



NAMWOLF

**FINANCIAL SERVICES
LITIGATION**

PRACTICE AREA COMMITTEE

NAMWOLF



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OUR FINANCIAL SERVICES LITIGATION PRACTICE AREA COMMITTEE is designed to meet the litigation needs of clients in the Financial Services industry.

OUR PAC LAW FIRMS provide significant litigation services in the areas of banking operations, broker dealer and FINRA disputes, consumer finance, credit and collection practices, trust and probate and the full range of financial services litigation defense.

OUR FIRMS HAVE SOLID EXPERIENCE. Every member of our PAC is currently providing significant legal services to major financial services companies including Bank of America, Citibank, Prudential Financial Services, KeyBank, U.S. Bank, JPMorgan Chase Bank, Metropolitan Life Insurance Company, RBS Citizens, GE Capital, Wells Fargo Bank, PNC Bank and many others. These clients demand the highest quality legal services.

A PROVEN TRACK RECORD OF POSITIVE RESULTS. Several of our attorneys are also former in-house counsel and our Firms are AV Peer Review Rated by Martindale-Hubbell.

COMPETITIVE RATES AND ALTERNATIVE FEE ARRANGEMENTS. PAC Firms offer competitive billing rates and alternative fee opportunities. We will work with you to arrive at a fee structure that works for everyone.

GEOGRAPHIC DIVERSITY. Our PAC's firms have offices and/or bar admissions throughout the country. PAC Firms will also work with other NAMWOLF Firms where needed in order to achieve coverage nationwide. Each PAC Firm knows the legal climates of its region, and knows the judges, opposing counsel, experts and local counsel.



PAC Chair

Sarah Lynn Oquist

Sapientia Law Group, PLLC

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About NAMWOLF

Founded in 2001, NAMWOLF is a nonprofit trade association comprised of minority and women owned law firms who have exhibited excellence in the legal profession throughout the United States. Many corporations and public entities committed to diversifying their outside law firm ranks have focused on the utilization of minority and women attorneys at majority firms. However, the most effective way for corporations and public entities to increase diversity in the legal profession is to increase their utilization of minority and women owned law firms. NAMWOLF's mission is to promote true diversity in the legal profession by fostering the development of long-lasting relationships between preeminent minority and women owned law firms and corporations and public entities.

About NAMWOLF law firm membership

NAMWOLF Law Firm Members must complete an extensive application and screening process before they can be admitted for membership. Law Firm Candidates must have a significant corporate law practice and exhibit excellence in the quality of law practiced, as evidenced by corporate references, presence on outside counsel-approved lists and panels for national or regional corporations, Martindale-Hubbell AV Peer Review Rating, and other awards and memberships. Law firms must be headquartered in the United States or Puerto Rico and have a minimum of three full-time attorneys.

PAC FIRM CONTACT INFORMATION

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NAMWOLF

FINANCIAL SERVICES LITIGATION

PRACTICE AREA COMMITTEE

MEMBER FIRMS

AlvaradoSmith's Financial Institution practice group has substantial experience in representing institutional creditors in all aspects of creditors' rights litigation, including bankruptcy litigation, receivership proceedings, claim and delivery actions, attachment applications, the prosecution of borrower fraud, the defense of borrower class actions, the defense of lender liability claims, and mortgage banking litigation. The Firm's practice encompasses both commercial and real estate matters.

Our attorneys have previously represented numerous Fortune 500 financial institutions in addition to the FDIC and Resolution Trust Corporation (RTC) in various matters ranging from the sale of loans, commercial real estate, and other distressed assets, loan modifications/workouts, suits to enforce notes and guarantees and foreclosure of commercial and residential mortgages and other security interests, including defending claims of lender liability and fraud and fidelity bond claims. The Firm's attorneys have also represented lenders in class actions involving issues, including, but not limited to, allegations of collection of improper late fees and failure to pay accrued interest on escrow accounts.

We represent corporate directors and officers in a wide variety of situations including, professional liability matters, directors and officers liability claims, professional malpractice, and have handled cases that involved claims for breach of fiduciary duty against them.

Representative Matters:

- ◇ Representation of one of the largest banking institutions in the U.S. in defense of criminal actions against officers and the President and C.E.O. Claims included patterns in practice of neglect and failing to comply with City of Los Angeles ordinances in connection with foreclosure procedures.
- ◇ Representation on behalf of various financial institutions as to relating to lender liability, including but not limited to claims arising from the Real Estate Settlement Procedures Act ("RESPA"), Truth in Lending Act ("TILA"), Home Ownership and Equity Protection Act ("HOEPA"), Fair Housing Act, Equal Credit Opportunity Act, Fair Credit Reporting Act ("FCRA"), and Home Mortgage Disclosure Act, and California Homeowner's Bill of Rights, and title issues.
- ◇ Representation of a foreclosure trustee in a California class action alleging illegal kickbacks for referral of trustee work.
- ◇ Representation of the FDIC as receiver against certain professional service firms for professional negligence after the failure of American Diversified Savings Bank.
- ◇ Representation on behalf of various financial institutions and limited liability partners in connection to fraud and breach of fiduciary duty claims.
- ◇ Negotiated favorable settlement for the RTC after bringing motion for summary adjudication on plaintiff's ERISA claims, eliminating a portion of the claimed benefits.

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BEUGELMANS, LLP

Beugelmans, LLP is a minority-owned law firm serving select clients in securities and commercial litigation and arbitration proceedings, regulatory matters, corporate and securities counseling, and employment litigation. Through its national practice, our firm has built a reputation for excellent client service, aggressive and professional trial advocacy, and judicious legal counseling. With prior experience at some of the most prestigious law firms and investment banks, our attorneys are regularly retained by investment banks, broker dealers, registered representatives, and investment advisors.

Beugelmans represents clients throughout the financial services sector and offers a wide range of services, including:

- Representation of broker-dealers, registered representatives, and other financial firms in litigation and customer/industry arbitrations pending before FINRA, JAMS, and AAA
- Representation of corporate and individual clients in examinations, investigations and enforcement proceedings initiated by the SEC, FINRA, and state securities regulatory agencies
- Advising on disclosure matters involving Forms U4/U5 reporting, transition matters, and the Protocol for Broker Recruiting
- Representation of investment banks as compliance counsel on a variety of regulatory issues
- Serving as compliance counsel to hedge funds and investment advisors
- Developing and advising on compliance policies and procedures, advisory agreements, employment contracts, and non-competes
- Representing clients on employment matters including policies and procedures, compensation, severance, and confidentiality
- Providing representation and counseling on promissory note and related employment matters
- Advising RIAs on corporate formation, compliance matters, and disclosure issues relating to Form ADV

REPRESENTATIVE CLIENTS

Prudential Financial
Morgan Stanley & Co., Inc.
Charles Schwab & Co., Inc.
Fisher Investments
JMP Securities
Safra National Bank of New York
Cetera Investment Services, LLC
Angelo, Gordon & Co.
Ratan Capital Management

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Financial Services Litigation Practice

Burgher Gray has an active financial services litigation practice that includes a variety of sub-areas of specialization: Appellate, Complex Civil, Consumer Class Action, Consumer Finance, and M&A litigation. Our litigation team leverages the wealth of experience of our transactional lawyers gained from representing financial institutions and other financial services companies in various corporate and commercial transactions. Our litigation attorneys have handled matters involving the CFPB, the OCC, the DOJ, the FTC and various state attorneys general. In addition to general commercial litigation matters covering a range of issues, our attorneys have advised and successfully defended against claims alleging unfair, deceptive and abusive acts or practices and other alleged violations of federal and state laws related to various consumer financial products and services, consumer privacy and data security, e-discovery and third party subpoena, and government and internal investigations.

Our Clients

Our financial services clients range from start-ups and emerging companies to Fortune 100 global giants, and are principally comprised of financial institutions or other financial services companies, including, without limitation:

- Global banks
- Private equity firms
- Credit card companies
- Institutional investors
- Non-bank finance companies
- Financial advisory firms
- Regional and community banks
- Asset managers/investment advisors
- Alternative investment funds
- Insurance companies
- Financial technology companies

Our Attorneys

Burgher Gray is comprised of highly experienced senior attorneys who have honed their skills practicing in large corporate law firms and in-house legal departments of major corporations and governmental agencies. Our litigation attorneys have a strong commitment to client advocacy that is informed by a deep understanding of our clients' particular businesses, values and unique sensitivities. We are regularly called upon by our clients to handle some of their most complex civil and commercial disputes, regulatory enforcement/investigation and other litigation and investigatory matters in a variety of settings, including trial, appellate and alternative dispute tribunals. As such, Burgher Gray attorneys possess a wealth of litigation, investigatory, and appellate experience that regularly result in positive outcomes for clients.



For over twenty years, d'Arcambal Ousley & Cuyler Burk, LLP (DO&CB) has provided exceptional legal counsel to Fortune 500 companies and large financial institutions. For each client engagement, DO&CB emphasizes cost-effective approaches, early case assessments, and strategic planning and expertise. Our lawyers previously practiced in corporate legal departments and large law firms, so we understand the voice of the customer and its business and legal objectives. DO&CB is women-owned with offices in New York City, New Jersey and Philadelphia.

Here is what we do for our clients in the Financial Services Industry:

- We represent servicing companies, banks and MERS in quiet title actions and counterclaims.
- We represent banks and servicing companies in contested foreclosure actions.
- We represent banks in mortgage fraud actions against brokers, title insurers, appraisers & settlement agents.
- We represent credit unions and governmental agencies in preparing and litigating Fidelity Bond claims.
- We prepare title insurance claims and home mortgage indemnity claims and actions.
- We represent life insurers in connection with variable life and annuity product litigation.
- We represent banks to recover unpaid claims pursuant to mortgage indemnity insurance.
- We represent companies with respect to commercial contract and real estate litigation.

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ESCOBEDO & CARDENAS

ATTORNEYS AT LAW

Trusted Advise. Proven Results.



Firm Resume

The law firm of Escobedo & Cardenas, L.L.P., is an AV-rated firm providing legal services in South Texas. Joe Escobedo and Luis Cardenas have been named as Texas Super Lawyers, an honor which is bestowed upon less than 5 percent of the lawyers in the State. Our lawyers provide clients with over 40 years of combined experience to help them find practical solutions to their legal issues. We offer our expertise and knowledge in a wide range of practice areas as indicated below.



Banking and International Practice

The firm represents multiple banks and other clients in various litigation and consulting roles.

First National Bank: Collect deficiency judgments in large commercial deficiencies after foreclosures involving international borrowers and collateral commercial loan workouts, including forbearance and renewal agreements; negotiate loan workout for interim construction loans and assist bank in analyzing and modifying bank procedures to improve construction loans process.

BBVA Compass Bank: Represent bank regarding trust issues, including Ch. 142 trusts.

Texas Regional Bank: Negotiate and close international loans; assist bank in terminating lines of credit for good cause; negotiate terms and draft contracts for independent contractor for collateral inspection; assist in trust management and litigation.

JP Morgan Chase: Represent bank in trust management issues, including termination and modification of trusts.

International litigation: Experienced in choice of law issues, international and foreign law issues involving banking, tort, collection, probate and other matters.

Complex Civil Litigation

The Firm's lawyers have been recognized as leaders in the field of complex civil litigation, including commercial litigation and products liability cases. We have earned our reputation representing corporate and individual clients which have resulted in outstanding trial results for our clients. Corporate clients also turn to us to guide them through difficult legal obstacles. Our litigation experience covers a broad range including banking litigation, insurance bad faith, breach of contract actions, franchise litigation, construction litigation, real estate litigation and personal injury litigation.

Mediation Practice

Additionally, Joe Escobedo is a Certified Mediator, having received his mediator's certification from the Center for Public Policy Dispute Resolution at the University of Texas School of Law. With his experience in trying complex civil cases on both sides of the docket, he understands what it takes to settle serious cases.

Representative Clients

The firm represents a diverse group of clients in multiple practice areas: AT&T, Inc., AT&T, Corp., Hidalgo County Mobility Authority, City of Pharr, Cricket Wireless, LLC, JP Morgan Chase, BBVA Compass Bank, First National Bank, Texas Regional Bank, Texas National Bank, Carfax, Resurgent Capital, Universal Loan Company, Seguros Comercial America and AvoWorld International.

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Farrow-Gillespie & Heath LLP

A Women-Owned Law Firm in Downtown Dallas



FINANCIAL SERVICES LITIGATION PRACTICE

Trust and Probate

Lead partner: Chris Wilmoth

Former Judge, Dallas County Probate Court 2

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Representing individual and corporate trustees, personal representatives and guardians

- Breach of fiduciary duty litigation
- Contested estates and guardianships
- Trust modification, termination
- Estate administration
- Mediation and special judge services

Securities

Lead partner: Mary L. O'Connor

32 years, Akin Gump Strauss Hauer & Feld

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Representing public companies and financial industry professionals

- SEC investigations
- Customer & industry arbitrations
- Investment adviser disputes
- Internal investigations
- Securities class actions

Additional Practice Areas

Advertising Law, Business and Corporate Law, Commercial Litigation, Employment Counsel and Litigation Defense.
Estate Planning and Taxation, Health Law, Personal Injury Defense, Trademark

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GIFFEN & KAMINSKI

OUR TEAM regularly advises and defends banks, mortgage lenders and brokers, credit card companies, broker-dealers, consumer finance companies and other financial institutions in a variety of disputes in state and federal court, in arbitration and before governmental agencies.

The depth of our experience gives us insight into the unique issues and concerns of financial institutions.

Representative Financial Service Clients

- KeyBank
- US Bank
- JPMorgan Chase
- RBS Citizens
- PNC
- Huntington
- GE Capital
- UBS

Banking Operations Litigation with Customers and Institutions

- Presentment and transfer warranties
- The Electronic Fund Transfer Act
- Check fraud and forgery
- Article IV of the Uniform Commercial Code
- Wrongful dishonor
- Privacy, disclosure, and security issues
- Alteration of instruments
- The Expedited Funds Availability Act & Regulation CC
- Breach of the obligations of the depository
- Duties of the collecting or payer bank

Consumer Finance Litigation

- The Truth in Lending Act
- Real Estate Settlement Procedures Act
- Equal Credit Opportunity Act
- Contested foreclosures
- Predatory lending

Credit and Collection Practices Litigation

- Violations of the Fair Credit Reporting Act
- Fair Debt Collection Practices Act
- Fair and Accurate Credit Transaction Act
- Other state & federal statutes

Broker-Dealer Litigation (FINRA)

- Fraud
- Unauthorized trading
- Unsuitability
- Negligence
- Breach of fiduciary duty
- Churning
- Failure to supervise

Trust and Probate Litigation

- Will contest cases
- Concealment of assets matters
- Claims for breach of fiduciary duty
- Trustee removal actions
- Declaratory judgment actions
- Guardianship issues



THINK PRACTICAL RESULTS. THINK GK.

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Gonzalez Law, LLC has offices in Arizona and Wisconsin from which it represents clients throughout the country. Our financial services attorneys each enjoy a personal AV® Preeminent rating.

Gonzalez Law, LLC- Financial Service Partner Experience

- Defense of wide variety of Fair Credit Reporting Act claims in federal court in home mortgage and credit card industries.
- Defended lending institutions against alleged violations of state and federal fair credit laws in the sale and finance of motor vehicle, minimizing attorney fees exposure.
- Successfully defended, from beginning of case through appeal, two companies against allegations of breach of fiduciary duty and conversion including obtaining a six figure sanctions award against plaintiffs and their attorney.
- Mr. Gonzalez was recommended by the White House to a three-year term on the Federal Home Loan Bank of Chicago Board of Directors, where he served on the Executive Committee of this multi-billion dollar bank and also chaired the Affordable Housing Committee.
- Representations of garnishee financial institutions in wage and non-wage levy and other garnishment matters in Arizona averting procedural error liability.

Our attorneys



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Strategic legal advice that's worth it.

Goosmann Law Firm serves a wide range of financial institutions in highly sophisticated financing transactions and litigation.



Banking & Finance Litigation
Commercial Insolvency and Restructuring
Corporate Governance
Creditors Rights
Finance & Corporate Lending
Financial Institutions Regulation
Financial Services Litigation
Fiduciary Liability Insurance

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JOHNSON, BLUMBERG & ASSOCIATES, LLC

ATTORNEYS AT LAW

Johnson, Blumberg & Associates, LLC is a minority-owned law firm serving clients for approximately 15 years in the Midwestern states of Illinois, Wisconsin, Indiana, Michigan, Minnesota and Iowa. We represent lenders and servicers, note buyers, creditors and other security holders in federal, bankruptcy, and state courts.

AREAS OF PRACTICE:

- **Default Litigation:** JB&A offer our clients cradle-to-the-grave service, including initiating the foreclosure suit, curing title issues, entry of the foreclosure judgment, scheduling of and bidding at sheriff's sale, recording the deed or certificate, evicting and gaining possession of the premises, if necessary, and handling the REO closing. We protect the interests of clients holding junior mortgages by filing answers, judgment affidavits, and petitions for surplus. Additionally, we handle all aspects of contested litigation associated with residential and commercial mortgage foreclosures, appeals, and defending our clients in suits for TILA, fraud, tort actions and other claims.
- **Bankruptcy:** The Bankruptcy Department files proofs of claim, motions for relief from automatic stay, motions to dismiss, attends Rule 341 meetings of creditors, handles contested cram-down litigation and complex Chapter 11, 12, and 13, presentation, defends preferential transfer actions, and defends and pursues adversary claims.
- **REO Closings:** The REO Department negotiates terms with the buyer, clear exceptions from title, comply with all municipal requirements, including water bills, zoning and inspection issues, prepare full closing documentation, attend the closing, review closed loan packages and ensure that proceeds are promptly received by the lender or investor.
- **Commercial Litigation:** The Commercial Litigation Department represents lenders and creditors in a wide range of commercial transactions and litigation in state, federal, and bankruptcy courts at both trial and appellate levels. We represent businesses in matters involving obtaining, protecting and enforcing security interests, defending against fraud and other tort actions, and prosecuting and defending contract disputes. We have extensive experience representing landlords and court-appointed receivers in foreclosure, litigation, eviction, loan workout, collection and bankruptcy matters.

Representative Clients:

FDIC
SETERUS
PHH MORTGAGE
WELLS FARGO
FANNIE MAE
SELECT PORTFOLIO
SERVICING
QUICKEN LOANS
FREDDIE MAC
BAYVIEW LOAN SERVICING
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Kang Haggerty & Fetbroyt LLC

C O U N S E L O R S A T L A W

KHF offers counseling and representation in a broad range of complex commercial and business-related civil litigation matters.

Kang Haggerty & Fetbroyt LLC is a boutique law firm with offices in Center City, Philadelphia and Cherry Hill, New Jersey. Founded in 2013 by Edward T. Kang, Daniel D. Haggerty and Jacklyn Fetbroyt, the long-time colleagues sought to create a law firm that provided effective and value-driven legal services. The firm has attorneys licensed to practice law in Pennsylvania, New Jersey, New York, Virginia and Florida.



FINANCIAL SERVICES LITIGATION PRACTICE

KHF has an extensive financial services litigation practice. Our clients include private equity firms, public and private banks, and other financial institutions. KHF's financial services litigation practice includes lender liability, loan workout and related creditors' rights actions and litigation, including foreclosure, judgment execution, fraudulent transfer litigation, asserting rights under Article 9, including Article 9 auction sales and litigation, bankruptcy, loan restructuring and redocumentation, as well as intercreditor disputes, participation agreement disputes and restructuring, real property title and lien disputes, title insurance litigation, REO property management and sales, and related state and federal regulatory and statutory compliance.

Our Financial Services Practices

- Commercial Litigation | Business Torts
- Corporate | Transactional Matters
- Creditors' Rights | Loan Workout
- Employment and Labor Law
- Trade Secret Claims and Restrictive Covenants
- Securities Litigation | SEC Compliance
- Real Estate | Financing
- Bankruptcy Non-Dischargeability Claims

Representative Matters

Representation of a financial services corporation that does business with companies in more than 20 countries on multiple litigation matters in PA, NJ and NY involving breach of contract, defamation, tortious interference, and unjust enrichment.

Representation of a number of banking and financial institutions in commercial loan workouts; contentious, insolvency and regulatory matters; and litigation.

Representation of a group of private equity firms and prosecution of their claims against an intercreditor for violating its duties arising under the intercreditor relationship.

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www.khflaw.com
www.businesslitigationtrends.com

Lafayette & Kumagai

1300 Clay Street, Suite 810, Oakland, CA 94612 Tel: 415.357.4600 Fax: 415.357.4605 www.lkclaw.com

CONTACT: Gary T. Lafayette, glafayette@lkclaw.com

ABOUT THE FIRM: Lafayette & Kumagai LLP is a boutique litigation firm located in the heart of downtown Oakland. Established in 1994, the firm has a reputation for excellence with a proven track record of successfully representing its clients in all aspects of litigation. We take pride in the recognition we receive from our clients and the legal community for our litigation and trial skills. We have extensive experience in both state and federal courts throughout California and have a distinguished record for motion practice, jury trials and appeals. Clients trust our handling of high-profile cases with media exposure.

Lafayette & Kumagai received the 2011 Minority-Owned Law Firm Client Service Award, presented by the California Minority Counsel Program for outstanding client service. The firm has been listed in "Litigation Kings" for 2012 and in "Who Represents America's Biggest Companies?" for 2008, 2010, 2011, 2012, 2014 and 2015, in *Corporate Counsel* magazine's annual report on the law firms most used by the *Fortune* 100.

FINANCIAL SERVICES LITIGATION PRACTICE: Lafayette & Kumagai LLP has extensive experience defending financial services providers in general business litigation matters including Bank of America, Macy's, Inc., Prudential, Wells Fargo Bank and Comerica Bank. The firm has substantial experience handling consumer credit litigation and currently handles Fair Credit Reporting Act ("FCRA"), Federal Debt Collections Practices Act ("FDCPA") and Telephonic Collection Practices Act ("TCPA") on behalf of a major retailer.

Lafayette & Kumagai is well-known for its strong trial practice. The firm's attorneys have extensive experience preparing cases for trial from the commencement of litigation, but often are brought in midstream to try cases on behalf of clients such as CBS, Viacom, McKesson Corporation, the Regents of the University of California and Shell Oil Company. Sought out for their trial expertise, the firm's lawyers are retained to try cases that fall outside their bailiwicks, ranging from securities cases to a consumer class action in which Plaintiff's demand exceeded \$78 million.

AREAS OF PRACTICE: Consumer Credit Litigation; Business Litigation; Commercial Litigation; Toxic Tort; Product Liability; Personal Injury; Labor & Employment; Trial Practice; Appellate Practice; Class Actions

FIRM DIVERSITY AND GEOGRAPHIC PRACTICE AREA: Lafayette & Kumagai is one of the San Francisco Bay Area's oldest minority-owned law firms, founded in 1994 by an African-American man and an Asian-American woman. Each of the firm's attorneys practices in state and federal courts across the state of California.

REPRESENTATIVE CLIENTS: AT&T Services, Inc.; Bank of America; The Coca-Cola Company; Comcast Cable; E.I. du Pont de Nemours & Company; GlaxoSmithKline; Home Depot; Johnson & Johnson; Kraft Foods Global, Inc.; Macy's, Inc.; McKesson Corporation; Microsoft Corporation; Prudential; Shell Oil Company; United Continental Holdings, Inc.; United Parcel Service, Inc.; Viacom, Inc.; Xerox Corporation; AC Transit; Aetna Life Insurance Company; Alameda County; CalPERS; CBS Broadcasting Inc.; City of Oakland; Denny's, Inc.; DirecTV Group, Inc.; East Bay Municipal Utility District; MTV Network; Nationwide Mutual Insurance Company; Pacific Bell Telephone Company; Pacific Gas and Electric Company; Sedgwick Claims Management Services; Sutter Health; Tyco International, Ltd.



LEHTOLA & CANNATTI

Big Firm Success. Small Firm Efficiencies.

Financial institutions face many modern day tactical, operational and legal obstacles.

Lehtola & Cannatti, PLLC provides legal advice in the realm of disputes, investigations and strategic decisions. Our clients include banking organizations, government-sponsored entities, broker-dealers, insurance carriers and service providers.

We combine our experience, business acumen and in-depth understanding of loan documents to consumer lending, mortgage contracts, eminent domain, deceptive-practices, credit-card services, mortgage servicing, origination and repurchase litigation. The firm attorneys have a broad range of experience with in-depth knowledge of cases from multimillion dollar commercial lending cases and class actions to claims of wrongful foreclosure. In each, we help our clients navigate the process and dispose of these actions with minimal amount of disruption to their businesses. Throughout the entire process, we work with industry professionals and find cost-effective resolutions to their cases.

Skilled and Successful in Mortgage and Lending Litigation

In our trial practice, we have earned a reputation for success based on experience, preparation and passion. Our attorneys have appeared before arbitrators, administrative agencies, and judges in federal, state and bankruptcy courts. We are also full-service counsel to numerous lenders, investors, servicers, national, regional, and local banks.

The lawyers at the firm litigate cases involving the financial services industry in state and federal courts. The below firm partners have extensive first-chair trial experience and are also seasoned appellate advocates.



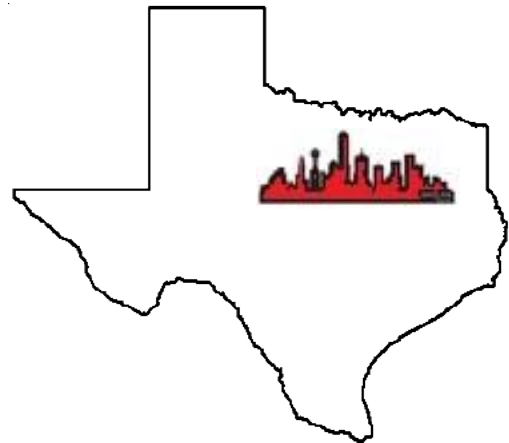
• **Patricia Beaujean Lehtola (Partner)** JD Duke University School of Law 1984 Mortgage and lending, business litigation, labor and employment, and insurance defense

• **Phillip E. Cannatti (Partner)** JD Texas Tech University School of Law 1995 Business litigation, business formation and dissolution.

Success for our clients comes not only from our legal knowledge, skills and experience, but also efficient case handling and successful streamlining of litigation costs.

Lehtola & Cannatti attorneys enjoy the accomplishments usually reserved for large law firms. We routinely handle matters from pre-litigation advice and investigation, through initial case assessment, dispositive motions, discovery (including extensive experience with complex e-discovery issues and responding to subpoenas), trial, and appeal. While we most frequently represent defendants, we are also experienced in presenting claims on behalf of plaintiffs. We have litigated on behalf of banks, savings institutions, their holding companies, finance companies, other non-bank lenders, officers, directors, investors, trade associations, and (occasionally) governmental and quasi-governmental entities.

Tough and Accomplished Trial Attorneys



Our legal team daily delivers pragmatic, discerning and informed advice to Texas-based and national mortgage bankers and brokers, servicing agents and creditors that allows them to make strategic decisions with confidence.

Representative clients:

- Federal National Mortgage Association
- Nationstar Mortgage LLC
- KeyBank
- Guardian Mortgage Company, Inc.

5001 Spring Valley Rd, #400E, Dallas, TX 75244 phone 972-383-1515 www.lc-lawfirm.com



ABOUT THE FIRM

We opened our commercial litigation practice in 2013, drawing from the ranks of top-tier trial lawyers who represent large and respected institutions in complex lawsuits throughout the United States. Our lawyers come from big firms – Morgan, Lewis & Bockius, Hunton & Williams, Skadden Arps, DLA Piper, Ropes & Gray, Boies Schiller and others ranked in the Am Law 100 – but are not bound by big firm mentality. Most of us are also former federal law clerks. And all of us have substantial expertise in high-stakes litigation, notably financial services, insurance disputes, class actions, and IP.

Our depth of experience has convinced clients such as JPMorgan Chase Bank, N.A., Apple, Volvo Financial, McDonald's, Michaels, and other Fortune 500's to hire us.

Our flourishing firm has grown from 2 to 20 lawyers in its first 5 years. Still a boutique, our business approach is lean and hungry, offering our clients better results at lower cost than our multi-layered competitors.

AREAS OF EXPERTISE

Financial Services
Real Estate
Lender Liability
Bankruptcy
Intellectual Property

Labor and Employment
Appellate
Class Actions
Insurance Recovery
International



RECOGNITION

Chambers and Partners: "They zealously represent their clients. They are relentless, measured and effective and they act very collegially as a team."

Scott Cosgrove and Derek León have been recognized individually by *Chambers USA* as leading individuals in litigation and Andrew Zaron as a leading individual for bankruptcy. The Firm is also ranked by *Chambers USA* for its outstanding litigation practice, noting our experience in commercial, bankruptcy, and labor and employment litigation.

Founding Partner Scott Cosgrove was ranked in the "Top 100" of the several thousand attorneys selected for Florida Super Lawyers 2016. He also has been ranked in commercial litigation by *U.S. News & World Report Best Lawyers* since 2015.

Founding Partner Derek León has been recognized by The Florida Bar, *Hispanic Business* magazine (top "Corporate Influential,"), *Florida Trend* magazine and the *South Florida Business Journal*.

Alec Shultz has been recognized by *Florida Trend* and *Florida Super Lawyers*, and James Bryan has been listed by *Florida Super Lawyers*.

U.S. News Best Law Firms has ranked León Cosgrove Tier One in bankruptcy & restructuring litigation in the Miami metropolitan area, and partner Andrew Zaron has been ranked a *Best Lawyer* for bankruptcy litigation and for bankruptcy and insolvency law.

SIGNIFICANT CLIENTS

JPMorgan Chase Bank, N.A.
CDR Creances, S.A.R.
Volvo Financial Services
McDonald's Corporation
Michaels Stores

Marriott
Apple
World Fuel Services
Atos Origin

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Liebler, Gonzalez & Portuondo

MIAMI

FORT LAUDERDALE

ORLANDO

TAMPA

ABOUT THE FIRM:

Liebler, Gonzalez & Portuondo is an AV® rated full-service minority-owned law firm and has been voted the most diverse law firm in South Florida for the last several years. LGP's 65 attorneys work in offices throughout Florida and speak 13 languages.

OUR FINANCIAL INSTITUTION GROUP:

The LGP Financial Services Group helps clients of every size, from Fortune 100 companies to smaller financial institutions. We understand that our clients have pressing financial institutional needs that require timely, real world business-oriented advice. As financial institution litigation is one of our primary practice areas, attorneys of Liebler, Gonzalez & Portuondo represent financial institutions such as banks, savings and loans associations, insurers, and loan services. LGP's Financial Institution Practice Group includes subpractices including commercial workouts and foreclosures, consumer finance defense, bank operations litigation, employment and real estate workplace litigation.

KEY FINANCIAL INSTITUTION PRACTICE AREAS:

- Commercial Workouts And Foreclosures
- Defending Class Action Lawsuits
- Litigating Claims Related To The Fair Debt Collections Practices Act, The Real Estate Settlement Procedures Act, The Truth In Lending Act, And The Fair Credit Reporting Act
- Remedies Under The Uniform Commercial Code
- Deposit Account Litigation
- Consumer Finance Defense
- Employment Litigation
- Workplace Real Estate Litigation
- ADA Defense
- Lender Liability Defense
- Representing Creditors' Interests In Bankruptcy Court
- Violations Of Federal And State Securities Laws
- Providing Regulatory Compliance Services

Financial Institutions

INDUSTRIES:

Banking
Bankruptcy
Regulatory
UCC
Retail
Hospitality
Real Estate
Private Equity



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ARBITRATION, MEDIATION AND LITIGATION

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PRACTICE AREAS

Complex Corporate/ Commercial Litigation
Employment Counseling and Litigation
Financial Services Litigation
Legal Ethics and Professional Discipline
Real Estate Litigation
Trusts and Estates Litigation

REPRESENTATIVE CLIENTS

AON Corporation
Bank of America Corporation
Comcast Corporation
JPMorgan Chase Bank, N.A.
KeyBank, National Association
U.S. Bancorp
FAIR Health, Inc.
24/7 RealMedia, Inc.

CONTACT PARTNER

SIOBHAN E. MORAN, ESQ.
smoran@mka-law.com

RECENT SUCCESS STORIES

Obtained defense verdict at trial in favor of bank client in breach of contract action involving complex currency exchange transactions in SDNY

Successfully obtained summary judgment, including on claim for legal malpractice, against multiple defendants in complex mortgage fraud case on behalf of national bank

Obtained dismissal of class action lawsuit alleging mortgage company charged excessive interest and fees

Obtained dismissals of numerous FDCPA and FCPA actions against bank clients

Obtained pre-answer withdrawal of complaint by national insurer against Bank client in SDNY action involving fraudulent check scheme under Fed. Civ. R. 11

As successor counsel, successfully obtained reversal of trial court decision and dismissal of breach of contract/lender liability claims for major condominium project

Successfully defended various bank clients against U.C.C. fraudulent check claims

Successfully litigated and mediated numerous FLSA Collective and Rule 23 Class Action wage and hour cases

Successfully defended and obtained dismissals of numerous Title VII employment discrimination claims before EEOC, NYS DHR and NY State and Federal Courts



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New York and Ohio.

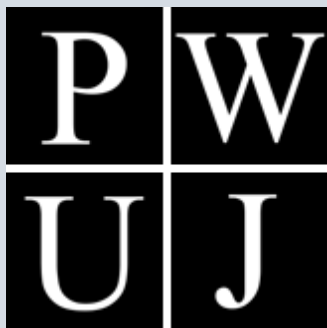
Good people.
Doing great legal work.
At exceptional value.

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Women for Economic and Leadership
Development (WELD), Silver Level
Corporate Member

Perez & Morris attorneys have significant experience representing financial industry clients, as well as individual financial and investment representatives, in a variety of matters before state and federal courts and in matters before the Financial Industries Regulatory Association ("FINRA"). Our broker-dealer litigation experience includes cases involving unauthorized trading, misrepresentation, suitability, churning, breach of fiduciary duty, employment agreements (non-solicitation and other restrictive covenants), and the enforcement of promissory notes and forgivable loan agreements.



Pinckney, Weidinger, Urban & Joyce LLC

“Big firm” talent, sophistication and experience, with “small firm” personalization of service and rate structure.

DELAWARE LITIGATION COUNSEL

+ ***Financial Services Litigation***
+ ***Breach of Fiduciary Duty Cases***
+ ***Trust Disputes***
+ ***Breach of Contract & Fraud***

+ ***Class & Derivative Action Defense***
+ ***Creditor Representation & Adversary Proceedings***
+ ***FINRA Disputes***



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We routinely work with outside counsel from all over the country!



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QUINTAIROS, PRIETO, WOOD & BOYER, P.A.
Attorneys at Law

BUSINESS, FINANCIAL SERVICES & REAL ESTATE DIVISION

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**Miami | Tampa | Jacksonville | Orlando | Fort Lauderdale | Tallahassee | Fort Myers
Louisville | Lexington | West Palm Beach | Chicago | Pensacola | Phoenix | Panama City
Los Angeles | Dallas | Michigan | Atlanta | U.S. Virgin Islands | New York**

Overview of Services Provided by the Business, Financial Services & Real Estate Division

Quintairos, Prieto, Wood & Boyer, P.A. (QPWB) is a full service law firm with twenty (20) offices strategically located throughout the United States and U.S. Virgin Islands and is the largest minority owned law firm in the country. Our Business, Financial Services & Real Estate Division (the “Division”) was created to focus on the wide range of legal issues impacting those businesses engaged primarily in the financial services and real estate industries. In addition to these areas, the Division provides a broad range of services to its clients in a number of different industries and fields. Typical clients represented by the attorneys within the Division include but are not limited to, the following: Mortgage loan servicers; student loan servicers; auto finance companies; real estate and development companies; mortgage lenders and originators; government sponsored enterprises; bank and non-bank financial institutions; and governmental entities and political subdivisions. Through the Division, QPWB provides legal services in Business and Commercial Litigation; End to End Default Legal Services; Real Estate Transactions; Appellate Practice; National Litigation and Loan Oversight; Residential Mortgage Backed Securities; Regulatory and Compliance; and Title Insurance Services.

The Business, Financial Services & Real Estate Division is managed by attorney Michael J. “Mike” Barker, Esq. who is based in Jacksonville, Florida. Inquiries on the Division’s services and general questions can be directed to Mike anytime via e-mail at mbarker@qpwblaw.com or you can give him a call at (904) 226-3660.

Practice Areas

- End to End Default Legal Services
- Foreclosures
- Mortgage and Consumer Litigation
- Bankruptcy
- REO Disposition Title Services
- Evictions
- Appellate Practice
- National Litigation and Loan Oversight Services
- Residential Mortgage Backed Securities (RMBS) Transactional and Litigation Services
- Regulatory and Compliance
- Residential and Commercial Real Estate Transactions, Litigation and Development
- Title Insurance and Escrow Services
- Business and Commercial Litigation



REYES KURSON

RK NAVIGATES THE DYNAMIC INTERSECTION BETWEEN BUSINESS AND GOVERNMENT

Financial Services Practice

Our financial services team advises and defends banks, investment advisors, broker-dealers and other financial institutions in investigations and disputes before state and federal regulators, mediators and arbitrators, and in state and federal courts. Our experienced team has handled:

- **Investigations**, including those initiated by the SEC, DOJ, FINRA, or state regulators, from the initial request for information to any subsequent enforcement or disciplinary proceedings.
- **Broker-Dealer Litigation/FINRA**, including customer and industry disputes involving allegations of fraud, breach of fiduciary duty, failure to supervise, raiding and defamation on Form U5.
- **Consumer Litigation**, including claims based on the Truth in Lending Act (TILA), the Fair Debt Collection Practices Act (FDCPA), the Telephone Consumer Protection Act (TCPA) and state law equivalents.
- **Class Action and Derivative Litigation**, including actions pursued against financial institutions and their individual directors and officers for securities fraud and breach of fiduciary duty.

About Reyes Kurson

RK is a Chicago-based boutique law firm. Our attorneys come from sophisticated large law firm practices, have owned and operated businesses, and/or played significant roles in government. This blend of technical expertise and practical savvy allows us to be aggressive, effective advocates for our clients without losing sight of the need to find practical and cost-efficient solutions to the problem at hand. Our diverse backgrounds give us the unique insight necessary to produce tailored, effective results.



SECURITIES AND FINANCIAL SERVICES LITIGATION

Our securities and financial services litigation practice is focused on representing corporate defendants as well as individual officers and directors in class actions and large individual actions. We recently obtained the dismissal of a significant derivative claim and the favorable settlement of a \$168 million securities class action brought against a publicly traded bank holding company. We have also successfully defended broker-dealers in FINRA claims and have defended investigations and enforcement actions brought by the SEC. Given our white-collar criminal practice, we are able to develop integrated strategies for some of the most challenging and complex regulatory problems that businesses can face.

Financial Services Practice Areas:

- Securities Class Actions
- Shareholder Derivative Actions
- SEC/DOJ/State Investigations
- Internal Investigations
- Failed Banking Litigation
- Accounting Malpractice

For more information please contact:

Jorge A. Mestre ATTORNEY

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Andrés Rivero ATTORNEY

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25

Rusing Lopez & Lizardi, PLLC
Results. Leadership. Lasting Value.

Celebrating 25 years in business, **Rusing Lopez & Lizardi** provides preeminent counsel to the financial services industry

FINANCIAL SERVICES PRACTICE IN ARIZONA AND CALIFORNIA

Over the past 25 years, Rusing, Lopez & Lizardi has successfully represented a full spectrum of financial service clients. Our attorneys routinely represent and advise banks, credit unions, broker-dealers, underwriters and creditors in a full range of litigation and transactional matters, including:

- **FINANCIAL SERVICE INSTITUTIONS**

- Compliance and Disclosure
- Secured and unsecured consumer and business lending
- Forbearance and work-out agreements
- Defense of RESPA, TILA, FCRA, FDCPA and UDTPA claims
- Defense of regulatory enforcement actions

- Receiverships
- Collection litigation
- Foreclosure and trustee sales
- Defend lender liability claims

- **BROKER –DEALER LITIGATION**

We defend broker/dealers in FINRA arbitrations involving fraud, failure to supervise, unsuitable investments and breach of fiduciary duty.

- **CREDITOR REPRESENTATION IN BANKRUPTCY**

We represent secured and unsecured creditors in Chapter 7, 11, and 13 bankruptcy, including:

- Plan Objections, Valuation Disputes
- Non-Dischargeability and Adversary Actions

- Relief from Stay
- Claim and Preference Actions

- **LENDING AND FINANCE**

Our lending and finance team has sophisticated financing experience and deep knowledge of major industries. We are recognized professionals in preparing, negotiating and completing complex financial transactions and ensuring that the mechanisms to protect investor rights and exits are secured, monitored, and honored. We are Red Book Listed Bond Counsel.

Rusing Lopez & Lizardi attorneys have been named as *The Best Lawyers in America*® in 15 separate practice areas. Our firm is ranked a Tier 1 Law Firm by *Best Lawyers*®. For the 11th consecutive year, RL&L attorneys have been recognized as being the top lawyers in Real Estate: Business, Business/Corporate, Business Litigation, and Civil Litigation by the national rating organization, *Super Lawyers*®. Our attorneys have also received the Preeminent AV Peer Review Rating through Martindale Hubble®.

Rusing Lopez & Lizardi, PLLC
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520.792.4800 www.rllaz.com



Our History

Sapientia Law Group PLLC is a mid-sized law firm in Minneapolis, Minnesota, providing high-quality legal representation and consulting services in a variety of practice areas. Many of the firm's attorneys are former "Big Firm" attorneys who came together to develop an innovative, non-traditional law firm model that distinguishes itself by the alternative fee structures offered to clients. In its first six years, Sapientia represented more than 600 clients, including two Fortune 100 Companies and six Fortune 500 Companies, and billed 55% of its revenue under alternative fee structures. Sapientia's team includes Martindale-Hubbell® AV-rated attorneys; in-house counsel; a former CEO of a multi-million corporation owned by the Mille Lacs Band of Ojibwe; former, current, and upcoming county and state bar presidents; a former bar foundation president; and five attorneys recognized as "Attorneys of the Year" (one in 2012, three in 2013, and one in 2016) in the State of Minnesota. In 2015, Sapientia was named one of the "Go-to Top Ranked Law Firms" at top 500 companies by *Corporate Counsel* magazine.

Our Expertise

Litigation	Transactional	Business Consulting
<ul style="list-style-type: none"> • Commercial Disputes • Employment • Product Liability Defense • Insurance Defense • Privacy Issues • Civil Appeals • Bankruptcy 	<ul style="list-style-type: none"> • Real Estate and Construction Lending • Commercial Real Estate and Construction Transactions • Commercial Leasing • Entity Formation and Governance 	<ul style="list-style-type: none"> • Federal & State Campaign Finance • Lobbying Compliance • Gift/Entertainment/Travel • Pay-to-play rules • Political Law Compliance • Employment Advice • Executive Coaching

Our Diversity

Sapientia, a NAMWOLF law firm, does not spend time talking about diversity or forming committees to focus on it. We live it. Sapientia is a women-owned and minority-owned, multi-ethnic, multi-cultural professional company that has attorneys with African American, Caucasian, Indian, Latino, and Native American roots. Our law firm is certified as a "Minority Business Enterprise" by the National Minority Supplier Development Council (NMSDC). Sapientia is one of a very few mid-sized law firms in the Midwest who is both minority-owned and women-owned.

Our Values

Sapientia has six core values: (1) Listen to Clients. (2) Provide Alternative Billing Options. (3) Deliver Excellent Results. (4) Build Strength through Diversity. (5) Make a Difference. (6) Have Fun. Sapientia's ideal client is one looking for (i) a way to budget, contain, and plan for agreed-upon legal expenses, (ii) top-notch legal professionals who are recognized as great attorneys and leaders in the legal community, and (iii) a firm walking the talk of diversity.





WHO WE ARE

An innovative, women-owned firm providing sophisticated legal services to global and national businesses.

FINANCIAL SERVICES LITIGATION PRACTICE

Our Lawyers have defended complex litigation including class actions in state and federal courts under state law consumer fraud statutes, the Electronic Fund Transfer Act, the Fair Debt Collection Practices Act, the Fair Credit Reporting Act, the Telephone Consumer Protection Act, Real Estate Settlement Procedures Act, Truth in Lending Act, and state law corollaries to federal acts.

We have conducted corporate investigations into business practices. We have responded to civil investigative demands and third-party subpoenas by the Consumer Financial Protection Bureau, and investigations by state regulatory agencies. Our team includes a former Army officer and lawyer (Army JAG Corps) who has substantial experience with laws that impact military service members, especially the Servicemembers Civil Relief Act.

WHAT OUR CLIENTS SAY

“A blueprint for women owned law firms”

“Advice that is concise, timely, on-point and cost effective”

“The quality of the work is unique”



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rdollar@smithdollar.com

SmithDollar^{PC} ATTORNEYS AT LAW

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Santa Rosa, California 95401

Telephone (707) 522-1100
Facsimile (707) 522-1101

Smith Dollar PC is a certified, woman-owned law firm with deep experience in financial services.

Practice Areas:

Lending Counsel/Transactional - Smith Dollar provides counsel and advice to financial institutions concerning the establishment of lending policy and procedure and enforcement of specific collateralized obligations. We also draft internal and external documentation including trust opinions, lending opinions letters, promissory notes and deeds of trust, escrow instructions, borrower correspondence and notices. Smith Dollar administers a nationwide specialty lending program for a top 10 bank.

Commercial Lending Litigation - Smith Dollar handles judicial and non-judicial foreclosure of real property and mixed security loans, including guarantee enforcement and workouts. We have significant experience with SBA lending, construction-to-perm and cross-collateralized loans.

Consumer Lending Litigation - Smith Dollar handles both origination and servicing litigation in the mortgage space including matters alleging violations of RESPA, TILA, ECOA, FHA, FDCPA, FCRA, UDAPP and HBOR. Smith Dollar also handles litigation arising out of automobile based financing and breach of warranty.

Repurchase Litigation - Smith Dollar has been national repurchase counsel to several large financial institutions, enforcing contractual representations and warranties and recovering losses against downstream mortgage sellers. We developed standardized pleadings, developed strategy and litigated complex issues related to forum selection, statute of limitations, indemnity enforcement and contractual interpretation.

Title Curative - Smith Dollar has significant experience resolving complex title issues both pre and post origination. We have litigated title related issues, established equitable liens, made and enforced title claims and successively prosecuted actions against title insurers for declaratory relief, breach of the insurance contract and bad faith.

Recovery and Fraud Litigation - Smith Dollar handles all types of fraud and recovery litigation including fraud, negligence and breach of contract actions against mortgage brokers, real estate agents, escrow and settlement agents, notaries and title companies. We have significant experience in closing protection letter enforcement and director and officer liability.

Representative Financial Services Clients

FDIC
Wells Fargo
JPMorgan Chase
U.S. Bank
CIT Bank
Lehman Brothers

BMO Harris Bank
Homeward Residential
Aurora Loan Services LLC
Prospect Mortgage LLC
First Tech Credit Union
Redwood Credit Union

Security Service Federal Credit Union
American Home Mortgage Servicing
Luther Burbank Savings & Loan
Homebridge Financial Services
Quantum Servicing Corporation
Colonial National Bank

STERN, LAVINTHAL & FRANKENBERG, LLC

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A CORNERSTONE IN THE FINANCIAL SERVICES INDUSTRY FOR OVER 70 YEARS



WHO WE ARE

WBENC certified, an active member of NAMWOLF and the AMDC, SL&F is proud to be 100% woman owned. We are a full service default firm with extensive experience representing lenders and financial service companies in complex litigation.

WHERE WE ARE GOING

SL&F is committed to developing its practice to keep pace with our client's protocols for compliance, cost effectiveness and efficiency. Our onsite IT staff works diligently to provide the most effective tools and customized system integrations for ensuring accuracy and precision timeline management.

WHAT WE DO

Comprehensive default services including the following:

LITIGATION

- Civil & Commercial litigation in both state & federal courts
- Lender-based litigation including TILA, RESPA & FDCPA
- Property based issues
- Mediation

BANKRUPTCY - CREDITOR'S RIGHTS

- Comprehensive & strategic services
- Preserving & securing creditor collateral
- Negotiation & documentation of restructuring transactions
- Enforcement of remedies

TRANSACTIONAL

- Residential & Commercial financing
- Consumer loan review
- Formation & structuring of corporate entities





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www.wargofrench.com

ABOUT THE FIRM:

Wargo French LLP (“Wargo French”), a full service law firm and a certified minority-owned business, is the premier firm of its size in its Atlanta, Los Angeles, and Miami markets and is committed to providing the highest level of service to its clients. Wargo French focuses on building a mutual sense of trust and confidence with its clients.

As a result of our high-quality work product and focus on client service, Wargo French has developed long-standing relationships with its clients. Indeed, many of Wargo French’s clients have been represented by members of the firm for over two decades. This is the hallmark and founding principle of our firm: providing excellent legal work with an outstanding level of service and responsiveness.

Wargo French is honored to be a member and proud sponsor of NAMWOLF.

PRACTICE AREAS:

Alternative Dispute Resolution
Appellate Litigation
Bankruptcy and Restructuring
Class and Collective Action Litigation
Commercial Lending

Commercial Real Estate
Complex Commercial Litigation
Creditor’s Rights and Bankruptcy
Employment Litigation
Engineering and Construction

Financial Services Litigation
General Corporate and Securities
Insurance Coverage
Intellectual Property and Trade Secrets

FINANCIAL SERVICES LITIGATION:

Wargo French attorneys have significant experience representing financial services companies and professionals. We represent our financial services clients in a myriad of commercial litigation and arbitration proceedings. We have extensive experience litigating matters involving consumer protection issues (such as Truth in Lending Act violations), mortgage and foreclosure issues, breaches of contract, unfair and deceptive trade practices, successor liability claims, fiduciary duty issues, restrictive covenants and employment-related disputes.

WARGO FRENCH CONTACTS:



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