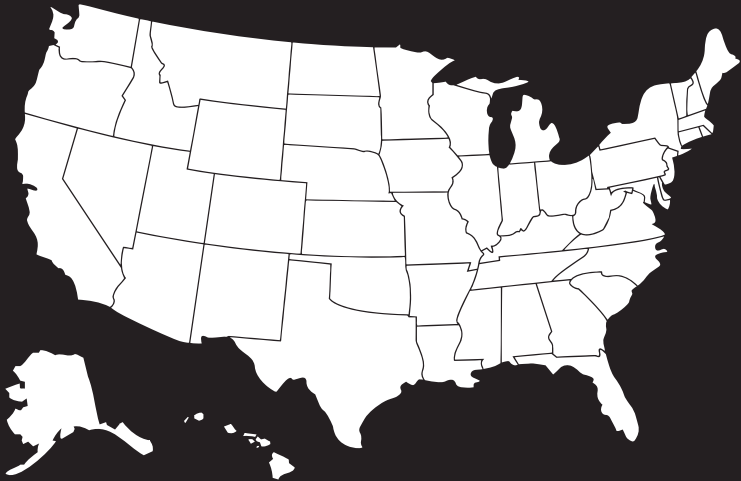


NAMWOLF

COMPLIANCE &
INVESTIGATIONS
PRACTICE AREA COMMITTEE



2023

Directory & Interactive Map

Your resource for finding
vetted NAMWOLF firms in the
right location and with the
right skill set.



COMMITTEE CHAIRS



Rachel Fiset

ZFZ Law LLP

zfzlaw.com

rachel.fiset@zfzlaw.com

213-266-5172



Theodore Banks

***Scharf Banks
Marmor LLC***

scharfbanks.com

tbanks@scharfbanks.com

312-662-4897

The NAMWOLF Compliance & Investigations Practice Area Committee

The Compliance and Investigations Practice Area Committee is made up of law firms and attorneys that have significant experience in corporate compliance and ethics programs as well as internal or government-initiated investigations. Our attorney members' experience ranges from investigating employment issues (including discrimination and policy violations), whistleblower complaints, regulatory issues and civil and criminal investigations by state and federal agencies such as State Attorneys General, FTC, DOJ, SEC, FINRA, etc. Our members have covered all aspects of compliance programs, including development of business control programs, risk assessments, and dealing with external monitors. The Compliance and Investigations PAC is a resource for in-house counsel looking for qualified firms to assist them with these sensitive matters by providing counsel with the necessary legal skills combined with real world business acumen to craft the appropriate response.

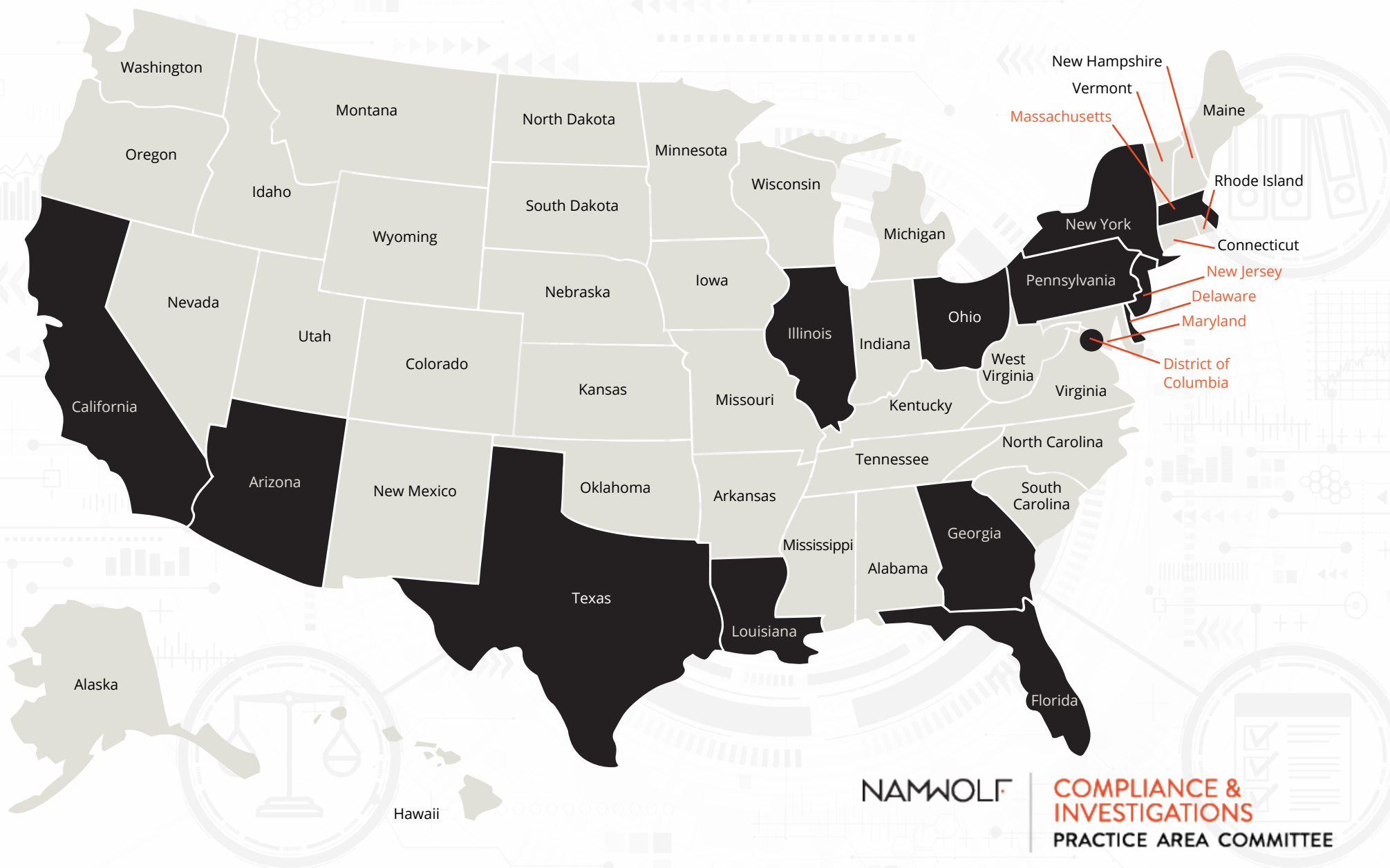





NAMWOLF

namwolf.org/practice-area-committees








Interactive Map Tool | Find Our Law Firms by Location

HOW TO USE THIS TOOL 1) Click on the **name** of any state that is filled in **black** to see first) a list of PAC member firms with offices in that location and, second) attorney contact/s for each firm, as well as a general list of the firm's experience in compliance and/or investigation matters. 2) See a firm you like on that page? Click on its logo to see their firm profile which contains more practice-specific details. 3) Learn even more by clicking on the profile page itself to view their website. Reach out to either of our **PAC Chairs** for further assistance.






Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Cincinnati, OH » Fort Lauderdale, FL » New York, NY » Philadelphia, PA  » Red Bank, NJ » Scottsdale, AZ, 	 <p>Melissa Hazell Davis <i>Email</i></p>	<p>COMPLIANCE</p> <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors 	<p>INVESTIGATIONS</p> <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Title IX Investigations



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC		General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	» Los Angeles, CA 🏢	 Sean Andrade Email	 Sylvia Torres-Guillén Email	COMPLIANCE <ul style="list-style-type: none"> » Conflict of Interest » Corporate Governance/Board of Directors » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » Title IX Investigations
	» Los Angeles, CA 🏢 » New York, NY » Silicon Valley, CA	 Alison Kehner Email		COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Compliance Program Evaluation » Conflict of Interest » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions (including SEC, CFTC, Treasury, OFAC, and CFBP) » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » Other: Cybercrime/computer hacking and data breach
	» Chatham, NJ 🏢 » Hamilton, NJ » New York, NY » San Diego, CA	 Robin H. Rome Email	 Phill Lipari Email	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Evaluation of Compliance Programs (including monitoring and auditing) 	INVESTIGATIONS <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	» Los Angeles, CA 	 Paul Loh Email	COMPLIANCE » n/a	INVESTIGATIONS » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » Title IX Investigations
	» Los Angeles, CA  » Washington, DC	 Rachel Fiset Email	COMPLIANCE » Other: Cybersecurity and Data Privacy	INVESTIGATIONS » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations

Delaware



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX  » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>	<p>COMPLIANCE</p> <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	<p>INVESTIGATIONS</p> <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Chicago, IL » Miami, FL » New York, NY  » Washington, DC 	 <p>Randy Moonan Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX  » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 <p>Mishell Kneeland Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Atlanta, GA  » Washington, DC 	 <p>Allegra Lawrence-Hardy Email</p> <p>Thomas Bundy Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » Title IX Investigations



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Los Angeles, CA  » Washington, DC 	 <p>Rachel Fiset <i>Email</i></p>	<p>COMPLIANCE</p> <ul style="list-style-type: none"> » Other: Cybersecurity and Data Privacy 	<p>INVESTIGATIONS</p> <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations

Florida







Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Chicago, IL » Miami, FL » New York, NY 🏢 » Washington, DC 	 <p>Randy Moonan <i>Email</i></p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC
	<ul style="list-style-type: none"> » Cincinnati, OH » Fort Lauderdale, FL » New York, NY » Philadelphia, PA 🏢 » Red Bank, NJ » Scottsdale, AZ, 	 <p>Melissa Hazell Davis <i>Email</i></p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors 	INVESTIGATIONS <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Title IX Investigations

Georgia







Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX  » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>	<p>COMPLIANCE</p> <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	<p>INVESTIGATIONS</p> <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
 <p>LAWRENCE & BUNDY</p>	<ul style="list-style-type: none"> » Atlanta, GA  » Washington, DC 	 <p>Allegra Lawrence-Hardy <i>Email</i></p>  <p>Thomas Bundy <i>Email</i></p>	<p>COMPLIANCE</p> <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	<p>INVESTIGATIONS</p> <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » Title IX Investigations



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Chicago, IL » Miami, FL » New York, NY 🏢 » Washington, DC 	 Randy Moonan Email	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX 🏢 » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 Mishell Kneeland Email	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Chicago, IL 🏢 	 Ted Banks Email Rebecca Ford Email	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Conflict of Interest » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance officer 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal investigations



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
 VALENTINE AUSTRIACO & BUESCHEL P.C.	» Chicago, IL 	<div>   </div> <div> Reena Sikdar <i>Email</i> </div> <div> Susan Valentine <i>Email</i> </div>	COMPLIANCE <ul style="list-style-type: none"> » Conflict of Interest » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations





Louisiana



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	» New Orleans, LA 🏢	 Michelle Craig <i>Email</i>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » Title IX Investigations

Maryland










Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	» Baltimore, MD  » New York, NY	<div>   </div> <div> Greg Lawrence Email </div> <div> Erinn Maguire Email </div>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC

Massachusetts



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX  » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>	<p>COMPLIANCE</p> <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	<p>INVESTIGATIONS</p> <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX 🏢 » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 <p>Mishell Kneeland Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Cincinnati, OH » Fort Lauderdale, FL » New York, NY » Philadelphia, PA 🏢 » Red Bank, NJ » Scottsdale, AZ, 	 <p>Melissa Hazell Davis Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors 	INVESTIGATIONS <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Title IX Investigations
	<ul style="list-style-type: none"> » Chatham, NJ 🏢 » Hamilton, NJ » New York, NY » San Diego, CA 	 <p>Robin H. Rome Email</p>  <p>Phill Lipari Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Evaluation of Compliance Programs (including monitoring and auditing) 	INVESTIGATIONS <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations









Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Newark, NJ  » New York, NY » Philadelphia, PA 	 <p>Mariel Belanger <i>Email</i></p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » Title IX Investigations



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Chicago, IL » Miami, FL » New York, NY 🏢 » Washington, DC 	 <p>Randy Moonan Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX 🏢 » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 <p>Mishell Kneeland Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Los Angeles, CA 🏢 » New York, NY » Silicon Valley, CA 	 <p>Alison Kehner Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Compliance Program Evaluation » Conflict of Interest » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions (including SEC, CFTC, Treasury, OFAC, and CFBP) » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » Other: Cybercrime/computer hacking and data breach



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Cincinnati, OH » Fort Lauderdale, FL » New York, NY » Philadelphia, PA » Red Bank, NJ » Scottsdale, AZ, 	 <p>Melissa Hazell Davis Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors 	INVESTIGATIONS <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Title IX Investigations
	<ul style="list-style-type: none"> » Baltimore, MD » New York, NY 	 <p>Greg Lawrence Email Erinn Maguire Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Environmental & Natural Resource Litigation » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Healthcare » Internal Investigations » Public Officials & Government Entities » SEC
	<ul style="list-style-type: none"> » Chatham, NJ » Hamilton, NJ » New York, NY » San Diego, CA 	 <p>Robin H. Rome Email Phill Lipari Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Evaluation of Compliance Programs (including monitoring and auditing) 	INVESTIGATIONS <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations









Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	» New York, NY 🏢	 Patricia O'Prey Email	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Conflict of Interest » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » SEC » Title IX Investigations
	» Newark, NJ 🏢 » New York, NY » Philadelphia, PA	 Mariel Belanger Email	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » Title IX Investigations

Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	» Cincinnati, OH » Fort Lauderdale, FL » New York, NY » Philadelphia, PA 🏢 » Red Bank, NJ » Scottsdale, AZ,	 Melissa Hazell Davis Email	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors 	INVESTIGATIONS <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Title IX Investigations
	» Columbus, OH 🏢	 Lisa Messner Email	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » Title IX Investigations

Pennsylvania



Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX 🏢 » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 <p>Mishell Kneeland Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC
	<ul style="list-style-type: none"> » Cincinnati, OH » Fort Lauderdale, FL » New York, NY » Philadelphia, PA 🏢 » Red Bank, NJ » Scottsdale, AZ, 	 <p>Melissa Hazell Davis Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors 	INVESTIGATIONS <ul style="list-style-type: none"> » Employee Misconduct » False Claims Act/Whistleblower » Internal Investigations » Public Officials & Government Entities » Title IX Investigations
	<ul style="list-style-type: none"> » Newark, NJ 🏢 » New York, NY » Philadelphia, PA 	 <p>Mariel Belanger Email</p>	COMPLIANCE <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) 	INVESTIGATIONS <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » Public Officials & Government Entities » Title IX Investigations

Firm Name Click Logo to View Profile	Office Locations Physical Office Locations	Firm Contact/s for PAC	General Practice Experience Click on Firm Logo to See Their Profile for More Details	
	<ul style="list-style-type: none"> » Atlanta, GA » Austin, TX » Boston, MA » Chicago, IL » Dallas, TX 🏢 » Delaware » Houston, TX » Philadelphia, PA » New Jersey » New York, NY » Washington, DC 	 <p>Mishell Kneeland <i>Email</i></p>	<p>COMPLIANCE</p> <ul style="list-style-type: none"> » Compliance Program Development (training, policies, employee reporting, code of conduct, reporting to management) » Corporate Governance/Board of Directors » Evaluation of Compliance Programs (including monitoring and auditing) » Risk Assessment » Other: Outsourced compliance function/CCO; regulatory support 	<p>INVESTIGATIONS</p> <ul style="list-style-type: none"> » Administrative & Regulatory Actions » Employee Misconduct » False Claims Act/Whistleblower » Government Investigations/White Collar Criminal Defense » Internal Investigations » SEC » Other: Government contracting; FTC



WHITE COLLAR LITIGATION & INVESTIGATIONS



ANDRADE GONZALEZ LLP attorneys apply their extensive experience in all phases of white collar criminal matters and civil, state, and federal regulator matters with fraud or criminal aspects in the handling of new cases. We represent clients in matters resulting from corporate investigations, government investigations, and white collar litigation.

Through successful representations of targets and witnesses in Department of Justice Antitrust investigations of price fixing, FDIC actions involving banking and mortgage violations, grand jury investigations of election fraud and political corruption, SEC investigations and prosecutions of securities and investment fraud, and federal investigations of healthcare fraud, we have established dependability in the handling of white collar litigation and investigations.

CORPORATE INVESTIGATIONS

We represent clients in matters resulting from corporate investigations covering a wide range of allegations, including internal corporate fraud, securities transactions, tax non-compliance, policy violations, and kickbacks.

GOVERNMENT INVESTIGATIONS

Our attorneys represent clients in civil, state and regulatory matters with alleged fraud or criminal aspects. Some of the matters have involved grand jury investigations, election fraud, political corruption, federal investigations of healthcare fraud, and Department of Justice investigations.

WHITE COLLAR LITIGATION

The firm has extensive experience representing clients in all phases of white-collar criminal matters. We have represented targets and witnesses in Department of Justice Antitrust investigations of price fixing, FDIC actions involving banking and mortgage violations, and SEC investigations and prosecutions of securities and investment fraud.

ABOUT THE FIRM

ANDRADE GONZALEZ LLP is a boutique litigation firm that uses creativity, flexibility, and experience to secure the very best results for its clients, even in the most complex and sensitive matters. Our approach is built upon quickly developing and implementing a solid and flexible litigation plan to achieve the fastest and most efficient client win. At every step, we are client-focused, aggressive, and determined to minimize business interruption while maximizing our strategic focus, identifying early solutions, and avoiding needless billing. The Firm's lawyers are diverse, graduated from the most prestigious law schools (e.g. Harvard, Columbia, and Penn), and trained at prominent national law firms before joining our specialized boutique. Over the past five years, we have successfully settled well over 150 cases in pre-litigation using different types of early resolution processes and we have won multiple cases with creative demurrers, motions for summary judgement and judgment on the pleadings.

AGGRESSIVE WHEN NECESSARY, ALWAYS EFFECTIVE.

ANDRADE GONZALEZ LLP | 634 South Spring Street, Top Floor, Los Angeles, CA 90014 | 213-986-3950 | ANDRADEFIRM.COM



Sean A. Andrade

Sean A. Andrade is Managing Partner at the Firm. He graduated from the University of Pennsylvania Law School, simultaneously obtaining a Post-Baccalaureate Certificate in Business & Public Policy from The Wharton School. He received his bachelor's degree with honors from the University of California, Irvine. Mr. Andrade is an aggressive, creative, and experienced litigator who has achieved numerous high-stakes victories in cases involving breach of contract, fraud, piercing the corporate veil, intellectual property disputes, products liability, employment discrimination, wage and hour, franchise, personal injury, real estate, and entertainment disputes.

Throughout his career, numerous publications have recognized Mr. Andrade's professional achievements and expertise. Most recently, the Los Angeles Business Journal selected Mr. Andrade for its 2022 list of "Top 100 Lawyers," and in 2021 they selected him to "Leaders of Influence: Litigators & Trial Lawyers" and 2021 "Minority Leaders of Influence: Attorneys." Benchmark Litigation honored Mr. Andrade in its 2022 rankings and Thomson Reuters placed Mr. Andrade on its list of Southern California "Super Lawyers" for the past six years based on his high degree of peer recognition and achievements.



Sylvia Torres-Guillén

Sylvia Torres-Guillén is a Partner at the Firm. She graduated from Harvard University and earned her law degree from the University of California, Berkeley, School of Law. Ms. Torres-Guillén's practice includes complex commercial litigation, white-collar, entertainment, regulatory and corporate investigation matters, sexual harassment and employment discrimination, and catastrophic personal injury cases.

A renowned trial lawyer, Ms. Torres-Guillén has received the Los Angeles and San Francisco Daily Journal's California Lawyer Attorneys of the Year ("CLAY") award, the Latina Lawyers Bar Association Luminarias Award, the State Bar of California's Ronald M. George Public Lawyer of the Year Award, President's Award by the Ventura County Mexican American Bar Association Education Foundation, the Mexican American Bar Association's Benito Juarez Attorney of the Year Award, the California Rural Legal Assistance Community Leadership Award, the Los Angeles and San Francisco Daily Journal's Top Women Lawyers, and the Los Angeles Business Journal's "Leaders of Influence: Minority Attorneys."

AGGRESSIVE WHEN NECESSARY, ALWAYS EFFECTIVE.

ANDRADE GONZALEZ LLP | 634 South Spring Street, Top Floor, Los Angeles, CA 90014 | 213-986-3950 | [ANDRADEFIRM.COM](https://www.andradefirm.com)



OUR FIRM

Formed in 2006, we are a dynamic corporate law firm comprised of highly experienced and diverse attorneys that counsel clients ranging from early-stage companies to Fortune 100 companies on a range of complex business litigation and transactional matters. Our clients come to us when they are looking to partner with a diverse law firm that can marry Big Law sophistication with small firm creativity and responsiveness to deliver best-value in solving their most complex legal challenges.

OUR CLIENT

Ranging from early stage/emerging companies to Fortune 100 global giants, our clients include:

- Global Banks
- Regional and Community Banks
- Insurance Companies
- Investors
- Private Investment Funds
- Investment Advisors
- Finance Companies
- Retailers/Manufacturers
- Fintech Companies
- Government Agencies
- Technology Companies
- Asset Managers

PRACTICE AREAS

BurgherGray attorneys have handled some of the most complex transactional and litigation matters. We offer a wide range of legal services, including:

Corporate Transactional

- M&A, Divestitures & Joint Ventures
- Capital Markets & Finance
- Investment Management
- Commercial Transactions
- Financial Restructuring & Bankruptcy
- Intellectual Property & Technology
- Real Estate & Community Development
- Corporate Governance
- Securities Regulation & Compliance

Business Litigation

- Complex Civil Litigation
- Appellate Litigation
- Securities Class Action Litigation
- Consumer Class Action Litigation
- Consumer Finance Litigation
- Government Investigations & Enforcement
- Internal Investigations
- Employment Litigation



GOVERNMENT INVESTIGATIONS AND ETHICS COMPLIANCE COUNSELING

OUR EXPERIENCE

BurgherGray represents companies of all sizes, together with their boards of directors, committees, and officers, in conducting internal investigations and responding to civil or criminal investigations, enforcements, and regulatory proceedings.

Our attorneys have represented companies and individuals in investigations and enforcement actions brought by the United States Department of Justice, the United States Securities and Exchange Commission, the Federal Trade Commission, the Consumer Financial Protection Bureau, the Social Security Administration, and numerous state attorneys generals.

BurgherGray also has a depth of experience advising clients on ethics and compliance issues. We bring real life and practical advice in helping our clients create, enhance, monitor and train on best-in-class compliance policies and procedures.

We have regularly conducted internal investigations on sensitive allegations of employee violations of companies' code of ethics or statements on business conduct. Areas in which we have conducted internal investigations of possible employee misconduct include among others:

- Conflicts of Interests
- Inclusive and respectful workplace
- Retaliation
- Bribery and corruption including Foreign Corrupt Practices Act (FCPA)
- Fraud
- Accounting, financial reporting and public disclosure
- Illegal financing
- Insider trading

OUR ATTORNEYS

The BurgherGray team includes a former Branch Chief of the Enforcement Division of the United States Securities and Exchange Commission who was responsible for prosecuting claims involving violations of the FCPA, accounting fraud, disclosure violations, insider trading, and others.

Our attorneys also include a former Chief Compliance Officer at a large publicly traded corporation with business operations around the globe. He designed that company's global ethics and compliance program applicable to over 15,000 employees and contractors. In addition, we have seasoned litigators with substantial national internal investigations experience. Our attorneys bring perspectives that are unique and relevant to our clients' creation, maintenance and monitoring of effective ethics and compliance programs



ATLANTA | AUSTIN | BOSTON | CHICAGO
DALLAS | DELAWARE | HOUSTON | PHILADELPHIA
NEW JERSEY | NEW YORK | WASHINGTON DC

For further information: namwolf@cm.law

Compliance and Investigations

Culhane Meadows is the largest national full-service women-owned law firm in the country. Our compliance and investigations team has the experience, practicality and senior level acumen to deliver the most effective results for our clients, at the best value.

Our compliance and investigations team leverages deep experience within government, in-house, and as outside counsel to deliver practical, expert advice to meet our clients' compliance and investigative needs. From helping clients nationwide establish and implement compliance programs, to handling the most sensitive internal and regulatory investigations, our time-tested partner-level attorneys deliver superior results. Backed up by Culhane Meadows' innovative cloud-based practice model and the technology that allows us to work nimbly, we also keep overhead and fees well below those charged by firms with comparable experience.

We'd love to put our experience to work for you.

- **We offer business-savvy judgment, insight and solutions that only seasoned professionals can provide.** Our compliance and investigations lawyers have on average more than 20 years of practical experience, including at AmLaw 200® law firms, global corporations, and senior levels of government. This allows us to act as facilitators – not "roadblocks" – to your business objectives. Our all-partner team also has the judgment that only years of experience working with senior management, boards and senior government officials can bring to our work on clients' most sensitive compliance and investigative matters.
- **We know compliance.** Our team is comprised of former Big Law, government, and in-house attorneys, including partners that have served as chief compliance officers, general counsels, ethics counsel, government investigations officers and litigators.
- **We serve a broad range of clients,** including Fortune® 100 companies and international organizations. Our compliance and investigations team leverages industry and substantive knowledge of more than 70 attorneys in major business markets across the U.S. in biopharma, chemical, electronics, media, automotive, software, oil & gas, manufacturing, telecom, e-commerce, information technology, hospitality, banking, financial services, travel and insurance, among others.
- **Our competitive fees are unmatched by similarly-experienced partners at traditional law firms.** Our partners' billing rates are usually equal to or lower than those charged by large firms for their junior associates. We also place a premium on relationships – we learn your business so we can represent your interests seamlessly as an extension of your organization.

Representative Compliance and Investigations Experience

- Corporate and internal whistleblowing investigations
- Labor and employment compliance and investigations
- Bank Secrecy Act / anti-money laundering / sanctions compliance programs
- Government and regulatory investigations and enforcement actions
- Government contracting compliance and investigations
- FTC compliance and enforcement
- SEC violations
- Financial services regulatory compliance
- Ethics compliance
- Fraud, bribery and FCPA



DTO Litigation Practice Group – Compliance and Government Investigations

DTO Law is an elite boutique law firm founded by former BigLaw attorneys with offices in Silicon Valley, Los Angeles, and New York, although our litigators handle cases across the United States. We offer a team of exceptional litigators from diverse backgrounds whose credentials and experience rival those of any competitor, whether they be BigLaw or boutique.

As our firm continues to increase in size (from three founding partners to 17 attorneys in just three years), so too has our roster of clients. Our clients' litigation needs are wide-ranging, and our attorneys are well-positioned to address them due to their many years of experience handling challenging complex litigation in state and federal court, as well arbitrations. DTO attorneys possess criminal and civil experience, and our lawyers have successfully handled cases at all stages of litigation, from pre-suit investigation through pre-trial settlement, trials, and appeals.

Alison Kehner, a Litigation partner, leads the firm's Compliance and Government Investigations Practice Group. Alison draws on her experience as a former federal prosecutor to advocate for clients in criminal litigation, government investigations, and related civil and administrative proceedings. Alison has litigated cases at every stage – from initial grand jury proceedings and pre-suit negotiations, through trials and appeals. Alison is an experienced trial and appellate lawyer with a strong track record in matters involving financial crimes, fraud, and data security, privacy, and identity theft.

During her time with the Department of Justice, Alison led investigative teams with agents from the Securities and Exchange Commission (SEC), Federal Bureau of Investigation (FBI), Internal Revenue Service (IRS), Department of Health and Human Services Office of the Inspector General (HHS-OIG), Drug Enforcement Administration (DEA), Department of Homeland Security (including HSI and CBP) and other federal law enforcement agencies, as well as state authorities.

Alison is a creative and analytical thinker who leverages her experience, battle-tested judgment, and law enforcement relationships to solve complex legal issues.



YOUR TRUSTED LEGAL ADVISORS



Cheryl L. Borland
Of Counsel



Hope A. Comisky
Member & General Counsel



Melissa H. Davis
Member



Francine Friedman Griesing
Managing Member



Pamela M. Harper
Of Counsel



Kathleen Lamkin
Legal Assistant



Jessica L. Mazzeo
Member & Chief Operating Officer



Maudie Moreno
Administrative Assistant



Courtney Mullen
Sr. Business Development
Specialist



Julie Negovan
Of Counsel



Umang Patel
Sr. Marketing Specialist



Keith Peterson
Associate



Denise A. Potts
Litigation Paralegal



Rasheda Stewart
HR & Operations Manager



Jessica Tarapchak
Associate



Personal Attention. Proven Results.

Arizona | Florida | New Jersey | New York | Ohio | Pennsylvania

P 215.618.3720 | F 215.814.9049 | griesinglaw.com

Griesing Mazzeo Law, LLC is the preeminent team of diverse lawyers committed to personal attention with proven results. We aggressively advocate complex matters with integrity, purpose, and efficiency. Together, we care about our people, our clients and our communities.



OUR FIRM: We are a full-service women-owned and operated law firm based in Philadelphia with satellite offices in Arizona, New Jersey, New York, and Ohio. We are proudly certified as a Women's Business Enterprise (WBE) by WBENC, enabling us to tackle opportunities specifically intended to promote diversity and inclusion in the legal profession.



OUR PHILOSOPHY: We learn each client's operation from the business person's perspective and evaluate strategic options with a close eye on the bottom line. Our team is deeply knowledgeable and offers tailored, cost-effective solutions in a professional and collegial setting. Ultimately, our objective is to help our clients accomplish their goals while minimizing their exposure and without substantial expense.



OUR AFFILIATES: The Firm has affiliations with two entities to better enhance the overall value we bring to our clients. Through GriesingMazzeo Leadership and Bossible, we continue to increase our commitment to entrepreneurship, especially among members of diverse groups, and across a myriad of industries. In conjunction with these subsidiaries, we share our experiences and address the many areas where our clients can grow and benefit from enhanced business strategies as well as access to opportunities specifically available to them.



OUR PRACTICES: Alternative Dispute Resolution, Commercial Litigation, Confidential & Sensitive Investigations, Corporate Transactions & Compliance, Diversity & Inclusion, Employment, Ethics & Professional Responsibility, and Trusts & Estates.



OUR TEAM: We are AV rated and have experience working in house, serving in government, and as senior lawyers at top Am Law 100 firms. We are highly sought speakers and respected authorities in our areas of expertise, frequently acknowledged by our peers and clients for top-notch service and results. Our accolades for professional achievement and civic leadership include Best Lawyers, Best Law Firms, Chambers & Partners USA, Super Lawyers, Client Choice, Pennsylvania's Best 50 Women in Business, Philadelphia Inquirer's Lifetime Achievement Award for the Law and Diversity and Inclusion, Philadelphia Business Journal's Women of Distinction, Philadelphia Business Journal's Best of the Bar, American Bar Association's Excellence in Legal Writing Award, The Legal Intelligencer's Lawyers on the Fast Track and many more. We were also selected by in-house counsel as the 2013 Law Firm MVP by the National Association of Minority and Women Owned Law Firms, by SmartCEO as the 2014 Philadelphia Law Firm of the Year, and by the Philadelphia Business Journal as one of the 2017 Soaring 76 for being one of the fastest growing companies in the Greater Philadelphia area.



OUR CLIENTS: We represent Fortune 500 corporations, government entities, closely-held companies, non-profits, and individuals from a broad array of industries including: academia, arts and entertainment, chemical, communications, financial services, foodservice, government, hospitality, insurance, e-commerce, manufacturing, non-profits, pharmaceuticals, professional services, real estate, retail, and technology.



OUR COMMUNITY: We are engaged in an array of non-profit and civic organizations locally and nationally, including American, Pennsylvania and Philadelphia Bar Associations, International Institute for Conflict Prevention & Resolution, Inc. (CPR), National Association of Minority and Women Owned Law Firms (NAMWOLF), National Society of Compliance Professionals (NSCP), Penn Law Dean's Council, and Penn Law Kilgore Society, among others.



OUR JURISDICTIONS: Arizona, District of Columbia, Florida, Kentucky, New Jersey, New York, Ohio, Pennsylvania, and South Carolina.

griesing
MAZZEO LAW

Personal Attention. Proven Results.

Arizona | Florida | New Jersey | New York | Ohio | Pennsylvania
P 215.618.3720 | F 215.814.9049 | griesinglaw.com

LAWRENCE & BUNDY

INTERNAL INVESTIGATIONS

Founded in 2016 by two former BigLaw partners, Lawrence & Bundy's value proposition is clear—leveraging our authentic diversity to combine the sophistication, depth, and rigor of a large law firm with nimble and agile client service. The foundation of our firm's success starts with a deep investment in the attorney-client relationship. We dedicate extensive time and resources to understanding our clients' problems and addressing their challenges with real-world solutions.

Clients rely on Lawrence & Bundy as their go-to firm for sensitive investigations and cultural assessments. We conduct investigations across all industries and throughout the nation for businesses of all sizes including the Fortune 100. Our team is particularly skilled in handling high profile matters with discretion. We understand internal investigations create stress for participants and others in the workforce. Our investigators are trained in trauma-informed interview techniques. We conduct investigations promptly and work closely with our clients to resolve any outstanding issues so companies can move forward with normal business operations. We also provide customized workplace education that is engaging, informative and effective.

OUR PRACTICE AREAS

- Internal Complaints of Discrimination, Retaliation, and Sexual or other Harassment
- ERISA Compliance
- Internal Whistleblower (Sox/Dodd Frank)
- Complaints Related to Various State Law Compliance Issues
Affirmative Action/OFCCP
- Workplace Violence
- Wage & Hour Issues
- Ethics Violations
- False Claims Act
- Title IX
- Government Contracts Compliance
- Internal Fraud, Embezzlement, and Other Allegations of Misconduct
- Trade Secret, Confidential Information, and Misappropriation

OUR OFFICES

- 1180 West Peachtree Street NW; Suite 1650
Atlanta, Georgia 30309 | (404) 400-3350
- 8115 Maple Lawn Boulevard; Suite 275
Fulton, Maryland 20759 | (240) 500-3595
- 650 Poydras Street; Suite 1400
New Orleans, Louisiana 70130 | (504) 208-5603

lawrencebundy.com



ALLEGRA LAWRENCE-HARDY



THOMAS BUNDY





Innovative | Aggressive | Independent



Greg Lawrence | Partner



Erinn Maguire | Partner

Other Firm Practice Areas:

- Construction Law
- Business and Corporate Litigation
- Trade Secrets and Copyright Litigation
- Products Liability
- Labor and Employment Law
- Government Enforcement Defense
- Corporate Law

Main Office

The Warehouse at Camden Yards
323 W. Camden Street, Suite 700
Baltimore, MD 21201

New York Office

757 Third Avenue, 20th Floor
New York, NY 10022



Disclaimer: Each case is different. Prior success provides no assurance of future success.

Compliance & Government Litigation

Lawrence Law's compliance and investigations attorneys handle both routine and high-stakes regulatory investigations and enforcement matters, including related civil and administrative actions in federal and state courts. Our team is led by Partner Greg Lawrence, who worked from 1999 to 2005 as Senior Counsel in the Division of Enforcement at the U.S. Securities and Exchange Commission. Greg had a decorated career at the SEC, including spearheading the landmark AOL Time Warner investigation. Since leaving the SEC, Greg has successfully represented clients in numerous SEC and Department of Justice investigations, including a rare defeat of the U.S. Securities and Exchange Commission in a high-profile securities fraud prosecution.

In addition to SEC and FINRA investigations and prosecutions, Lawrence Law also represent clients in investigations and enforcement proceedings brought by other federal and state regulators in various other fields, including energy, insurance, and health care. We understand the difficulties of compliance with government regulations, and we are committed to helping our clients address these challenges while meeting business goals.

To learn more about how we can help with your company's compliance and government investigation needs, contact one of our experienced attorneys:

Greg Lawrence, Partner

410-837-6995 | greg@lawrencelawllc.com

Erinn Maguire, Partner

667-400-6301 | erinn@lawrencelawllc.com

More About Lawrence Law

Lawrence Law is a boutique business law firm focused on solving legal issues for businesses and businesspeople. Lawrence Law is a women-owned firm founded by Kate Lawrence in 2013. In 2019, Greg Lawrence, Kate's brother, merged his successful complex civil litigation and white-collar and regulatory defense practice into Lawrence Law. The firm has grown to eight lawyers, including five partners with significant trial experience. Under the combined leadership of siblings Kate and Greg, the firm represents a broad spectrum of clients in day-to-day legal advice, routine litigation, and high-stakes litigation and prosecutions in Maryland, the District of Columbia, New York, and across the country.

Meet our team and see more game-changing results >
LawrenceLawLLC.com

MAC MURRAY
&
SHUSTER

mslawgroup.com

Columbus, OH | (614) 939-9955

Cincinnati, OH | (513) 563-3020

Powerful Partnership

We guide organizations through the aggressively regulated legal terrain that stands between them and their customers.

Mac Murray & Shuster is a nationally recognized, women-owned law firm focused on consumer protection and privacy compliance and defense.

Led by former state regulators, including a former Ohio Attorney General, our attorneys have worked deep in the trenches on all sides of these complex laws and offer the experience and insight that only comes from having helped shape the regulatory landscape themselves.

From entrepreneurial startups to Fortune 500 companies, we help clients successfully navigate evolving regulatory demands through proactive compliance management and defense in litigation, investigations, and other enforcement matters before state attorneys general and federal agencies including the FCC, FTC, and CFPB.

Practice Areas

- Attorney General & Multistate Investigations
- Federal & State Regulatory Compliance & Defense
- Complex Civil & Commercial Litigation
- Class Action Defense
- Privacy & Data Security
- TCPA & Teleservices
- Advertising & Marketing
- Cannabis, CBD & Hemp
- Intellectual Property
- Regulatory Compliance Audits & Programs
- Government Relations & Advocacy

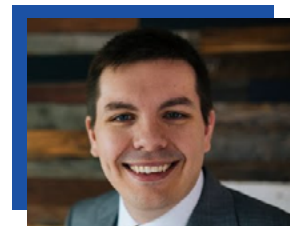
Firm Contacts



PARTNER & LITIGATION DIRECTOR

Lisa Messner

lmessner@mslawgroup.com
614.939.9955



PARTNER

Josh Stevens

jstevens@mslawgroup.com
513.563.3020

EXPERIENCED. IMPARTIAL. THOROUGH.

NUKK-FREEMAN
& CERRA, P.C.
EMPLOYMENT ATTORNEYS

WORKPLACE
INVESTIGATIONS

An experienced, impartial, and thorough investigation not only makes for a more fair and equitable workplace, but it can also reduce the likelihood of litigation and significantly limit an employer's liability if litigation does arise.

At NFC, our Investigations Practice Group offers a robust suite of services to supplement or enhance your company's workplace investigations capabilities. Our vast experience spans across industries for both publicly and privately-held organizations, handling a wide range of issues, including discrimination, harassment, retaliation, bullying, whistleblowing, financial misconduct, and code of conduct and policy violations.

The Investigations Practice Group's suite of services includes:

- **Third-Party Investigations.**

NFC's highly skilled and experienced investigators conduct privileged and non-privileged investigations for clients, handling every aspect of the investigation process with the utmost skill and care. Members of NFC's Investigations Practice Group have collectively conducted hundreds of independent investigations as third-party investigators, including high-level and sensitive investigations for Fortune 100 companies and smaller employers.

- **Investigation Policies, Procedures, and Documentation.**

NFC's Investigations Practice Group assists clients in building their internal investigation policies, processes, and standard documentation from the ground up. This includes, but is not limited to, preparing written investigation protocols, pre-interview instructions, and "close-out" documentation - all customized to our client's needs. For clients with existing investigation protocols, we evaluate potential risks and gaps, offering a concrete way to improve the investigation process.

- **Investigations Counseling.**

NFC's Investigations Practice Group regularly provides legal advice and guidance to clients as they conduct internal investigations in their workplace.

- **Investigations Training.**

NFC's Investigations Practice Group designs and delivers interactive training workshops focused on investigation best practices, with step-by-step guidance on conducting an effective internal investigation, from the initial outreach to the final close-out steps.

Training Offerings and Duration:

- ▶ Conducting Effective Internal Investigations (Legal Perspective) - 2 hours
- ▶ Conducting Effective Internal Investigations (HR Perspective) - 2 hours
- ▶ Tips for Conducting Effective Remote Investigations - 1.5 hours
- ▶ Understanding and Reducing Implicit Bias in Workplace Investigations - 1.5 hours
- ▶ Privilege Issues in Internal Investigations - 1 hour

GET IN TOUCH.

Robin H. Rome
rrome@nfclegal.com

Phillip J. Lipari
plipari@nfclegal.com



WHO WE ARE

Scharf Banks Marmor LLC is a highly sophisticated boutique firm serving clients around the world on mission critical issues from its offices in Chicago. Recognized by our clients and the profession for both the exceptional quality of our legal services and our commitment to diversity, equity and inclusion, we represent national and global enterprises, public and private businesses, and government and non-profit agencies. Our lawyers come from large firm practices and senior level positions in corporate law departments. As a women-owned law firm, we celebrate the benefits that diverse perspectives bring to analyzing and solving legal problems. We provide value and serve the values of our clients while providing extraordinary service.

AREAS OF SERVICE

Complex Litigation & Appeals | Compliance | Employment
Intellectual Property & Advertising | Antitrust | Life Sciences/Food

WHO WE SERVE

Abbvie, Accenture LLP, ACCO Brands, Barilla America, BMO Harris, Cook County, Competition Bureau of Canada, DuPont, Federal Trade Commission, Force Partners, GlaxoSmithKline, Guidant Technology, Hyatt Corporation, Ingredion, Liggett Group, Marathon Petroleum, Merck & Co., Mizkan Americas, Mondelez International, OSI Group, Pepper Construction, Pfizer, Prudential Financial, SNCF, Stratford Career Institute, Yelp

RECOGNITION

Rated AV-Preeminent by Martindale-Hubbell
Selected as Illinois SuperLawyers and Leading Lawyers
Leaders in the American Bar Association and other prominent bar groups
Officers and Board Members in civic and cultural organizations



Scharf Banks Marmor LLC

333 W. Wacker Drive, Ste. 450 Chicago, IL 60606
(312) 726-6000 | www.scharfbanks.com



SCHARF BANKS MARMOR LLC COMPLIANCE & ETHICS PRACTICE

The attorneys at Scharf Banks Marmor have deep experience working with clients to create or improve their compliance and ethics programs. Each member of the team has experience as both an inside and outside counsel. The firm can assist on all aspects of your program, from developing a code of conduct to creating an individual compliance policy or procedure. We can assist in performing a corporate risk assessment or evaluate an existing compliance program against the latest guidance from the government. We work for the Federal Trade Commission and the Competition Bureau of Canada as compliance monitors and are able to communicate to our clients how government enforcers will evaluate the bonafides of your program.



Ted Banks has been involved in corporate compliance for more than 40 years, starting when he was in-house counsel at Kraft Foods. He has built upon his learnings as to what programs actually work or don't work to develop compliance programs from the ground up, as well as helping companies refine existing programs to make them more effective. He is a compliance monitor for the FTC and the Competition Bureau of Canada. He is the editor of the "Corporate Legal Compliance Handbook," published by Wolters-Kluwer, and is Associate Adjunct Professor at Loyola University (Chicago), where he teaches corporate compliance in the MJ, JD and LLM programs.



Rebecca Ford concentrates her practice on corporate transactions and contracts, corporate governance and corporate compliance programs. She has extensive experience managing litigation and provides critical support on public/private transactions managing government and stakeholder relationships. She has monitored compliance programs for the Federal Trade Commission and the Competition Bureau of Canada. She has assisted clients with review of compliance programs dealing with government corruption, and and performed comprehensive reviews of corporate compliance and ethics programs.



Before joining the firm, Christian Liipfert spent much of his career in the energy sector, working in the US as well as in Paris and Hong Kong. He has a wide range of experience in the field of corporate compliance, with an important international perspective on compliance issues. He is a compliance monitor for the FTC and Canada Competition Bureau. He is deeply familiar with all aspects of information governance, including e-discovery and knowledge management. He has conducted numerous investigations into major industrial accidents and alleged criminal violations of environmental laws. He was a pioneer in the creation of e-learning modules for client education on legal topics ranging from environmental compliance, attorney client privilege and fatality investigations to international antitrust and competition law.

SCHOEMAN UPDIKE KAUFMAN & GERBER LLP

551 Fifth Avenue | New York, NY 10176 | 212.661.5030 tel | 212.687.2123 fax |
155 Willowbrook Boulevard | Wayne, NJ 07470 | 973.256.9000 tel | 973.256.9001 fax |

www.schoeman.com

CONTACTS



Beth L. Kaufman
bkaufman@schoeman.com



Patricia C. O'Prey
poprey@schoeman.com

ATTORNEY AWARDS AND PEER RECOGNITION (partial list)

Martindale-Hubbell AV Preeminent
Best Lawyers in America
Super Lawyers New Jersey & New York
Super Lawyers Top Women Attorneys in
New York



ABOUT THE FIRM

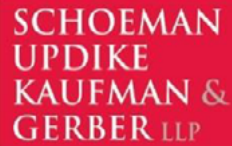
Founded in 1969, we are a WBENC, New York State and New York City WBE-certified women-owned law firm. We represent clients ranging in size and scope, including Fortune 50 public corporations, privately held companies, partnerships, individuals, state agencies, and public benefit corporations and higher education and independent school institutions. We have developed long-lasting relationships with our clients based on trust, creativity, innovation, and the commitment from our lawyers and staff to work as a team toward achieving success. Our lawyers are licensed in New York, New Jersey, Connecticut, Illinois, California, Pennsylvania, Georgia, Massachusetts, and Arizona, and have appeared in federal and state courts, in arbitrations and before administrative and regulatory agencies throughout the country.

PRACTICE AREAS

- Commercial, Financial Services and Business Litigation
- Employment and Employee Benefits Litigation and Counseling
- Product Liability (including pharmaceutical, biotech, medical device, toxic torts, consumer and chemical products)
- Commercial Real Estate Transactions and Litigation
- Intellectual Property Prosecution, Litigation and Transactions
- Regulatory, Governmental, Compliance, and Internal Investigations
- Professional Ethics
- Private Client Services (including family law, residential real estate and trusts and estates)

REPRESENTATIVE CLIENTS

Bank of America Corporation, NYU Langone Health, State University of New York, City University of New York, Hofstra University, Merck & Co., Inc., The Prudential Insurance Company of America, Macy's, Inc., Pfizer Inc., Walmart Inc., Mastercard International Incorporated, McDonald's Corporation, Toyota Motor North America, Inc.



551 Fifth Avenue | New York, New York 10176 | 212.661.5030 **tel** | 212.687.2123 **fax**
155 Willowbrook Boulevard | Wayne, New Jersey 07470 | 973.256.9000 **tel** | 973.256.9001 **fax**

Regulatory, Governmental and Internal Investigations and Compliance

Our attorneys have extensive experience handling a wide range of government and internal investigations. Our team, which includes two former Assistant U.S. Attorneys from the Southern District of New York and a former Executive Counsel, Investigations from General Electric, has represented corporations and individuals in a variety of governmental and internal investigations, and regulatory and enforcement actions. We are experienced in a broad range of substantive areas, including antitrust, insider trading, securities fraud, bank and financial fraud, Foreign Corrupt Practices Act, insurance fraud, government contract fraud, stock market manipulation, tax fraud, RICO, sexual harassment, hostile work environment, #metoo, gender, national origin, religious, sexual preference, disability and racial discrimination. We have appeared before the U.S. Securities and Exchange Commission (SEC), the U.S. Department of Justice (DOJ), self-regulatory organizations, and federal, state and local prosecutors.

Our lawyers are also prepared to respond to the parallel regulatory and civil proceedings that often accompany criminal investigations. As part of our broader litigation practice, we also have significant experience defending securities and commercial litigation matters in federal and state courts. We have achieved favorable outcomes for a wide range of companies – including publicly and privately held companies, accounting firms, hedge funds, banks and brokerage firms. We have won cases through trials (bench and jury), as well as dispositive motion practice.

Contact: For additional information, please contact:
Patricia O'Prey at poprey@schoeman.com or 212-661-5030 or
Charles B. Updike at cupdike@schoeman.com or 212-661-5030



1441 Canal Street, Ste. 317 | New Orleans, LA 70112
504.459.4557 | www.tlg.law

WORKPLACE INVESTIGATIONS

TLG's employment lawyers regularly conduct sensitive internal corporate investigations. Those investigations include claims of harassment, allegations of age, race, disability, and gender discrimination issues; disability accommodation issues; retaliation; and claims arising under whistleblower policies, among others.

Our lawyers regularly conduct workplace investigations for clients in a wide variety of industries. Those industries include education, nonprofit organizations, healthcare and health services, legal services, pharmaceuticals, professional organizations, and sports, among others.

We are frequently retained to conduct complex internal investigations in connection with allegations of workplace harassment and employee misconduct.

EXAMPLES OF RECENT TLG WORKPLACE INVESTIGATION MATTERS INCLUDE:

- | Counseling universities in connection with investigations of faculty members, students, and staff.
- | Investigating allegations of sexual misconduct involving an educator.
- | Investigating complaints involving the Chief Executive Officer of a major nonprofit corporation.
- | Conducting internal investigations into claims of alleged discrimination and bullying by senior executives of various nonprofit organizations.
- | Conducting internal investigations of allegations of workplace discrimination, sexual harassment, and implicit bias on behalf of law firms and nonprofit legal organizations.
- | Counseling professional organizations on investigating and responding to allegations of sexual harassment or misconduct by members.
- | Conducting an internal investigation of allegations of age, race, disability, gender discrimination, and retaliation on behalf of a public-private partnership.
- | Conducting several investigations related to complaints of mistreatment by employees of a public organization.
- | Representation of a client in a DOL investigation.

CONDUCTING WORKPLACE HARASSMENT INVESTIGATIONS

We begin each investigation by gathering documentation of the behavior in question. Starting with the complainant, we begin interviews with the parties involved. We record their viewpoint of the situation, documenting the details of the incident in question. From this conversation, we determine relevant witnesses and subsequently interview them. Finally, we interview the individual(s) accused of harassment.

Once all the interviews and documentation has been reviewed, we share the results with the employer, and collect our findings into a report at the company's request. Upon conclusion of the investigation, it is up to the company to decide how they would like to move forward.

Companies typically hire an outside investigator for more complex and sensitive cases of harassment, helping to avoid allegations of bias from within. Company attorneys can better do their job as an advocate when they do not have to be a neutral investigator. As a legal firm, TLG can also blanket the investigation in privilege.

ATTORNEYS & STAFF

Michelle D. Craig, JD | Owner & CEO • Richard A. Montgomery, II, JD | Attorney • Rubi L. Brown, JD | Attorney
Danielle Payne | Executive Paralegal • Liuting Chen | Executive Administrative Assistant



**VALENTINE AUSTRIACO
& BUESCHEL, P.C.**

105 West Adams Street
35th Floor
Chicago, IL 60603
P (312) 288-8285
F (312) 638-8137

**INTERNAL
INVESTIGATIONS**

FRAUD & OTHER
VIOLATIONS OF LAW
OR POLICY

EMPLOYMENT
ISSUES

vablawfirm.com

Internal Investigations

VALENTINE AUSTRIACO & BUESCHEL IS
A WOMEN-OWNED LAW FIRM SUITED
FOR BUSINESS.

VAB attorneys are uniquely qualified to conduct investigations where outside counsel is necessary. Our decades of experience representing government agencies, closely held businesses, nonprofit organizations, corporate boards, and audit committees translates into a ready ability to assess the nature of your business, what drives its operations, and where vulnerabilities may exist. Through targeted document review and discrete and probative questioning of witnesses, we provide well-supported analyses of whether the conduct at issue raises meritorious claims or subjects our clients to scrutiny. Our clients praise our ability to give timely recommendations on sensitive issues while working to bring a principled and diverse voice to issues requiring investigation.

VAB'S INVESTIGATION SERVICES FALL WITHIN TWO PRIMARY AREAS:

FRAUD & OTHER VIOLATIONS OF LAW OR POLICY

VAB attorneys bring decades of experience investigating claims of malfeasance in a wide range of corporate situations and in proceedings in front of governmental agencies. Harnessing their deep knowledge of how regulated entities, such as insurance companies and financial institutions, operate within a regulatory framework, VAB attorneys know where to look and understand the financial incentives at play. Investigations include alleged internal controls failures, procurement irregularities, misstatement of financial statements, and data manipulation. In addition to looking to VAB for internal guidance on whether purported misconduct requires further action, our corporate clients also turn to VAB to investigate whether service professionals, contractors, or vendors have responsibility that may provide an alternate recovery source.

EMPLOYMENT ISSUES

When a claim of discrimination, harassment or retaliation is made and the need for investigation arises, clients trust VAB to provide thorough, timely, and cost-effective results. VAB provides both privileged and independent investigations, understanding the purpose and parameters of each approach. VAB attorneys set a clearly defined scope for each investigation and communicate with their clients at each critical juncture. The end result is a report that contains no surprises and can serve as an important tool in business decisions. VAB attorneys have also assisted clients in determining the extent of breaches of noncompete agreements and the misuse of confidential information, analyzing whether claims involving former employees are viable and cost-effective.

OUR INVESTIGATION ATTORNEYS

Susan Valentine *SHAREHOLDER*

svalentine@vablawfirm.com | D (312) 238-9062

Susan has spent decades representing government agencies investigating regulated businesses, including the FDIC and state-appointed liquidators and receivers for insolvent insurance companies. She has investigated scores of professional liability and fraud claims on behalf of receivers of failed financial institutions and liquidated companies. Susan brings this deep experience to bear in representing her corporate clients and is very comfortable investigating claims at all levels within a company. Most recently, she and partner Aurora Austriaco were retained by a Fortune 100 company to investigate alleged misconduct, an engagement that required fact finding at the highest levels of the corporate structure, including the chair of the company's audit committee.

Aurora Austriaco *SHAREHOLDER*

aaustriaco@vablawfirm.com | D (312) 238-9061

Aurora has deep knowledge of governmental and corporate contracting issues and procurement procedures, having served on numerous corporate and municipal boards. She has conducted internal investigations on alleged improprieties in the procurement process and has made recommendations to executives at the highest levels. Prior to her firm work, Aurora served for many years as in-house counsel for a large title insurance company. Her in-house experience provides her with a visceral understanding of the pressures that exist for her clients and creates a practical and wholistic business lens through which she views the issues presented in each investigation.

Lydia Bueschel *SHAREHOLDER*

lbueschel@vablawfirm.com | D (312) 238-9063

Lydia leads the firm's employment practice. She is adept at using skills developed in her prior career as a social worker to identify and understand underlying dynamics in workplace disputes. She is particularly effective in using these skills in the context of often-sensitive discrimination and retaliation investigations, quickly identifying issues and framing cost-effective processes, while at the same time providing the space and environment for witnesses to be forthcoming and feel heard. Lydia has worked alongside Susan in many of the firm's professional liability investigations, garnering extensive experience investigating failed banks and other insolvent entities, including insurance companies and liquidating trustees of failed entities.

Sandy L. Morris *OF COUNSEL*

smorris@vablawfirm.com | D (312) 238-9545

Sandy has extensive experience investigating claims of discrimination, retaliation, and unfair practices, most recently within the context of COVID-19 issues. Her clients include large institutions and businesses, for which she also uncovers and analyzes possible breaches of noncompetition agreements. Sandy has represented an international insurance carrier regarding the potential negligence of financial institutions making claims against purchase-of-receivables policies. From this work and her years representing insurance carriers in coverage disputes, Sandy understands the insurance industry's complex dynamics and regulatory environment. Her clients value her ability to issue spot, assess credibility, and synthesize multiple (and often competing) pieces of information in their efforts to effectively manage risk.

Reena Sikdar *SPECIAL COUNSEL*

rsikdar@vablawfirm.com | D (312) 238-9067

Reena leads the investigations practice. She has broad experience representing corporations, governmental entities, and individuals in high stakes internal, whistleblower, or government-initiated investigations in matters involving the Foreign Corrupt Practices Act, the False Claims Act, fraud, sexual misconduct, harassment, discrimination, and violations of other law or policy. She has experience counseling large companies and governmental entities in a broad range of industries in compliance matters.

WALSH PIZZI O'REILLY FALANGA

NAMWOLF
LAW FIRM MEMBER



Tricia B. O'Reilly
Partner



Peter J. Pizzi
Partner



Mariel L. Belanger
Partner



Caitlin P. Cascino
Partner



Elizabeth A. Joyce
Counsel



Jordynn Jackson
Associate



Deirdre T. Cooney
Associate

Getting Results in Internal Investigations

Our Internal Investigations practice group attorneys understand the importance of proactive crisis management. Our attorneys have extensive experience conducting internal investigations on behalf of some of the largest public and private corporations in the world, as well as for numerous institutions of higher education. In every investigation, our attorneys prioritize both thoroughness and discretion, always with an aim to provide our clients with a comprehensive understanding of what has occurred and an assessment of their options for responding.

What we do for our clients:

Walsh attorneys regularly lead investigations into such issues as:

- Discrimination, sexual harassment, sexual misconduct, hostile work environment, and retaliation;
- Other employee misconduct (involving such issues as internal policy violations, and unethical, unlawful, and/or criminal conduct);
- Workplace corruption; and
- Whistleblower allegations under Sarbanes-Oxley Act (SOX) and Dodd-Frank Act (DFA).

In addition, Walsh attorneys act as independent Title IX investigators for institutions of higher education, investigating highly sensitive student complaints pertaining to sexual misconduct, stalking, and gender-based discrimination and harassment in the educational context. Walsh also provides strategic advice and counsel to clients who are subject to investigations by state and federal regulatory agencies, and assists in preparing responses to regulators' inquiries.

Who we work with:

- International, national, and regional corporations of all sizes
- Non-profit organizations
- Institutions of higher education
- Municipalities and public agencies
- Multiemployer trade associations
- Referring law firms for out-of-state/conflict issues

Recognized Proficiency in a Wide Range of Practice Areas

Appeals | Bankruptcy, Reorganization & Creditors' Rights | Business & Commercial Litigation | Class Action Defense | Construction
Cyber Security & Data Privacy | eDiscovery | Education | Financial Services & Risk Management | Insurance | Intellectual Property
Internal Investigations | Labor & Employment | Mediation, Arbitration & Special Master Appointments | Regulatory & Public Policy
Special Education | Trade Secrets & Employee Mobility



707 Wilshire Blvd., Suite 3850
Los Angeles, CA 90017
TEL 213.955.9240
willenken.com

Our Firm

Willenken LLP is a premier litigation boutique based in Los Angeles. An elite, minority-owned firm, Willenken serves as trusted outside counsel for numerous Fortune 500 companies in complex commercial and intellectual property litigation matters in trial and appellate courts across the country.

"Willenken is prepared to aggressively pursue matters and proceed to trial when circumstances warrant."

– *Benchmark Litigation*

Awards & Recognition

- Shortlisted for the Diversity & Inclusion Awards: North America in the "Outstanding Firm for D&I" category by *Chambers and Partners* in 2021 and 2022.
- Named a "Recommended Firm" for litigation in California (2018-2022) and selected to "Los Angeles Firm of the Year" shortlist (2019-2022) by *Benchmark Litigation*.
- Selected to the "Most Admired Law Firms" list by *Los Angeles Business Journal* in 2020 and 2021.
- Recognized as a "Top Boutique" in California (2018) by *Daily Journal*.
- Listed on the "Litigation Boutiques Hot List (2014)" by *National Law Journal*.
- Selected by California Minority Counsel Program (CMCP) as the recipient of the 2019 Minority-Owned Law Firm Client Service Award.
- Selected by National Asian Pacific American Bar Association (NAPABA) as the recipient of the 2019 APA-Owned Law Firm of the Year Award.

Representative Clients

We have represented some of the world's most recognized companies including Harbor Freight Tools USA, Inc., Spectrum Brands, The Procter & Gamble Company, McDonald's, Walgreens, Southern California Gas Company, San Diego Gas & Electric Company, AbbVie, VIZIO, BP, Progressive Insurance, J.D. Power, Deluxe Corporation, NBCUniversal, Shell Oil Company, Motorola Solutions, SunPower, Starbucks, Taco Bell, Avis Budget Group, T-Mobile, Foxconn, Sears Holdings Corporation, Walmart, Southern California Edison, KeyBank N.A., Hyatt Hotels Corporation, AU Optronics, and Pacific Gas and Electric Company.

Our Principle

We achieve great results for our clients. Our lawyers have tried over 150 cases to verdict or judgment. We view trial as an opportunity for client success, not a crisis. We are guided by one overriding principle: **Winning Matters®**

Notable Results

- **Secured a trial victory** for AbbVie in a high-stakes breach of contract case in Delaware Chancery Court against Takeda, which failed to supply AbbVie's requirements for Lupron, the leading hormone therapy for prostate cancer. After a four-day trial, the Court found that Takeda breached multiple provisions of the supply agreement. The case will now proceed to the second phase of the trial to determine the amount of damages to be awarded to AbbVie, which are expected to be in the high nine-figures.
- **Obtained a hard-fought settlement and stipulated injunction** to protect the trade secrets of Takeya USA, a manufacturer of popular stainless steel water bottles, on the proverbial eve of trial.
- **Secured a settlement just days before trial** for All Plastic in a high-profile patent infringement case. The District Court of Colorado adopted substantially all of Willenken's proposed constructions for seven contested terms of eight patent claims, placing All Plastic in a winning position.
- Represented the employees of an electric vehicle start-up facing allegations of misappropriation of trade secrets, violation of non-solicitation and confidentiality obligations, and breach of fiduciary duties, **reaching a favorable settlement**.
- On behalf of Motorola Solutions, we **obtained a total defense victory** and secured dismissal with prejudice of a bid-rigging and unfair competition lawsuit.
- **Secured a motion to dismiss** at the pleading stage by prevailing on an immunity defense under Section 230 of the *Communications Decency Act* for a leading social media app company in a high-stakes product liability matter.
- **Obtained a \$1 million victory** and a total defense victory to \$16 million in counterclaims—and then prevailed on those claims on appeal, on behalf of Lumens Co., Ltd.

Contact

Paul J. Loh
Managing Partner

T: 213.955.9240
E: ploh@willenken.com



ZFZ Law_{LLP}

ZFZ Law is a premier law firm comprised of elite attorneys representing a wide range of clients including Fortune 500 companies, corporate executives, public officials and government entities. We have a breadth of experience as top-ranking leaders in the Department of Justice and the nation's preeminent "Big Law" firms. We achieve remarkable results for our clients in complex litigation, government investigations, and white collar criminal defense. We also provide highly sought after advice in cybersecurity, privacy, regulatory compliance, and data breach incident response.

COMPLEX LITIGATION

Our national litigation practice focuses on False Claims Act litigation, theft of intellectual property and trade secrets, breach of contract, fraud, partner disputes, bid-rigging and cybersecurity litigation, among many other issues. Our attorneys rely on decades of experience successfully representing clients in a wide variety of industries including healthcare, finance, technology, entertainment, communications, and energy.

GOVERNMENT AND INTERNAL INVESTIGATIONS

We are highly experienced and adept at navigating the complex challenges of government and internal investigations. Our attorneys have achieved outstanding results for clients accused of healthcare fraud, political corruption, FCPA violations, bribery, environmental violations, import/export violations, money laundering, copyright infringement, and theft of trade secrets. We have successfully defended clients in investigations and lawsuits by the Securities and Exchange Commission (SEC), Department of Justice (DOJ), District Attorneys, and the state Attorneys General, among other government agencies.

CYBERSECURITY & DATA PRIVACY

We counsel clients on all aspects of cybersecurity, privacy, data protection and compliance. We provide full-service and cost-effective assistance in developing cybersecurity and data protection programs and policies. We also provide legal oversight for all technical and policy aspects of cybersecurity, data breach prevention and response planning.

Awards & Recognitions

Women of Influence - Attorneys
Los Angeles Business Journal
2021-2022

Best Lawyers
U.S. News & World Report
2014-2022

Best Law Firm
U.S. News & World Report
2018-2022

Super Lawyers
2021-2022

Daily Journal's Top Boutiques
2019

Representative Clients

Microsoft Corporation

Aon Insurance

MassMutual Insurance

Toyota Motor Credit Corporation

The Prudential Insurance Company

Toyo Tires of North America

Southern California Edison

FHL Bank of San Francisco

Contact

Rachel Fiset, Managing Partner
rachel.fiset@zfzlaw.com
213.266.5172



www.zfzlaw.com
Los Angeles | D.C.