostering a diverse and inclusive workplace. We deliver exceptional results precisely because we celebrate and advance the diversity of our team and clients.



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## DEEP EXPERTISE

Nilan Johnson Lewis is a proven legal service leader advising financial service firms and institutions throughout their business lifecycles in transactions and risk exposure and management.

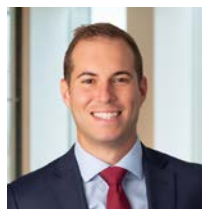
## LITIGATION AND INVESTIGATIONS

NJL's litigators represent clients who find themselves at the center of audits, investigations, disputes, or bankruptcy filings with other parties. Our team works swiftly to represent your client's interests and resolve the matter, including handling all aspects of litigation in state and federal courts, and enforcing loan documents, leases, and contract actions. We also advise on non-compete and trade secret covenants and fiduciary and professional liability allegations involving fraud, wrongful sales, unsuitable investment recommendations, and similar.

Legal services we provide:

- Transactional counsel, negotiation and due diligence for commercial finance, including corporate loans, financings, deals, securitizations, buyouts, and real estate/land transactions
- Transactional counsel, negotiation and dispute resolution for bankruptcy and insolvency instances, including liquidations, reorganizations, discharge of debt, and creditors' rights
- Litigation through verdict for single-party, multi-party and class action matters involving breach of contract, fraud and abuse, employment law, professional liability/malpractice, premises liability, and other claims
- Internal investigation support, including serving as neutral investigators of claims and advising special litigation committees designated by boards
- Acquisition, enforcement, and commercialization of IP and technology assets

## OUR FINANCIAL SERVICES LITIGATION PAC MEMBER



**CHRIS RUSKA**  
612.305.7617

[cruska@nilanjohnson.com](mailto:cruska@nilanjohnson.com)





A full-service business  
law firm practicing  
nationwide



## We Know Financial Services

[perezmorris.com](http://perezmorris.com)

Perez Morris represents a wide variety of clients in the financial services industry. From small, regional banking institutions to national as well as global retail and commercial banks, our attorneys routinely handle matters including, but not limited to, allegations of breach of contract, breach of fiduciary duty, statutory violations, regulatory violations, negligence, fraud and misrepresentation. We both counsel our clients routinely on developments within the industry that may impact their business and also serve as trusted advisors and zealous advocates for them before, during, and after claim events that in some cases may only be resolved through litigation.

Our financial services clients know that we truly partner with them in understanding their business, listening to their concerns and needs, and ultimately delivering unparalleled results that are efficient and cost-effective while being truly responsive to the organization's goals and objectives.

At Perez Morris, we believe that great legal outcomes require hard work, attention to detail, and above all, reliable expertise. Our clients know that we do this – for them – every day.

## CONTACT

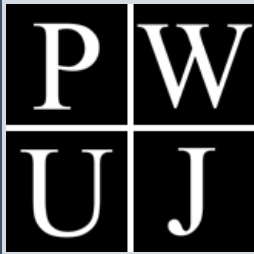


**Sarah Perez**  
[sperez@perez-morris.com](mailto:sperez@perez-morris.com)

## OFFICES

Ohio  
Connecticut  
Massachusetts  
New Jersey  
New York  
Pennsylvania





## Pinckney, Weidinger, Urban & Joyce LLC

*“Big firm” talent, sophistication and experience, with “small firm” personalization of service and rate structure.*

### DELAWARE LITIGATION COUNSEL

#### FINANCIAL SERVICES LITIGATION

*We routinely work with outside counsel from all over the country!*



Michael Weidinger  
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Elizabeth Wilburn Joyce  
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Megan Ix Brison  
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mixbrison@pwujlaw.com

**Financial Services Industry Representation**  
**in the Delaware Court of Chancery, Delaware Federal Courts,**  
**and other Delaware State Courts**

 ***Corporate and Commercial  
Litigation***

 ***Director & Officer Representation***

 ***Merger & Acquisition Disputes***

 ***Hedge Fund Litigation***

 ***Fintech Disputes***

 ***Partnership & Limited Liability  
Company Disputes***

 ***Trust Disputes***

 ***Breach of Contract Disputes***

 ***Indemnification Disputes***

 ***Earn-Outs & Restrictive Covenant  
Disputes***

**NAMWOLF**  
LAW FIRM MEMBER

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1055 West 7th Street, Suite 3200  
Los Angeles, CA 90017  
213-426-5000

**Employment Litigation**

**San Diego Office**  
101 West Broadway, Suite 1105  
San Diego, CA 92101  
619-398-4800

**Entertainment**

**General Liability**

**Oakland Office**  
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## SmithDollar<sup>PC</sup> ATTORNEYS AT LAW

404 Mendocino Avenue, Second Floor  
Santa Rosa, California 95401

Contact: Rachel Dollar  
rdollar@smithdollar.com

Telephone (707) 522-1100  
Facsimile (707) 522-1101

Smith Dollar PC is a certified, woman-owned law firm with deep experience in financial services.

### Practice Areas:

**Lending Counsel/Transactional** - Smith Dollar provides counsel and advice to financial institutions concerning the establishment of lending policy and procedure and enforcement of specific collateralized obligations. We also draft internal and external documentation including trust opinions, lending opinions letters, promissory notes and deeds of trust, escrow instructions, borrower correspondence and notices. Smith Dollar administers a nationwide specialty lending program for a top 10 bank.

**Commercial Lending Litigation** - Smith Dollar handles judicial and non-judicial foreclosure of real property and mixed security loans, including guarantee enforcement and workouts. We have significant experience with SBA lending, construction-to-perm and cross-collateralized loans.

**Consumer Lending Litigation** - Smith Dollar handles both origination and servicing litigation in the mortgage space including matters alleging violations of RESPA, TILA, ECOA, FHA, FDCPA, FCRA, UDAPP and HBOR. Smith Dollar also handles litigation arising out of automobile based financing and breach of warranty.

**Repurchase Litigation** - Smith Dollar has been national repurchase counsel to several large financial institutions, enforcing contractual representations and warranties and recovering losses against downstream mortgage sellers. We developed standardized pleadings, developed strategy and litigated complex issues related to forum selection, statute of limitations, indemnity enforcement and contractual interpretation.

**Title Curative** - Smith Dollar has significant experience resolving complex title issues both pre and post origination. We have litigated title related issues, established equitable liens, made and enforced title claims and successively prosecuted actions against title insurers for declaratory relief, breach of the insurance contract and bad faith.

**Recovery and Fraud Litigation** - Smith Dollar handles all types of fraud and recovery litigation including fraud, negligence and breach of contract actions against mortgage brokers, real estate agents, escrow and settlement agents, notaries and title companies. We have significant experience in closing protection letter enforcement and director and officer liability.

### Representative Financial Services Clients

FDIC  
Wells Fargo  
JPMorgan Chase  
U.S. Bank  
CIT Bank  
Lehman Brothers

BMO Harris Bank  
Homeward Residential  
Aurora Loan Services LLC  
Prospect Mortgage LLC  
First Tech Credit Union  
Redwood Credit Union

Security Service Federal Credit Union  
American Home Mortgage Servicing  
Luther Burbank Savings & Loan  
Homebridge Financial Services  
Quantum Servicing Corporation  
Colonial National Bank

# STARK

**THE STARK FIRM is a practice that covers over 24 states with a focus solely on the representation of financial institutions.**

## Lawyers

ERIN ELIZABETH STARK (AL, GA)

STEVE D. JANEL (PA, NJ, MD)

SUMMER WILLIAMS (FL)

JESSICA EHRLICH (FL)

JAMES WOOD (TX)

TONYA WARD (TX)

DANIELLE LUBIN (VA, DC, MA)

KIYAM POULSON (NY)

STEVE BIEGENZAHN (CA)

## Office Location

ATLANTA\*

WASHINGTON DC PHILADELPHIA

NEW YORK

LOS ANGELES

DALLAS

MIAMI

Stark has been certified as a woman-owned business by the Women's Business Enterprise National Council and an SBA Women Owned Small Business for over 20 years. Stark and our attorneys are also proud members of the National Association of Minority and Women-Owned Law Firms (NAMWOLF). The founding partner, an active member of Platinum Women's President Organization and NAGGL, has a deep expertise in banking, finance, lending, real estate transactions, bank regulatory, SBA services, and creditor's rights which we have developed into a thriving firm supporting national and regional financial institutions. The firm is dedicated to serving the litigation and transactional needs of our banking clients through our diverse group of highly qualified attorneys. Stark is committed to exceeding expectations.

## Practice Areas

- BANKING & FINANCE
- COMMERCIAL & CUSTOM LENDING (SECURED OR UNSECURED)
- COMMERCIAL CAPITAL
- COMMERCIAL CARD
- COMMERCIAL LITIGATION
- ASSET-BASED LENDING
- WEALTH & INVESTMENT MANAGEMENT
- TREASURY MANAGEMENT
- REAL ESTATE
- FINANCE REAL ESTATE & LAND USE
- DISTRESSED REAL ESTATE, CREDITORS RIGHTS & WORKOUTS
- PRE-LITIGATION COLLECTION
- FINANCIAL SERVICES LITIGATION
- BANKRUPTCY, RESTRUCTURING, & INSOLVENCY
- FORECLOSURES
- POST-JUDGMENT COLLECTION & REPLEVIN ACTIONS
- BANK REGULATORY
- SBA SERVICES
- BANKING AND TRUSTS
- CORPORATE GOVERNANCE

A wide-angle photograph of the Seattle skyline across a body of water. The city's skyscrapers are visible against a clear sky, with the water in the foreground.

# INSOLVENCY & CREDITORS' RIGHTS LITIGATION

Not all loans perform with perfection. And debts are not always paid. It's called "the business cycle" for a reason, after all. When the seas get rough, we help our lender and business clients choose the right path.

**Nonjudicial Remedies.** Many disputes and defaults can best be resolved without litigation and our attorneys know how to effectively implement lenders' and other creditors' remedies short of litigation. We routinely assist in work outs and forbearance agreements with an eye toward returning a loan or a business deal to a performing status or in a structured collaboration with the borrower/debtor to optimize the value of collateral in liquidation. When this path does not lead to a satisfactory outcome, we are able to quickly begin repossession or foreclosure proceedings.

**Judicial Remedies.** Whether the choices are to sue on a Note and Guaranty, seek judicial foreclosure, initiate collection proceedings, or have a receiver appointed to preserve and liquidate assets, our attorneys have deep experience in the relevant law and are at home in the courtroom. We are able to advise on the benefits and potential pitfalls of a particular course of action and bring to bear decades of experience with receiverships, state court proceedings, and the bankruptcy courts.

**Bankruptcy Proceedings.** Our insolvency & creditors' rights attorneys provide counseling and advocacy services to creditors involved in or impacted by bankruptcy-related matters. We represent both secured and unsecured creditors in all types of creditor rights and insolvency related litigation. Our unsecured creditor clients run the gamut from small businesses pursuing collection of a claim to institutional investors and shareholders. We also represent unsecured creditors with specialized problems, such as landlords, vendors, and franchisors, helping our clients navigate and achieve the most favorable resolutions for their businesses. Secured creditor clients may include lenders and other trade vendors, along with a range of other creditors. After evaluating the best approach for our creditor clients, we make practical recommendations and are ready to employ the full range of creditors' remedies in the bankruptcy courts, including motions for relief from the bankruptcy stay, objections to plan confirmation, defending against objections to claims, motions to allow administrative expenses, and the like. We also assist our clients in opposing preference actions and other clawback litigation under the bankruptcy code.

**Maximizing Recovery.** When enforcement proceedings begin, our lender and creditor clients want to minimize the risk of loss. We take a practical, cost-effective approach focused on what will provide the best net benefit at the end of the day.



**Claire H. Taylor**  
206.892.2192  
[claire.taylor@stokeslaw.com](mailto:claire.taylor@stokeslaw.com)

**National and Global Reach.** In order to better serve our clients needing representation outside of Washington, Stokes Lawrence is a member of two prestigious organizations, National Association of Minority and Women Owned Law Firms (NAMWOLF), and, Lawyers Associated Worldwide (LAW).

*For a full list of our Financial Services attorneys, please visit  
[www.stokeslaw.com/practice-areas/financial-services](http://www.stokeslaw.com/practice-areas/financial-services)*



[www.stokeslaw.com](http://www.stokeslaw.com)





FSL PAC Contact  
ERICA BYRD  
ebyrd@vablawfirm.com



## Financial Service Practice Areas:

- Draft loan documents for financial institutions, including origination documents, modifications, and forbearances
- Prosecution of commercial foreclosures
- Judgment enforcement and collections
- Receivership actions
- Regulatory and employment issues for financial institutions

## Representative Engagements:

- Counsel financial institutions on documentation for commercial lending departments
- Represent creditors in adversary proceedings and related bankruptcy matters
- Represent receiver of insolvent financial institution
- Conduct internal investigations for financial services client on sensitive business issues



## Financial Services Litigation

The financial services landscape is in constant flux. New laws, regulations and administrative oversight require continuous monitoring and understanding. Financial services companies expect personalized advice and recommendations from attorneys with knowledge of, and experience within, the existing legal framework, coupled with the ability to obtain results in a practical, cost-effective manner. Our attorneys possess a broad range of financial services litigation experience in the areas of commercial lending and lender liability, commercial law, bankruptcy and financial restructuring, insolvency, creditors' rights and fiduciary services.

## Our Financial Services Team

Walsh attorneys offer clients extensive in-court experience (including alternative dispute resolution), having successfully represented all types of business entities, financial institutions and individuals in a wide range of complex commercial litigation matters throughout New Jersey and New York, at both the state and federal level, from inception through trial and appeal. Our team also regularly represents lenders and parties-in-interest in connection with bankruptcy litigation and contested matters, commercial loan workouts, foreclosure and debt-instrument litigation, tax sale foreclosures, judgment enforcement and other insolvency-related matters. Walsh also represents lenders in litigation relating to the Fair Credit Reporting Act and other compliance-related statutes, laws and regulations.

Our attorneys have equal experience representing debtors and creditors in both bankruptcy liquidations and restructurings, and serve as national bankruptcy counsel to a number of domestic and foreign corporations. Our firm also serves as primary bankruptcy counsel to a publicly traded banking corporation headquartered in New Jersey. Our team prides itself on its ability to provide the firm's financial services clients with dynamic and pragmatic counseling and solutions.

## What Sets us Apart

The number and representative experience of our attorneys allows us to offer clients unique, personalized and cost-effective legal services to accommodate virtually every need in the commercial lending, asset disposition, commercial law, distressed debt and insolvency-related spaces. Walsh's attorneys routinely collaborate among our firm's practice groups to provide clients with access to other lawyers with experience in class-action defense, litigation, labor and employment, intellectual property and other specialized areas in an inter-disciplinary approach to provide guidance and solutions to any financial services or risk management issue that may arise.



## Additional Practice Areas

Appeals • Bankruptcy, Reorganization & Creditors Rights • Business & Commercial Litigation • Class Action Defense • Construction • Cyber Security & Data Privacy • eDiscovery • Education • Insurance • Intellectual Property • Internal Investigations • Labor & Employment • Mediation, Arbitration & Special Master Appointments • Regulatory & Public Policy • Special Education • Trade Secrets & Employee Mobility

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## COMPREHENSIVE FINANCIAL SERVICES LITIGATION PRACTICE

Wong Fleming's Financial Services Litigation practice is adept at representing major financial institutions, including commercial banks, lenders, and creditors, in a wide range of disputes. Our attorneys bring extensive experience in handling cases involving loan defaults, lender liability, fraudulent transfers, bankruptcy litigation, and enforcement of security interests. We also manage disputes related to financial products, regulatory compliance, and breach of fiduciary duties. Leveraging our deep knowledge of both the financial and legal landscapes, we effectively navigate complex litigation in state and federal courts, as well as in arbitration and mediation settings. Our firm's commitment to understanding the intricacies of each client's business allows us to craft tailored strategies that minimize risk and achieve optimal results, ensuring that our clients' financial interests are robustly protected.

### Key Service Areas

- Bank Litigation
- Lender Liability
- Bankruptcy & Restructuring
- Broker/Dealer Investments
- Commercial Litigation
- Commercial Collections
- Creditors' Rights
- Internal Investigations
- Real Estate Mortgage Financing
- Loan Workouts
- Consumer Finance
- Dealer Lending Transactions/Defaults

Our representative clients include:

Bank of America, BMO Harris Bank, N.A., Comerica Bank, Ford Motor Credit, Harley-Davidson Financial Company, Home Depot, KeyBank National Association, Prudential Financial, Wells Fargo, FDIC, Coca-Cola, Macy's, Merck, New York State Insurance Fund, Allstate Insurance Company, Nationwide Mutual Insurance Company, The Prudential Insurance Company, Metro-North Commuter Railroad Company, Xerox.

Our member and co-chair of the NAMWOLF Financial Services Litigation PAC:



**Ramina Dehkhoda-Steele**  
Partner  
425.869.4040  
rdehkhoda@wongfleming.com

## Our Practice Areas

- Alternative Dispute Resolution
- Commercial Litigation
- Corporate Asset Recovery
- Corporate Law
- Creditors' Rights & Bankruptcy
- Employment and Labor Law
- Entertainment, Arts & Sports
- Environmental
- Finance
- Government Relations
- Immigration
- Intellectual Property
- International
- Private Client Services
- Outside General Counsel Services
- Products Liability and Mass Tort Litigation
- Real Estate
- Tax Lien Enforcement
- Transactional Law
- Trial, Insurance Defense and Coverage

## About Us

Wong Fleming was founded in 1994 and has over 30 attorneys in offices across the country and in Mexico, Canada, and Germany. The firm is AV-rated and more than 75% of the firm's attorneys are minorities, women or both. We pride ourselves on our innovation, representing clients vigorously and keeping in mind their business interests.

# WRIGHT, FINLAY & ZAK, LLP

EXPERIENCE. SERVICE. RESULTS.



WRIGHT FINLAY & ZAK<sup>LLP</sup>  
ATTORNEYS AT LAW



Wright, Finlay & Zak is nationally certified as a Women's Business Enterprise by the Women's Business Enterprise Counsel-West, a regional certifying partner of the Women's Business Enterprise National Council (WBENC). WFZ is also a proud member of the National Association of Minority and Women Owned Law Firms (NAMWOLF).



## KEY CONTACTS



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Cathy K. Robinson  
Partner  
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(949) 269-4151

## OVERVIEW

Wright, Finlay & Zak, LLP ("WFZ") is a full-service litigation and transactional law firm with offices in California and Nevada. We also have attorneys who are licensed in Washington, Arizona, Oregon, Utah, New Mexico, Montana, Hawaii, Idaho, and South Dakota, allowing WFZ to assist you with your legal needs throughout the Western United States. WFZ provides its legal services at reasonable hourly rates, with particular attention to the overall cost of any matter. Our goal is to provide quality representation and excellent service at a reasonable cost.

## LOAN SERVICING AND DEFAULT LITIGATION

WFZ's core practice area includes representing national, regional and small loan servicers, investors and originators in all aspects of mortgage banking and consumer finance litigation. Representation ranges from defending creditors in individual borrower cases to class and "mass" actions involving payment misapplication, loan modification, wrongful foreclosure, predatory lending, bankruptcy, title, rent control, force-placed insurance, unfair business practices and other mortgage-related issues impacting our clients. Our attorneys have experience in defending cases involving most Federal and State statutes, including the California and Nevada HOBRA, TILA, RESPA, FCRA, FDCPA, California's Rosenthal Act, TCPA, SCRA, RICO, HAMP and HAFA. We successfully resolve most cases via settlement that results in a performing asset or liquidation. WFZ also assists its clients in mortgage fraud recovery, helping our clients recover mortgage losses caused by originator, broker or appraiser fraud and negligence. WFZ can also assist with affirmative actions for judicial foreclosure, deficiency judgments, title curative and receiverships.

If you have any questions pertaining to this area of practice, please contact **Robert Finlay** or **Robin Wright**.

## SERVICING COMPLIANCE

WFZ provides mortgage servicing clients with all aspects of regulatory compliance advice. WFZ's attorneys have assisted its clients with compliance with the CFPB Rules, National Mortgage Settlement and various federal statutes, including FDCPA, RESPA, TILA and TCPA. We have designed programs for many of our clients to ensure compliance with California and Nevada's Homeowner Bill of Rights, Washington's Foreclosure Fairness Act, and state and federal successor in interest laws. WFZ's Compliance, Licensing and Regulatory Division is actively involved in the state lobbying process and has direct access to lobbyists in many of the states we cover on the West Coast. WFZ is able to track pending laws, oppose unwanted laws and revise bills that are likely to pass, which helps our clients track potential new legislation and implement new procedures to ensure compliance when the new laws go into effect. WFZ also assists clients in responding to regulatory complaints and investigations relating to loan servicing.

If you have any questions about our servicing compliance practice, please contact **Michelle Mierzwa** or **Robin Wright**.

## GENERAL COMMERCIAL LITIGATION

WFZ's attorneys are experienced in representing parties in all types of breach of contract cases, including breach of contract claims involving tort allegations. Examples include garden variety business disputes, commercial lease agreements, real estate and other investment deals, sales representation agreements, partnership agreements, intra-company shareholder disputes and creditor claims. WFZ is also known for its Real Estate and Consumer Finance practice. WFZ's attorneys can handle all types of real estate and finance related disputes, including those involving real estate purchase and sale agreements, leases, loan agreements, title issues, partnerships and joint ventures, as well as any other real estate related matter.

For questions about our general commercial litigation practice, please contact **Cathy Robinson**.





NAMWOLF

FINANCIAL SERVICES  
LITIGATION

PRACTICE AREA COMMITTEE