

Fall 2025

Directory & Interactive Map

Your resource for finding
vetted NAMWOLF firms in the
right location and with the
right skill set.



COMMITTEE CHAIRS



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The NAMWOLF Compliance & Investigations Practice Area Committee

The Compliance and Investigations Practice Area Committee (PAC) is comprised of law firms and attorneys who have significant experience designing and implementing corporate compliance and ethics programs, as well as conducting internal or government-initiated investigations. The expertise within our members includes, but is not limited to investigating whistleblower complaints, defending qui tam litigation, counseling organizations as they navigate regulatory compliance challenges, advising and preparing companies to make self-disclosure decisions, defending clients in civil and criminal investigations by state and federal agencies (e.g., State Attorneys General, FTC, DOJ, SEC, FINRA, etc.), and investigating employment issues (including allegations of discrimination and policy violations). Our members have covered all aspects of compliance programs, including the development of compliance controls, risk assessments, and interfacing with external monitors. The Compliance and Investigations PAC is a resource for in-house counsel seeking qualified firms to assist them with these sensitive regulatory compliance matters. Members combine the requisite legal knowledge and skills with business acumen to craft client-focused solutions.



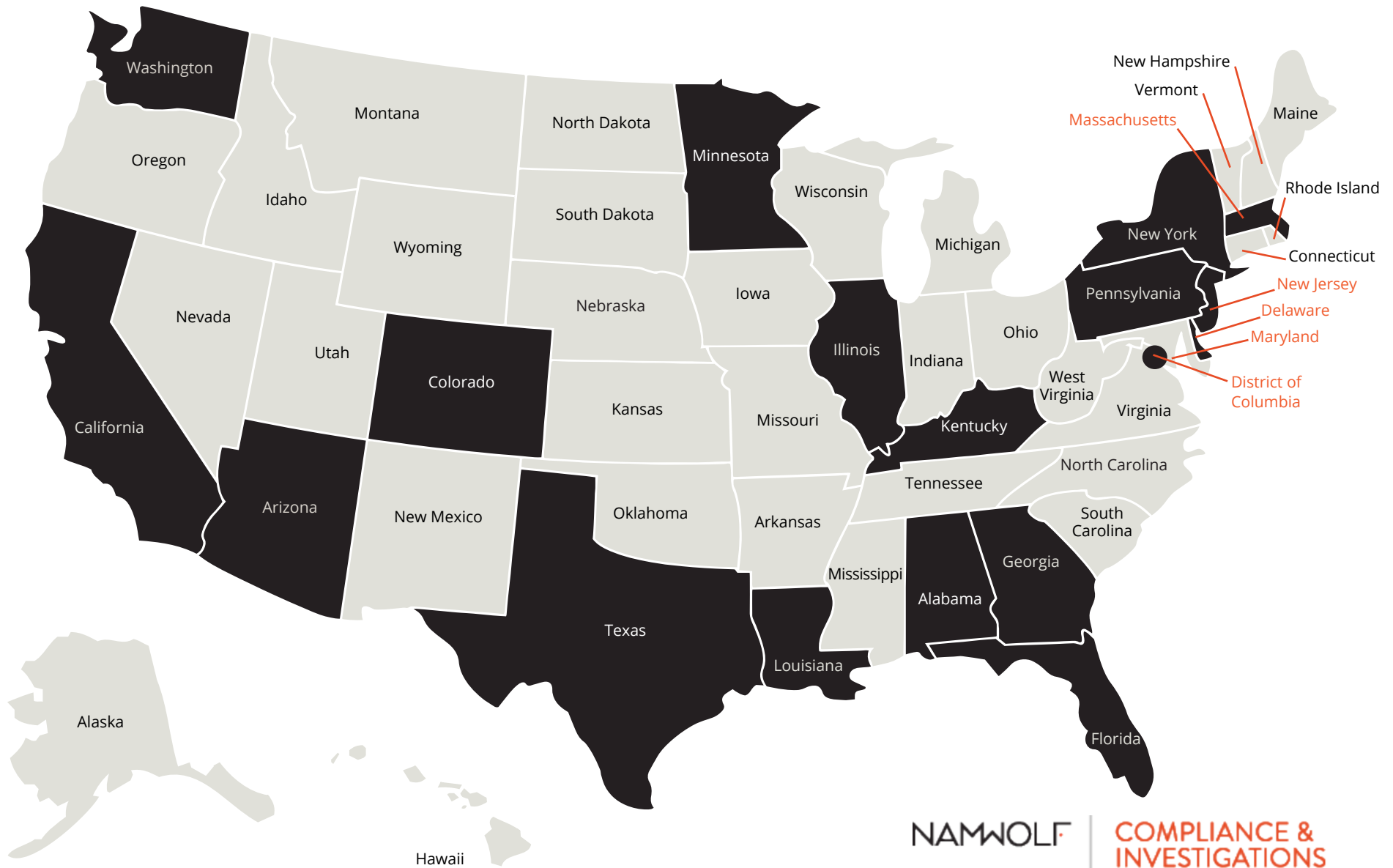
NAMWOLF

Go to PAC page on namwolf.org

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Interactive Map Tool | Find Our Law Firms by Location

HOW TO USE THIS TOOL **1)** Click on the **name** of any state that is filled in **black** to see **(a)** a list of PAC member firms with offices in that jurisdiction and, **(b)** attorney contact(s) for each firm that incorporates a general overview of the firm's regulatory compliance and investigative expertise. **2)** See a firm you like on that page? Click on its logo to view a more fulsome firm profile with practice-specific details. **3)** Learn even more by clicking on the profile page itself to view the firm's website. Please feel welcome to contact a lawyer directly via the firm profile page, or reach out to either of our **PAC Chairs** for further assistance.



Alabama








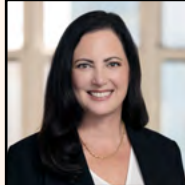
Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details		
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Birmingham, AL » Boston, MA » Chicago, IL » Dallas, TX  » Delaware » Denver, CO » Houston, TX » Philadelphia, PA » Newark, NJ » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC

Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC		General Practice Experience Click on Firm Logo to See Their Profile for More Details		
	<ul style="list-style-type: none"> » Chicago, IL » Denver, CO » Los Angeles, CA  » New York, NY » Phoenix, AZ » Seattle, WA 	 <p>Eileen Letts <i>Email</i></p>		Compliance <ul style="list-style-type: none"> » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Healthcare » Internal Investigations » SEC






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	<ul style="list-style-type: none"> » Los Angeles, CA 🏢 » New York, NY » Silicon Valley, CA 	 <p>Alison Kehner <i>Email</i></p>		Compliance <ul style="list-style-type: none"> » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Government Contracting » I-9 compliance and government investigations by immigrant and employee rights (IER) and ICE » State AG Investigations » Other: Risk Assessment for SEC-regulated entities, accounting professionals, and attorneys 	Compliance Agency Experience <ul style="list-style-type: none"> » CFTC » EEOC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations
	<ul style="list-style-type: none"> » Chatham, NJ 🏢 » New York, NY » San Diego, CA 	 <p>Robin H. Rome <i>Email</i></p>	 <p>Phill Lipari <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Evaluation of Compliance Programs (including monitoring and auditing) » Other: AI and Employment Practices 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Other: AI Compliance » Other: Training and/or Evaluation of Investigation Practices/Audit





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 QUINTAIROS, PRIETO, WOOD & BOYER P.A.	<ul style="list-style-type: none"> » Boston, MA » Chicago, IL » Lexington, KY » Los Angeles, CA » Miami, FL » New York, NY 	 Kristopher Keys Email	 Anthony Schumann Email	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » CFTC » DHS » EEOC » FCC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » SEC » Title IX Investigations
 ZFZ Law LLP	<ul style="list-style-type: none"> » Los Angeles, CA 	 Rachel Fiset Email	 Jeanine Zalduendo Email	Compliance <ul style="list-style-type: none"> » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » CFPB » CFTC » DHS » EPA » FCC » FERC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations






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	<ul style="list-style-type: none"> » Chicago, IL » Denver, CO » Los Angeles, CA  » New York, NY » Phoenix, AZ » Seattle, WA 	 <p>Eileen Letts <i>Email</i></p>		Compliance <ul style="list-style-type: none"> » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Healthcare » Internal Investigations » SEC






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	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Birmingham, AL » Boston, MA » Chicago, IL » Dallas, TX » Delaware » Denver, CO » Houston, TX » Philadelphia, PA » Newark, NJ » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>		Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Austin, TX » Denver, CO » Houston, TX » Miami, FL » New York, NY 	 <p>Marcos D. Jimenez <i>Email</i></p>	 <p>Cristina Moreno <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Government Contracting » I-9 compliance and government investigations by immigrant and employee rights (IER) and ICE 	Compliance Agency Experience <ul style="list-style-type: none"> » DHS » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations



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	<ul style="list-style-type: none"> » Chicago, IL » Denver, CO » Los Angeles, CA  » New York, NY » Phoenix, AZ » Seattle, WA 	 <p>Eileen Letts <i>Email</i></p>		Compliance <ul style="list-style-type: none"> » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Healthcare » Internal Investigations » SEC

Delaware



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	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Birmingham, AL » Boston, MA » Chicago, IL » Dallas, TX  » Delaware » Denver, CO » Houston, TX » Philadelphia, PA » Newark, NJ » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC

District of Columbia



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	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Birmingham, AL » Boston, MA » Chicago, IL » Dallas, TX  » Delaware » Denver, CO » Houston, TX » Philadelphia, PA » Newark, NJ » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC



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	» Miami, FL 🏠	 Angela Crawford Email		Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » n/a 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Government Investigations/ White Collar Criminal Defense » Internal Investigations
	» Austin, TX » Denver, CO » Houston, TX » Miami, FL 🏠 » New York, NY	 Marcos D. Jimenez Email	 Cristina Moreno Email	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Government Contracting » I-9 compliance and government investigations by immigrant and employee rights (IER) and ICE 	Compliance Agency Experience <ul style="list-style-type: none"> » DHS » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/ White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations



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	» Miami, FL 🏠	 Jordi Martinez-Cid Email		Compliance	Compliance Agency Experience <ul style="list-style-type: none"> » FINRA 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Government Investigations/ White Collar Criminal Defense » Internal Investigations
	» Boston, MA » Chicago, IL » Lexington, KY » Los Angeles, CA » Miami, FL 🏠 » New York, NY	 Kristopher Keys Email	 Anthony Schumann Email	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/ CCO/Regulatory Support » Risk Assessment » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » CFTC » DHS » EEOC » FCC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/ White Collar Criminal Defense » Healthcare » Internal Investigations » SEC » Title IX Investigations

Georgia









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Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC		General Practice Experience Click on Firm Logo to See Their Profile for More Details		
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Birmingham, AL » Boston, MA » Chicago, IL » Dallas, TX » Delaware » Denver, CO » Houston, TX » Philadelphia, PA » Newark, NJ » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>		Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Chicago, IL 	 <p>Ricardo Meza <i>Email</i></p>	 <p>Celia Meza <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » DHS » EEOC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC		General Practice Experience Click on Firm Logo to See Their Profile for More Details		
	<ul style="list-style-type: none"> » Boston, MA » Chicago, IL » Lexington, KY » Los Angeles, CA » Miami, FL 🏠 » New York, NY 	 Kristopher Keys Email	 Anthony Schumann Email	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » CFTC » DHS » EEOC » FCC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » SEC » Title IX Investigations
	<ul style="list-style-type: none"> » Chicago, IL 🏠 	 Ted Banks Email	 Rebecca Ford Email	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » DHS » EEOC » EPA » FERC » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Title IX Investigations



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 VALENTINE AUSTRIACO & BUESCHEL P.C.	» Chicago, IL 🏢		Reena Sikdar <i>Email</i>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Evaluation of Compliance Programs (including monitoring and auditing) » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » n/a 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Internal Investigations » Title IX Investigations
	» Chicago, IL » Denver, CO » Los Angeles, CA 🏢 » New York, NY » Phoenix, AZ » Seattle, WA		Eileen Letts <i>Email</i>	Compliance <ul style="list-style-type: none"> » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Healthcare » Internal Investigations » SEC



Kentucky



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 QUINTAIROS, PRIETO, WOOD & BOYER P.A.	<ul style="list-style-type: none"> » Boston, MA » Chicago, IL » Lexington, KY » Los Angeles, CA » Miami, FL  » New York, NY 	 Kristopher Keys Email	 Anthony Schumann Email	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » CFTC » DHS » EEOC » FCC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » SEC » Title IX Investigations








Louisiana



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC		General Practice Experience Click on Firm Logo to See Their Profile for More Details		
 TRANSCENDENT — LAW GROUP —	» New Orleans, LA 🏢	 Michelle Craig <i>Email</i>		Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Government Contracting » I-9 compliance and government investigations by immigrant and employee rights (IER) and ICE » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Government Investigations/White Collar Criminal Defense » Internal Investigations » Title IX Investigations

Massachusetts





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	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Birmingham, AL » Boston, MA » Chicago, IL » Dallas, TX  » Delaware » Denver, CO » Houston, TX » Philadelphia, PA » Newark, NJ » New York, NY » Washington, DC 	 <p>Mishell Kneeland Email</p>		Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/ Whistleblower » Government Investigations/ White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Boston, MA » Chicago, IL » Lexington, KY » Los Angeles, CA » Miami, FL  » New York, NY 	 <p>Kristopher Keys Email</p>	 <p>Anthony Schumann Email</p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » CFTC » DHS » EEOC » FCC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/ Whistleblower » Government Investigations/ White Collar Criminal Defense » Healthcare » Internal Investigations » SEC » Title IX Investigations

 Indicates firm's primary office location.

Minnesota









Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details		
	» Minneapolis, MN 	 Anu Jaswal <i>Email</i>	Compliance » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Other: I-9 compliance	Compliance Agency Experience » n/a	Investigations » Government Investigations/ White Collar Criminal Defense » Other: Government investigations by IER and ICE







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	<ul style="list-style-type: none"> » Montclair, NJ » New York, NY 	 <p>Kirsten Branigan Email</p>	 <p>Beth Zoller Email</p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Risk Assessment » Other: Pay Equity & DEI Compliance Audits 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Internal Investigations » Title IX Investigations » Other: Investigation Training & Expert Witness







Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details		
	» Chatham, NJ 🏢 » New York, NY » San Diego, CA	<div>  Robin H. Rome Email </div> <div>  Phill Lipari Email </div>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Evaluation of Compliance Programs (including monitoring and auditing) » Other: AI and Employment Practices 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Other: AI Compliance » Other: Training and/or Evaluation of Investigation Practices/Audit
	» Newark, NJ 🏢 » New York, NY » Philadelphia, PA	<div>  Mariel Belanger Email </div> <div>  Caitlin Cascino Email </div>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » Title IX Investigations











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	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Birmingham, AL » Boston, MA » Chicago, IL » Dallas, TX » Delaware » Denver, CO » Houston, TX » Philadelphia, PA » Newark, NJ » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Los Angeles, CA » New York, NY » Silicon Valley, CA 	 <p>Alison Kehner <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Government Contracting » I-9 compliance and government investigations by immigrant and employee rights (IER) and ICE » State AG Investigations » Other: Risk Assessment for SEC-regulated entities, accounting professionals, and attorneys 	Compliance Agency Experience <ul style="list-style-type: none"> » CFTC » EEOC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations





Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC		General Practice Experience Click on Firm Logo to See Their Profile for More Details		
 KSB BRANIGAN — LAW P.C. — A WOMAN-OWNED LAW FIRM	» Montclair, NJ 🏢 » New York, NY	 Kirsten Branigan <i>Email</i>	 Beth Zoller <i>Email</i>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Risk Assessment » Other: Pay Equity & DEI Compliance Audits 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Internal Investigations » Title IX Investigations » Other: Investigation Training & Expert Witness
 LEÓN COSGROVE LEÓN COSGROVE JIMÉNEZ, LLP	» Austin, TX » Denver, CO » Houston, TX » Miami, FL 🏢 » New York, NY	 Marcos D. Jimenez <i>Email</i>	 Cristina Moreno <i>Email</i>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Government Contracting » I-9 compliance and government investigations by immigrant and employee rights (IER) and ICE 	Compliance Agency Experience <ul style="list-style-type: none"> » DHS » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/ White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations








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	<ul style="list-style-type: none"> » Chatham, NJ  » New York, NY » San Diego, CA 	 Robin H. Rome Email	 Phill Lipari Email	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Evaluation of Compliance Programs (including monitoring and auditing) » Other: AI and Employment Practices 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Other: AI Compliance » Other: Training and/or Evaluation of Investigation Practices/Audit
	<ul style="list-style-type: none"> » Boston, MA » Chicago, IL » Lexington, KY » Los Angeles, CA » Miami, FL  » New York, NY 	 Kristopher Keys Email	 Anthony Schumann Email	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment » State AG Investigations 	Compliance Agency Experience <ul style="list-style-type: none"> » CFTC » DHS » EEOC » FCC » FINRA » FTC » SEC 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » SEC » Title IX Investigations








Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC		General Practice Experience Click on Firm Logo to See Their Profile for More Details		
	<ul style="list-style-type: none"> » Chicago, IL » Denver, CO » Los Angeles, CA  » New York, NY » Phoenix, AZ » Seattle, WA 	 <p>Eileen Letts <i>Email</i></p>		Compliance <ul style="list-style-type: none"> » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Healthcare » Internal Investigations » SEC
	<ul style="list-style-type: none"> » Newark, NJ  » New York, NY » Philadelphia, PA 	 <p>Mariel Belanger <i>Email</i></p>	 <p>Caitlin Cascino <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » Title IX Investigations

Pennsylvania




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	<ul style="list-style-type: none"> » Newark, NJ » New York, NY » Philadelphia, PA 	 <p>Mariel Belanger <i>Email</i></p>	 <p>Caitlin Cascino <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » EEOC » FINRA 	Investigations <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » Title IX Investigations

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	<ul style="list-style-type: none"> » Austin, TX » Denver, CO » Houston, TX » Miami, FL 🏠 » New York, NY 	 <p>Marcos D. Jimenez <i>Email</i></p>	 <p>Cristina Moreno <i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Cyber Security/Cybercrimes » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Evaluation of Compliance Programs (including monitoring and auditing) » Government Contracting » I-9 compliance and government investigations by immigrant and employee rights (IER) and ICE 	Compliance Agency Experience <ul style="list-style-type: none"> » DHS » EEOC » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations

Washington



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	<ul style="list-style-type: none"> » Chicago, IL » Denver, CO » Los Angeles, CA  » New York, NY » Phoenix, AZ » Seattle, WA 		<p>Eileen Letts</p> <p><i>Email</i></p>	Compliance <ul style="list-style-type: none"> » Corporate Governance/Board of Directors » Data Privacy/Data Breach Response » Outsourced Compliance Function/CCO/Regulatory Support » Risk Assessment 	Compliance Agency Experience <ul style="list-style-type: none"> » FINRA » SEC 	Investigations <ul style="list-style-type: none"> » Employee Misconduct » Healthcare » Internal Investigations » SEC



Let's talk!

844-285-4263
NAMWOLF@CM.LAW
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A photograph showing the hands and arms of several people in business attire (suits and a white shirt) gathered around a table. They are looking at and pointing to documents and charts, suggesting a collaborative meeting or consultation.

CM Law PLLC's Compliance & Investigations attorneys create **best practices** to preempt, address, and minimize potential business exposures.

Running a business is complicated, particularly in today's regulatory environment. At CM Law, our compliance and investigations attorneys leverage broad industry and government experience to advise on best practices for establishing and implementing industry-specific compliance programs to preempt, address, and minimize potential exposures.

Our Compliance & Investigations Law services include:

- ▶ GOVERNMENT, REGULATORY, & COMPLIANCE
- ▶ ECONOMIC SANCTIONS & ANTI-CORRUPTION
- ▶ GOVERNMENT CONTRACTING & GRANTS
- ▶ GOVERNMENT RELATIONS - FINANCIAL, COMMERCIAL

Crawford & Associates pllc

A Corporate Compliance & Investigations Boutique Law Firm

Corporate Ethics & Compliance

We assist clients with developing and enhancing the key components of an effective ethics and compliance program. We regularly work with clients on all aspects of operational compliance, including conducting global risk assessments and compliance audits, drafting and uplifting policies and procedures, developing and delivering ethics and compliance training, and implementing compliance platforms and technology.

We invest time at the outset of each engagement to understand our clients' business, brand, and culture, and to help clients leverage their internal resources and enhance their existing compliance frameworks to resolve compliance challenges efficiently and effectively.

Investigations

We have helped organizations and individuals around the world address some of their most pressing legal and regulatory concerns. We are adept at conducting privileged investigations that are appropriate in approach, scope, and scale, and fee transparent. Our experience includes investigating allegations of workplace misconduct, harassment and discrimination, bribery and corruption, fraud, third-party misconduct, policy and regulatory violations, and whistleblower complaints.

We have a deep understanding of the challenges in-house counsel face in managing their budgets and resources, triaging investigations, and handling investigations from report to case closure and remediation. We regularly help our clients develop protocols, processes, and solutions for managing their investigations dockets and training their investigations teams.

About Us:

At Crawford & Associates, we combine our decades of experience representing clients in multiple legal settings and jurisdictions with our client-centric forward-thinking, and collaborative approach.

Having collectively worked for some of the world's most elite global law firms, and as a federal prosecutor, we see value in offering our clients an alternative to the traditional law firm model.

We are a member of the National Association of Minority & Women Owned Law Firms ("NAMWOLF") and a certified Women's Business Enterprise through the Women's Business Enterprise National Council ("WBENC"). In addition, we have been recognized by Chambers and Partners as one of the top boutique Corporate Compliance law firms in Florida.

Our Clients:

We advise public and private global and U.S. organizations in a variety of industries, including life sciences, retail and apparel, food and beverage, manufacturing, energy, and software and technology.

Our Firm:

Angela Crawford

Managing Member

Carol Pang &

Samantha Sosa

Associates

Kimberly Towlun

Legal Operations/Paralegal



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North Miami, FL 33181
(305) 849-4286

Angela@CrawfordAssociatespllc.com



DTO Law

Litigation Practice Area Group

Compliance & Government
Investigations

DTO
LAW

"Our strategy is straightforward: We need to get your business the "win" you want as quickly as possible."

WILLIAM A. DELGADO
Managing Partner

DTO Law is an elite boutique law firm founded by former Big Law attorneys with offices in Los Angeles, Silicon Valley, and New York, although our litigators handle cases across the United States. We offer a team of exceptional litigators from diverse backgrounds whose credentials and experience rival those of any competitor, whether they be Big Law or boutique.

As our firm continues to increase in size, so too has our roster of clients. Our clients' litigation needs are wide-ranging, and our attorneys are well-positioned to address them due to their many years of experience handling challenging complex litigation in state and federal court, as well as arbitrations. DTO attorneys possess criminal and civil experience, and our lawyers have successfully handled cases at all stages of litigation, from pre-suit investigations through pre-trial settlements, trials, and appeals.

LOS ANGELES

SILICON VALLEY

NEW YORK

Compliance & Government Investigations



Alison D. Kehner
Partner

Alison Kehner, a former AUSA and Counsel to the United States Attorney, leads DTO's Compliance & Government Investigations practice group. Drawing on her big-firm and government experience handling complex white-collar fraud cases, Alison represents individuals and companies in internal or government investigations, related civil and administrative proceedings, and fiduciary litigation. Alison also leverages her prior work in higher education and as a sex crimes prosecutor in her work representing clients in Title IX matters.

Alison has litigated cases at every stage, including initial grand jury proceedings, pre-suit negotiations, trials, and appeals. Alison is an experienced trial and appellate lawyer with a strong track record in matters involving financial crimes, fraud, and data security, privacy, and identity theft.

During her time with the Department of Justice, Alison led investigative teams with agents from the Securities and Exchange Commission (SEC), Federal Bureau of Investigation (FBI), Internal Revenue Service (IRS), Department of Health and Human Services Office of the Inspector General (HHS-OIG), Drug Enforcement Administration (DEA), Department of Homeland Security (including HSI and CBP) and other federal law enforcement agencies, as well as state authorities.

Alison is a creative and analytical thinker who leverages her experience, battle-tested judgment, and law enforcement relationships to solve complex legal issues.



ADR | Employment Law | DEI
Audits | Assessments | Investigations | Training



ABOUT OUR FIRM

KS Branigan Law P.C. is a boutique, woman-owned law firm providing legal and neutral services, primarily in the areas of employment law and alternative dispute resolution (ADR).

Employment Law & DEI Services: We assist organizations to prevent and promptly remedy workplace conflict, foster positive environments, mitigate bias, and minimize legal risks through a comprehensive range of services, including workplace investigations, DEI and equal pay audits, culture assessments, and a broad array of impactful training in 40+ content areas.

ADR & Expert Witness Services: Our attorney neutrals facilitate expeditious dispute resolution for matters pending in the courts or arbitration forums as well as for pre-litigation matters, such as workplace mediations. Our neutrals serve on several arbitration panels of the American Arbitration Association (AAA), the AAA mediation panel, and the NJ Civil Roster of Mediators. We also provide expert opinions and testimony on the efficacy of workplace investigations.

OUR UNIQUE VALUE

- Big-law caliber quality and boutique service at cost-effective rates
- Decades of employment litigation, training, investigation, and ADR experience
- Skilled investigators with C-suite and high-stakes matter experience
- Contributed to groundbreaking publication of best practices for workplace investigations in NJ
- Balanced and impartial neutrals
- Seasoned and engaging attorney-trainers
- Bilingual investigation and training services available (English/Spanish)
- Recognized leaders and champions of diversity, equity, inclusion, and belonging

SPOTLIGHTED TRAININGS

HR on Trial: Conducting Effective Investigations: Our decades-in-the-making signature workshop designed to enhance the skills of internal investigation teams.

Managing a Multigenerational Workforce: An innovative program aimed at bridging generational gaps and building cohesive and high-performing teams.

Cultural Competence & Taboo Colloquialisms: An insightful session to heighten awareness of implicit bias, microaggressions, and the origins of negative cultural colloquialisms.

REPRESENTATIVE CLIENTS

- Fortune 500 Medical Technology Company
- Worldwide Engineering & Design Consultancy Firm
- Leading International Real Estate Services Company
- Global Medical Device Manufacturer
- Regional Not-for-Profit Health Care Network
- U.S. Subsidiary of Global 500 Cosmetics Company

LOCATIONS

- Montclair, NJ | Manhattan, NY
- Providing legal services in NJ & NY
- Offering neutral, investigation, and training services nationwide

For more information,
scan the QR code:



Kirsten.Branigan@KS BraniganLaw.com or (973) 542-8096



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ABOUT LEÓN COSGROVE JIMÉNEZ, LLP

León Cosgrove Jiménez, LLP is a litigation boutique, drawing its talent from the ranks of top-tier, Am Law 100 firms. Our attorneys have experience in a wide range of commercial litigation matters. We are experts in various areas of the law, including:

FINANCIAL SERVICES LITIGATION

Our lawyers handle lender liability cases, loan participant suits, disputes related to letters of credit and commercial paper, foreclosure actions, and loan fraud matters. We've secured judgments exceeding \$400 million for clients in financial disputes, defending major financial institutions across 200+ U.S. jurisdictions.

BANKRUPTCY

Representing a range of clients, including financial institutions, creditors, troubled companies, and committees, we navigate actions involving preferential transfers, financial fraud, Ponzi schemes, fiduciary breaches, and insolvency. Our experience extends to both plaintiff and defendant roles.

BROKER DEALER AND INVESTMENT LITIGATION AND ARBITRATION

Handling numerous disputes for major U.S. financial institutions, we address customer and intra-industry issues. Our cases cover allegations of suitability, misrepresentation, fraud, unauthorized trading, churning, product failures, and other aspects of investment practices.

COMMERCIAL LITIGATION

Recognized leaders in commercial litigation, we represent clients in diverse cases involving breach of contract, fraud, tortious interference, RICO, and other commercial actions in state and federal courts nationwide.

INTERNAL AND GOVERNMENT INVESTIGATIONS

Proficient in internal and government investigations, we create corporate compliance programs and represent clients in enforcement matters related to price-fixing, bid-rigging, accounting improprieties, insider trading, healthcare fraud, and FCPA violations.

INTERNATIONAL DISPUTE RESOLUTION

Our expertise encompasses cross-border business litigation in U.S. courts and disputes resolved through arbitration (ICC, ICDR, AAA, and ICSID).

WHITE-COLLAR DEFENSE

With a team that includes a former U.S. Attorney and other seasoned federal prosecutors, we regularly defend a wide range of clients in white-collar cases across the country.

EMPLOYMENT LITIGATION

Our attorneys have successfully represented clients in labor and employment-related matters including class and collective actions, arbitrations, mediations, and single-plaintiff disputes in venues across the country.

PROFESSIONAL LIABILITY CLAIMS

Our attorneys have successfully represented clients in professional liability matters, including malpractice, negligence, and breach of fiduciary duty claims. We have defended cases for law firms, accounting firms, financial advisors, insurance brokers, healthcare professionals, and other licensed professionals in courts and arbitrations nationwide.





Unwavering advocacy for exceptional outcomes.

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Complex Commercial Litigation
Securities Litigation
Internal and Government Investigations
International Dispute Resolution
Class Actions
Media and Entertainment Law
Appeals and Appellate Support

*Trust, Ethics,
Experience*

MEZA LAW

Ethics & Compliance

Our attorneys are former federal prosecutors who have regulatory knowledge and understanding of the federal, state, and local rules in place to assess the effectiveness of ethics and compliance programs.

The firm has experience in providing compliance program evaluation and development, as well as program monitoring and training. Our attorneys have drafted best in class policies, procedures, and company code of ethics guides for clients.

We are skilled investigators who are practiced in conducting sensitive internal investigations of allegations of violations of business codes of conduct, conflicts of interest, and public disclosure violations, among others.

In addition, we have served as a federally appointed court monitor responsible for overseeing compliance with a court mandated consent-decree.

Our attorneys are client-focused, aggressive, and adept at identifying early solutions. We focus on assisting our clients in remaining in compliance with all laws and regulations and in the event of any inquiries, obtaining the most favorable outcome with minimal impact on our clients business and reputation.

NAMWOLF
LAW FIRM MEMBER

WWW.MEZA.LAW | 55 E. MONROE, SUITE 3250, CHICAGO, IL 60603



CELIA MEZA
CELIA.MEZA@MEZA.LAW

Celia is a Certified Compliance & Ethics Professional (CCEP)[®] with expertise in ethics, compliance and investigations. She has served as the Chief Compliance Officer for both a privately owned and public corporation where she conducted compliance program reviews and drafted compliance policies and procedures as well as business code of conduct manuals. Celia is a former federal prosecutor who served in the Northern District of Illinois, where she regularly conducted and oversaw investigations into potential violations of federal criminal statutes. In addition, Celia served as the Deputy Inspector General of Investigations for the City of Chicago Office of Inspector General where she managed all investigators responsible for investigating allegations of waste, fraud, and misconduct for the City of Chicago.



RICARDO MEZA
RMEZA@MEZA.LAW

Ricardo is an experienced investigator who has conducted numerous confidential investigations for private entity clients, corporations, and public universities. He served over 10 years as an Assistant United States Attorney where he led and supervised hundreds of government investigations. In addition, as Executive Inspector General, the state's top watchdog, Ricardo oversaw a staff of Assistant Inspectors General and Investigators and oversaw the State of Illinois' ethics program as well as the activities of over 175,000 state employees from over forty agencies, nine public state universities, and over four hundred state boards and commissions.



**LAYLA
SULEIMAN GONZALEZ**
LPSULEIMAN@MEZA.LAW

Layla is an expert in non-profit and healthcare compliance. She is skilled in HIPAA and behavioral health regulations. In addition, she has served as a federally appointed court monitor responsible for overseeing compliance with a court mandated consent decree in the Northern District of Illinois in the case of Burgos v. DCFS. Layla is also a national leader in Title VI of the Civil Rights Act Meaningful Access Compliance.

*Trust, Ethics,
Experience*

MEZA LAW

Investigations & White Collar

Our attorneys are skilled investigators who know how to get to the bottom of what happened. We represent clients in grand jury matters, Department of Justice investigations, and local inspectors general investigations and proceedings. The firm's lawyers have state and federal court room trial experience defending a broad range of white-collar allegations. We focus on obtaining the most favorable outcome with minimal impact on our client's business and reputation.

Government & Regulatory

Government and regulatory inquiries require attorneys who can quickly review the facts and promptly identify legal issues of concern. Our attorneys have a track record of handling complex government and regulatory matters. If government agents have come to your door, or if you have been served with a subpoena requesting testimony or documents, contact us for trusted and experienced representation.

Labor, Employment, Compliance & Ethics

Our attorneys are equipped to handle your most sensitive employment matters. We represent employers of all sizes, in the defense of sex, race, age, and disability discrimination allegations. We are experienced in drafting employee handbooks, employment contracts, and severance agreements. Our attorneys are skilled in ethics and compliance program evaluation and development and in creating and enhancing, best-in-class compliance policies and procedures.

Business & Commercial Litigation

If faced with a lawsuit, you or your company need experienced litigation attorneys representing your best interests. We represent business owners and companies in business disputes that may arise with competitors, affiliates, consumers, or vendors in construction, real estate, insurance, healthcare, and other commercial businesses. When you need a trial-ready attorney who will vigorously advocate on your behalf in and out of the courtroom, contact us.



RICARDO MEZA

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Practice Areas:

*Investigations & White Collar;
Government & Regulatory;
Business & Commercial Litigation*



CELIA MEZA

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Government & Regulatory
Business & Commercial Litigation*



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*Government & Regulatory;
Compliance*



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Practice Areas:

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NAMWOLF
LAW FIRM MEMBER



ABOUT NILAN JOHNSON LEWIS

Nilan Johnson Lewis (NJL) is an innovative, independent, women-owned law firm that is a first choice for organizations across the country seeking deep expertise to solve their complex business challenges and disputes. The firm's status as one of the largest women-owned law firms nationwide reflects NJL's longstanding commitment to fostering a diverse and inclusive workplace. We believe we deliver exceptional results precisely because we celebrate and advance the diversity of our team and clients.

AREAS OF PRACTICE

The firm has expertise in five areas of law: business litigation, corporate and transactional services, health care, labor and employment, and product liability/complex torts litigation.

CLIENTS AND INDUSTRIES

NJL is located in downtown Minneapolis, but our reach is national in scope. We represent Fortune 500 and multi-national companies across many industries, including retail, health care, technology, finance, insurance, industrial/manufacturing, professional sports, micromobility, logistics, transportation, nonprofits, foundations, and higher education.

DIVERSITY, EQUITY, AND INCLUSION

DEI is a founding core value of NJL. We promote diversity to remove barriers to individual achievement, cultivate each individual professional's talents, and develop and maintain an environment that encourages openness, creativity, and innovative problem-solving. We have robust DEI initiatives tied directly to our firm's strategic plan.

COMMUNITY RECOGNITION

- NJL has been awarded three Hennepin County Bar Association diversity awards since 2004, the most for any law firm in Minnesota.
- NJL is the only legal services provider to win the Minnesota Women Lawyers Leadership Award three times.
- NJL has been ranked among the top 10 law firms—five times in the past seven years—on Law360's list for female attorneys among firms that have 100 or fewer attorneys (Glass Ceiling Report, Best Law Firms for Female Attorneys).

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PROTECTING YOUR PEOPLE. PRESERVING YOUR CULTURE.

NUKK-FREEMAN
& CERRA, P.C.
EMPLOYMENT ATTORNEYS

WORKPLACE
INVESTIGATIONS

NFC's Investigations Practice Group: Expert, Efficient, and Compliant Solutions

Conducting prompt, thorough, and confidential investigations into internal complaints of discrimination, harassment, retaliation, or other workplace concerns requires exceptional skill and discretion. NFC's Investigations Practice Group (IPG) delivers turnkey investigation services designed to mitigate legal risk and support organizational integrity.

A Proven, Tailored Investigation Process

Timely response and investigative quality are critical. IPG's established, customizable process ensures every matter is handled thoroughly and in compliance with internal policies and applicable laws. Depending on the scope, the process may include:

- Intake and assessment
- Policy review
- Investigation planning
- Document review
- Witness and party interviews
- Verbal summary of findings
- Comprehensive written report
- Training & evaluation of investigation practices/audits



This structured approach enables us to act swiftly and thoroughly while adapting to each client's unique needs.

Experienced, Adaptable Attorney-Investigators

Each investigation presents unique challenges. Our team includes Partners, Counsel, and Associates with deep experience in workplace investigations – some with over 20 years of practice, others with in-house or bilingual capabilities. This allows flexible staffing aligned with each matter's complexity and sensitivity.

NFC's IPG attorney-investigators bring not only legal acumen but also a strong sense of objectivity and professionalism. We handle every investigation with care, ensuring outcomes that are clear, defensible, and aligned with best practices.



QUINTAIROS, PRIETO,
WOOD & BOYER, P.A.

it's all about **DIFFERENT**®
because we understand Different wins for you.

A **DIFFERENT** Approach To Corporate Law with QPWB's **BUSINESS RISK DIVISION**

QPWB's specialized Business Risk Division helps clients **DEVELOP** sustainable compliance programs, **CONDUCT** internal investigations, **MITIGATE** business risks and **RESPOND** effectively to possible enforcement actions, government investigations, and lawsuits.



PREVENT

Avoid investigations and enforcement actions with detailed **GOVERNANCE**. Develop and refine programs, education, culture, and design safeguards.



CONTAIN

Maintain **COMPLIANCE** programs to help clients manage and foresee any potential audits, investigations and enforcement actions.



MITIGATE

Conduct thorough **INVESTIGATIONS** to root out potential internal problems and conduct due diligence while managing risks in enterprise and reputation.



REMEDiate

Act fast to respond to compliance concerns, government investigations, and **ENFORCEMENT** actions when they occur, whether regulatory, civil or criminal.

We Are Fluent in Government and its Alphabet.

Whether you're facing **ATF, CMS, DEA, DOH, DOL, EEOC, EPA, FAA, FBI, FDA, FTC, SEC, DOH, AHCA**, or other government agencies, our attorneys have garnered a unique understanding of the vast array of challenges facing our clients.

Your Partner in Internal Corporate Investigations.

Our attorneys work with you at every stage including federal and state grand jury investigations, trials and appeals and before administrative tribunals, in arbitration and other dispute resolution proceedings.

Always at your side, we advise and defend corporations, directors, officers and employees in government investigations and

white-collar criminal prosecutions in various industries.

We are experienced in handling allegations involving fraud, privacy issues, securities, accounting, regulatory and licensing matters. Our representation also includes shareholder derivative actions and matters related to corporate governance.

Experience. Where it Matters Most.



QPWB professionals have worked in the various industries we now serve.

Our teams include vastly experienced attorneys and staff, with deep and varied industry expertise. Our attorneys have served as former federal and state prosecutors, government counsel, military service members, industry insiders, first chair trial lawyers, public defenders, criminal and white-collar defense attorneys, regulatory enforcement attorneys, and senior in-house counsel for heavily regulated industries.

The Industries We Represent:

- Pharmaceutical
- Healthcare
- Energy
- Telecommunications
- Financial Services
- Insurance
- Retail
- Broker Dealers
- Hedge Funds
- Private Equity
- Merchant Cash Advance
- Banking
- Public and Private Education
- Transportation
- Construction
- Licensed Professionals
- Professional Services

Service Portfolio:

- Retained General Counsel Services and Strategic Planning
- Compliance Counsel
- Mortgage Origination and Loan Servicing Compliance Audits and Program Reviews
- Education, Prevention, Mitigation, and Resolution of Whistleblower Claims Analyzing Proposed Transactions and Issue Legal Opinions
- Regulatory Enforcement Actions, Reviews, Audits and Investigations
- Wage and Hour Audits and Investigations
- Fraud, and Audit Services
- Officer, Director, and Shareholder Representation
- Conflict of Interest Reviews
- Code of Conduct, Ethics, and Misconduct Investigations
- National Litigation Counsel Services
- Electronic Discovery Review and Project Management
- Bankruptcy Litigation Oversight
- Compliance Program Design, Review, Training, and Implementation
- Policy and Procedure Drafting, Training
- Fraud and Risk Management, Prevention, and Mitigation
- Employment Discrimination and Harassment Investigations
- Board of Directors and Corporate Governance Compliance Review, Advice, and Counsel



QUINTAIROS, PRIETO,
WOOD & BOYER, P.A.



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THE FIRM

Scharf Banks Marmor LLC represents large global enterprises, public and private businesses, and government agencies in complex litigation, compliance and corporate governance, employment, intellectual property and technology, life sciences and food.

Clients that have retained Scharf Banks Marmor to handle sophisticated legal matters include Accenture LLP, City of Chicago, DuPont, Fig Food Company, Hyatt Corporation, GlaxoSmithKline, Georgia-Pacific, Merck, OSI Group, Stratford Career Institute, Thoma Bravo, Walgreens Co., and others.

Our lawyers bring a uniformly high level of education, training and experience. All of us joined the firm with many years' experience, usually as partners, either in leading AmLaw 100 firms or as senior leaders in Fortune 100 corporate law departments. All of us have represented companies in substantial litigations or transactions. We have an unusual affinity for engagements involving science or technology. Some of us have advanced doctoral degrees with scientific and technical backgrounds.

Recognized by our clients and the profession for the quality of our legal services as well as our commitment to diversity, Scharf Banks Marmor is the largest certified women-owned law firm in Chicago, as well as Illinois and surrounding states.

APPROACH TO CLIENT SERVICE

- ▶ **We are often asked:** Why are you different? The short answer is, we bring an unusual combination of highly experienced lawyers, state of the art technology, and a focus on quickly getting to the results our clients want without time-consuming and costly detours. Here's how we do it.
- ▶ **Our Lawyers:** All of our lawyers come from substantial large firm practices, or were high level officers of law departments, having represented Fortune 100 companies as well as smaller public and privately held businesses in high pressure, high stakes matters. As a women-owned law firm, we appreciate the benefits that diverse perspectives bring to analyzing and solving legal problems.

Our lawyers have specialty skills, unusual even in larger firms, which have direct benefits in our areas of practice. One of our lawyers has a medical degree and conducted scientific research before practicing law. Another one of our lawyers has a doctorate in social sciences with special training in statistical analysis and medical research. Two of our lawyers are members of the patent bar. As a result, we have a special affinity for work in industries or matters with scientific or technical issues. We are frequent invited speakers at national seminars and authors of leading law publications. Several of us edit or write annual treatises in the areas of litigation, compliance and antitrust.

- ▶ **Targeted Focus on Results:** We operate under the “no surprises” rule. We value early strategic planning, regular reporting as often and in as much detail as our clients would like, and practical advice to achieve the business results that our clients want. We understand the importance of minimizing risks to the business and minimizing costs. In litigation, we have successfully tried many cases to juries and judges and know how to press for trial. At the same time we deliberately leverage opportunities for very early disposition of a matter, which is most often the goal of our clients.
- ▶ **Innovative Staffing:** We follow a “V” model, that provides to our clients the benefits and efficiency of working with experienced counsel who give practical, straight-to-the point advice. Most of our lawyers are partner-level attorneys and we do not staff any lawyers on your matter with less than 5 years full-time experience. We do not have minimum billing requirements for our attorneys and encourage them to be active in community and other activities that enhance their practices.
- ▶ **Fees and Fee Arrangements:** While many of our clients continue to prefer hourly rates, we also look for opportunities to use alternative fee arrangements. We have implemented a number of such deals and especially like arrangements that push for early results. Alternative fee arrangements can work in counseling as well as complex litigation, using such approaches as flat fee, sliding scale, partial contingency and results bonuses, alone or in combination, among a wide array of arrangements that can be win-win for both client and firm.
- ▶ **Deep Local and National Networks:** Our networks, and the reputations we have from them, benefit our clients, even on matters that seem at first blush to be far removed from bar and community activities. We have been elected and selected on Presidential Commissions of the American Bar Association, President of the National Association of Women Lawyers (NAWL), and leaders of a broad range of specialty and local bar groups, as well as community organizations.



TRANSCENDENT
LAW GROUP

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COMPLIANCE & INVESTIGATIONS PRACTICE

WORKPLACE INVESTIGATION

TLG's employment lawyers regularly conduct sensitive internal corporate investigations, in a wide variety of industries, including education, healthcare, nonprofit organizations, pharmaceuticals, sports, and legal services. The need for a legal assessment often arises with claims and potential claims related to harassment, employee misconduct, disability accommodations, FMLA requests, discrimination based on age, race, disability, and gender, retaliation, and whistleblower laws. Our experienced professionals assist in triaging developing claims and providing pre and post-litigation legal consultations, as well as prospective advice on policies and procedures.

EXAMPLES OF RECENT TLG WORKPLACE INVESTIGATION MATTERS INCLUDE:

- | Counseling universities in connection with investigations of faculty members, students, and staff.
- | Investigating allegations of sexual misconduct involving an educator.
- | Investigating complaints involving the Chief Executive Officer of a major nonprofit corporation.
- | Conducting internal investigations into alleged discrimination and bullying by senior executives of various nonprofit organizations.
- | Conducting internal investigations of alleged workplace discrimination, sexual harassment, and implicit bias on behalf of law firms and nonprofit legal organizations.
- | Counseling professional organizations on investigating and responding to allegations of sexual harassment or misconduct by members.
- | Conducting an internal investigation of allegations of age, race, disability, gender discrimination, and retaliation on behalf of a public-private partnership.
- | Conducting several investigations related to complaints of mistreatment by employees of a public organization.
- | Representing clients in a Department of Labor investigation.

CONDUCTING WORKPLACE HARASSMENT INVESTIGATIONS

We initiate each investigation by gathering and evaluating documentation related to the behavior in question, followed by interviews of all of the parties involved. We record the claimant's viewpoint of the situation, documenting the details of the alleged incident(s) in question. From this conversation, TLG identifies and subsequently interviews relevant witnesses. Finally, we interview the individual(s) accused. Once all the interviews and documentation have been reviewed, TLG shares the results with the employer. Where requested, we compile our findings into a comprehensive report. Upon conclusion of the investigation, it is at the company's discretion to move forward, as appropriate. As a law firm, TLG understands and takes care to ensure privilege and discretion in the conduct of such commercially sensitive and confidential inquiries.

RECOGNITION & CERTIFICATIONS

2024 & 2023 *Super Lawyer*
2023 AV Preeminent Rating by Martindale-Hubbell
2013 *Leadership in Law Honoree*
National Association of Women-Owned Law Firms
(NAMWOLF) Member

Women's Business Enterprise Counsel (WBEC)
Certified
Minority Business Enterprise (MBE) Certified
Disadvantaged Business Enterprise (DBE) Certified

ATTORNEYS: Michelle D. Craig • Richard A. Montgomery, II • Priya Kumar • Sasha Poche

CONTACT INFORMATION: Michelle D. Craig, **Managing Attorney**, mcraig@tlg.law



C+I PAC Contact
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Compliance and Investigations Practice Areas:

-  Conduct privileged and confidential investigations into allegations of:
 -  corruption/bribery
 -  fraud
 -  discrimination
 -  retaliation
 -  harassment
 -  violations of policy
-  Conduct professional liability investigations for receiver of failed financial institutions
-  Representations of clients under regulatory investigation
-  Compliance counseling

Representative Engagements:

-  Independently investigate allegations of misconduct under privilege
-  Partner with employment & compliance departments of Fortune 500 corporations and governmental entities to conduct investigations into allegations of misconduct
-  Represent clients in high-profile harassment and discrimination investigations conducted by third-party investigative team

Internal Investigations



Our Internal Investigations practice group understands the importance of proactive crisis management. Walsh has extensive experience conducting internal investigations on behalf of some of the largest public and private corporations in the world, as well as for numerous institutions of higher education. In every investigation, our attorneys prioritize both thoroughness and discretion, always with an aim to provide our clients with a comprehensive understanding of what has occurred and an assessment of their options for responding. We regularly lead investigations into such issues as discrimination, sexual harassment, sexual misconduct, hostile work environment, and retaliation; other employee misconduct (involving such issues as internal policy violations, and unethical, unlawful, and/or criminal conduct); workplace corruption and whistleblower allegations under Sarbanes-Oxley Act (SOX) and Dodd-Frank Act (DFA).

Walsh acts as independent Title IX investigators for institutions of higher education, investigating highly sensitive student complaints pertaining to sexual misconduct, stalking, and gender-based discrimination and harassment in the educational context. Walsh also provides strategic advice and counsel to clients who are subject to investigations by state and federal regulatory agencies, and assists in preparing responses to regulators' inquiries.

Who We Work With

Our attorneys have experience in representing international, national, and regional corporations of all sizes; municipalities and public agencies; multiemployer trade associations; non-profit organizations; institutions of higher education; and referring law firms for out-of-state/conflict issues.

Additional Practice Areas

Aside from Internal Investigations, Walsh attorneys also represent clients in matters concerning: Appeals; Bankruptcy, Reorganization & Creditors Rights; Business & Commercial Litigation; Class Action Defense; Construction; Cyber Security & Data Privacy; eDiscovery; Education; Financial Services & Risk Management; Insurance; Intellectual Property; Labor & Employment; Mediation, Arbitration & Special Master Appointments; Regulatory & Public Policy; Special Education; Trade Secrets & Employee Mobility.

Our Team



Tricia B.
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Peter J.
Pizzi



Mariel L.
Belanger



Caitlin P.
Cascino



Deirdre T.
Cooney



Elizabeth A.
Joyce



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ZFZ Law

ZFZ Law is a premier litigation boutique trusted by Fortune 500 companies, mid-sized businesses, corporate executives, public officials, and government entities. Our team of elite trial attorneys draws on decades of experience at top-tier “Big Law” firms to deliver outstanding results in high-stakes matters—from complex commercial litigation to government investigations and white-collar criminal defense.

COMPLEX LITIGATION AND TRIAL

ZFZ’s seasoned trial team handles complex, high-value disputes in California state courts, federal courts, and arbitration forums. We represent clients across a broad spectrum of commercial matters, including:

- Fraud & breach of contract
- Trade secrets & intellectual property theft
- Defamation & negligence
- Catastrophic injury & sexual assault claims

ZFZ brings a deep understanding of industry dynamics across sectors, including healthcare, finance, technology, retail, social media, entertainment, communications, energy, cosmetics, food and beverage, and staffing. We align our litigation strategies with our clients’ business priorities to resolve disputes efficiently and effectively—whether through trial or strategic settlement.

GOVERNMENT AND INTERNAL INVESTIGATIONS

ZFZ’s attorneys are highly experienced and adept at navigating the complex challenges of government investigations, internal investigations, criminal indictments, and lawsuits brought by regulatory agencies. We have a proven track record of success in:

- Federal and state criminal investigations
- Internal investigations and corporate compliance reviews
- Regulatory enforcement actions
- White-collar defense and criminal indictments

ZFZ has secured declinations and favorable outcomes in cases involving healthcare fraud, False Claims Act violations, securities fraud, honest services violations, FCPA matters, bribery, environmental offenses, import/export violations, money laundering, copyright infringement, theft of trade secrets, and more. ZFZ routinely defends clients in matters brought by the DOJ, SEC, state attorneys general, district attorneys, and other federal and state agencies.

Awards & Recognitions

Ranked by Chambers, USA
2023-2025

Top White Collar Lawyers
Daily Journal
2023-2024

Women of Influence - Attorneys
Los Angeles Business Journal
2021-2025

Top Women Lawyers
Daily Journal
2023-2025

Best Lawyers
U.S. News & World Report
2014-2025

Best Law Firm
U.S. News & World Report
2018-2025

Daily Journal's Top Boutiques
2019, 2022-2023

Representative Clients

Microsoft Corporation

McDonald's Inc.

Toyota Motor Credit Corp

The Prudential Insurance Co

Hillstone Restaurant Group

Contact

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ZUBER LAWLER

Global Legal Solutions for Visionary Clients



Zuber Lawler represents Fortune companies, government entities and funds as well as leading companies in emerging industries and technologies. Zuber Lawler attorneys are thought leaders in their practice areas and innovative in their approaches. We are professionals who are from diverse and multi-cultural backgrounds, many of whom have multi-lingual abilities. We work in languages covering 90% of the world's population.

Areas of Practice

Appellate - Zuber Lawler's appellate cases frequently involve a variety of business, constitutional, and public law issues, conflicting legal doctrines and public policies. Our attorneys spearhead appeals from final judgments, preliminary injunctions, and other interlocutory decrees, petitions for writs of certiorari or mandamus, appellate motion practice, and amicus curiae briefs.

Corporate - Zuber Lawler's corporate and commercial attorneys apply an interdisciplinary approach to every client's transaction or business problem, drawing upon expertise from across the spectrum of legal disciplines within the firm, including tax, bankruptcy, environmental, employment, finance, intellectual property and real estate. Utilizing this approach, we are able to resolve problems, and to structure, negotiate and close transactions in a timely and cost-effective manner.

International Law - Zuber Lawler's international attorneys have extensive international practice experience, including both "inbound" and "outbound" legal matters. We represent many non-U.S. clients in U.S. law matters, including acquisitions and other foreign investment transactions, technology transfers, regulatory matters, and disputes. And we represent many U.S. clients, large and small, in overseas legal matters, including regulatory and compliance matters, commercial transactions, and acquisitions.

Labor & Employment - Zuber Lawler's employment attorneys possess significant experience in defending management against allegations of discrimination, harassment, retaliation, and wage and hour violations, including through trial. Additionally, our team has experience conducting internal investigations in response to employee complaints.

Intellectual Property and Trademark - Zuber Lawler's intellectual property team is internationally recognized for results obtained across a broad range of intellectual property matters involving a variety of technologies, creative works, and distinguishing marks, slogans, and designs. Our practice covers all aspects of intellectual property support and protection, including trademark, copyright, patent and trade secret.

Commercial and Financial Services Litigation - Zuber Lawler's attorneys have extensive experience handling financial services and other complex commercial litigation throughout the U.S. Our financial services litigation attorneys have represented banks and other financial institutions in a variety of disputes including general litigation in state and federal courts. Zuber Lawler has represented parties in shareholder disputes, unfair competition claims, claims involving misappropriation of trade secrets and other confidential information.

Real Estate Litigation - Zuber Lawler's commercial real estate litigation group commonly represents and litigates on behalf of property owners, real estate developers, real estate investors, institutional and non-institutional lenders, landlords, commercial tenants and borrowers.

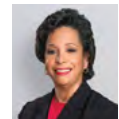
White Collar Defense - Zuber Lawler's attorneys have deep experience in handling high-stakes white-collar criminal defense and investigations cases. Our team focuses on representing corporate entities and individuals in a wide range of government investigations, internal investigations, and enforcement actions pertaining to business crimes and civil fraud actions.



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